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# microwave contents VOLUME 24, NUMBER 2

USPS 396 250 FEBRUARY 1981



#### BUSINESS/SPECIAL REPORTS 27 MHz RIDGED WAVEGUIDE APPLICATORS 71 FOR LOCALIZED HYPERTHERMIA TREAT-MENT OF DEEP-SEATED MALIGNANT TUMORS

P. Paglione and F. Sterzer, RCA Labs J. Mendecki, E. Friedenthal and C. Botstein, Montefiore Hospital, Dept, of Radiotherapy



\* Euro-Global Edition Only

ON THE COVER: Alpha Industries' 18-40 GHz mixerpreamplifier with its integral 2-6 GHz GaAs FET IF preamp is a significant step in the quickening search for an affordable, rugged millimeter system for tactical applica-Wright-Patterson Air Force Base find the state of the Technical Feature beginning on p. 37.

Theodore S. Saad Finance/Operations Dr. F. A. Brand C.K.S. Miller<br>Dr. Joseph F. White Richard J. Briden K. J. Button W. W. Mumfr Anthony F. Pastelis, III Group Vice President M. Fahey N. H. Pond EUROPEAN EDITORIAL OFFICE<br>Creative Director Bernard B. Bossard M. Fahey N. H. Pond EUROPEAN EDITORIAL OFFICE Creative Director Bernard B. Bossard Dr. F. E. Gardiol W. L. Pritchard 25 Victoria Street<br>Brian P. Bergeron Vice President B. Corres B. Corres Production Manager Dr. S. B. Cohn Dr. R. C. Hansen J. Rush nal of Electronic Defense.

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### ASK1 SPECIFICATIONS

frequency range RE LO 1600 MHz

CONVERSION LOSS One Octave from Bandedge 85 dB Max Mid Range. 7.0 dB Max

**ISOLATION** L R 45 dB Typ. L I 30 dB Typ

**ABSOLUTE MAXIMUM RATINGS**<br>Total Input Power 50 mW Total Input Power 50 mW Total Input Current, peak: 20 mA Operating Temperature -55'C. + 100'C Storage Temperature -55'to + 100'C Pin Temperature (10 sec): +260 C

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IF: DC 600 MHz



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# **Coming** Events

1981 IEEE/MTT-S INT'L MICROWAVE **SYMPOSIUM** JUNE 15-17, 1981

Sponsor: IEEE MTT-S (held jointly with IEEE AP-S on June 17-19, 1981). Place: Bonaventure

Hotel, Los Angeles, CA. Theme: "Around the World with Microwaves," includes such topics as CAD and measurement techniques, microwave and mm-wave solid-state devices, IC's, etc. Contact: Al Clavin, Hughes Aircraft Company, Bldg. 268/A-55, Canoga Park, CA 91304. Tel: (213) 702-1778.

27TH ANNUAL **TRI-SERVICE** RADAR **SYMPOSIUM** JUNE 23-25, 1981 Sponsors: US Navy and DoD agencies Place: The Naval Postgraduate School Monterey, CA.

Theme: Development and operation of military radar systems. DoD security clearance through SECRET and a need-to-know endorsement will be required for attendance at the Symposium. Contact: Radar Symposium Coordinator, Environmental Research Institute of Michigan, P.O. Box 8616, Ann Arbor, Ml 48107. Tel: (313) 994-1200, ext. 324.

**20TH GENERAL** Sponsors: Int'l<br>ASSEMBLY OF Union of Radio **ASSEMBLY OF** THE INT'L UNION OF RADIO **SCIENCE** AUG. 10-19, 1981

Science (URSI) US Nat'l Committee (USNC). Place: Hyatt Regency Hotel, Washington,

DC. Symposia Sessions: Millimeter and Submillimeter Waves, Remote Sensing, Mathematical Models of Radio Propagation, Interaction of Electromagnetic Waves with Biological Systems, plus meetings of General Assembly Commissions. Contact: R. Y. Dow, Exec. Sec. of the 1981 URSI General Assembly Organizing Comm., National Academy of Sciences, 2101 Constitution Ave., N.W., Washington, DC 20418. Tel: (202) 389-6478.

6TH INT'L CONF. ON INFRARED & MM WAVES DEC. 7-12, 1981

Call for Papers. Sponsors: IEEE MTT-S and IEEE Quantum Electronics and Applications

Society. Place: Carillon Hotel, Miami Beach, FL. Topics: Millimeter sources, devices or systems, mm and sub-mm propagation, atmospheric physics and propagation, plasma interactions and diagnostics, guided propagation and devices, etc. Submit 35- or 40-word abstract by June 30, 1981 to: Mr. K. J. Button, Program Chairman, MIT Francis Bitter Nat'l Magnet Lab, 170 Albany St., Cambridge, MA 02139. Tel: (617) 253-5561.

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## The HP8750A Storage-Normalizer: It brings additional accuracy and simplicity to swept frequency measurements.

Here's an extremely useful and versatile accessory for most HP Network and Spectrum Analyzers. The 8750A Storage-Normalizer employs memorytechniques to "normalize"-that is, remove system response from measured data. And its digital storage, constantly updated, provides a continuous flicker-free display regardless of sweep speed.

Here are some examples of the improvements it can bring to your swept frequency measurements:

#### High Accuracy Measurements.



Before Normalization



#### Normalized

Frequency response or tracking errors in transmission or reflection measurements are eliminated with normalization. You can calibrate the test system's response and store it. then subtract it from the measured data. The resultant difference represents the corrected measurement that's displayed directly in dB.

#### Comparison Measurements.



No longer is it necessary to visually scale deviations between two traces. With the HP 8750A. you can now display the difference between the two. Deviation between test devices is displayed directly in dB with a single trace.

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Use it for high resolution measurements when slow scan times are needed and get a bright, flicker-free display. Measurement data are displayed from memory with continuous refresh, independent of scan time and scope adjustments.

### Spectral Comparisons.



Using the 8750A in spectrum analysis applications, a signal spectrum can be frozen on the CRT and then compared directly with the current input signal.

Because the HP 8750A can "freeze" the display, photography is simplified and hard copies such as X-Y recordings can automatically be plotted, even while new measurements are being made.

Domestic U.S. price of the Storage-Normalizer is \$1750. Call your HP field engineer for more information on how the 8750A enhances measurements made with HP 8407, 8410, 8505, 8754 and 8755 Network Analyzers, HP 8557, 8558,8559 and 8565 Spectrum Analyzers, plus other instruments. Or write 1507 Page Mill Road, Palo Alto, CA 94304.



#### PHASED ARRAY TECHNOLOGY **WORKSHOP**

Joe White, our Consulting Editor, reports on the Phased Array Workshop hosted by Naval Research Laboratory in September 1980. The meeting attracted representatives from all the Services and from industry and it reviewed the achievements to date and examined the directions in which future work should be concentrated. Every major phased array system built since 1950 was discussed. Active programs at each Service laboratory and facility were described by representatives from those organizations. This Special Report provides as complete a summary of US phased array efforts as is available on an unclassified basis.



#### MULTIMODE TWTA DESIGN CONSIDERATIONS

Following a review of basic travelingwave tubes operation, the article examines the advantages of multi-stage depressed collector designs. The practical limitations of that approach and the common problems encountered in its application are discussed. The benefits available from computer design simulations are also covered. The effectiveness of the multi-stage depressed collector design for multimode (electronic countermeasures) applications as well as for high efficiency satellite communications tubes is shown.

#### AN INTEGRATED RECEIVER FOR 18-40 GHz

An integrated balanced mixer and GaAs FET IF amplifier with an input frequency range of 18-40 GHz and an output range of 2-6 GHz is described. A fused quartz suspended stripline medium is used in the balanced mixer to provide high tolerance to the extreme environmental conditions which can be anticipated in the tactical applications for which the design was created. The design approach is discussed and complete performance data is provided. In addition, data for mixer performance, by itself, are also included.

#### A NEW ELECTRONIC SCANNING **METHOD**

The RADANT (a contraction of the words "radome" and "antenna") principle of electronic scanning involves the modification of the refractive index of a lens made of an artificial dielectric. The article describes such an artificial dielectric consisting of grids of wires containing numbers of diodes connected together. Changing the bias states of the diodes changes the index of refraction of the dielectric and the beam position. The theory of operation is covered in detail and the advantages of the new approach over the conventional ones are discussed.

#### WIDEBAND ESM RECEIVING **SYSTEMS**

In the second part of this two-part article, the channelized receiver which combines the high intercept probability of the wide open receiver with the sensitivity and resolution of the superheterodyne receiver is discussed. In addition, the importance of the relationships between the receiving subsystem, the processing sub-system and the technique used for determining direction of arrival are covered. Finally, a tabular comparison of the advantages and disadvantages of the various receiving systems discussed in both parts of the article is presented.

#### WAVE GUIDE APPLICATORS FOR TUMOR TREATMENT

Radiation at 27 MHz can be used for hyperthermia treatment of deep-seated tumors which are not accessible with either 915 or 2450 MHz radiation. The paper describes the design of ridged waveguide applicators for use at 27 MHz which can handle the power levels involved, minimize the level of power to healthy tissue, are consistent with the physical comfort of a patient undergoing treatment and minimize radiation into free space. Calculations of temperature profiles generated with plane waves at 27 MHz in living tissue models are included and the use of the applicators in animal experiments and clinical trials is described.

Howard Ellavitz

## **Workshops** & Courses

#### MM-WAVE TECHNOLOGY SEMINAR





#### SHORT COURSE ON RADAR REFLECTIVITY OF LANDANDSEA



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Return Loss 0.1 to 35 dB typical Direct Reading Fixed Freq, or Band Scan Self-Calibrating Self-Zeroing Autoranging Peaking Meter Narrowband Coupler Directivity of 40dB and test port VSWR of 1.1:1

#### INSERTION LOSS

Fixed Freq, or Band Scan 50 dB Dynamic Range Autoranging Self-Calibrating Self-Zeroing Peaking Meter **High Accuracy** 

#### **GAIN**

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JOSEPH F. WHITE, Consulting Editor

This phased array workshop was hosted by Naval Research Laboratory (NRL) September 9 and 10, 1980 to establish the current technology of phased arrays as well as to examine the trends since 1950, about 30 years from the earliest planning of actively steered arrays. Chairman, J. Paul Shelton stated that this was intended as a Government-wide shared workshop and that, over the two days of papers and talks, representatives from all of the Services and from industry would participate in the definition of not only the technological achievements and realities of this field but, as each speaker perceived it, the future advantages and utilizations of radar systems employing electronically steered phased array antennas. Paul Shelton opened the meeting with the questions, "What should we be doing that isn't being done?" and "Is there something we're doing that we shouldn't be doing?"

Merrill Skolnik followed with a review of the history of phased arrays beginning in 1950 with the early frequency scan, Huggins phase shifters, followed in the mid-1960s with the diode phase shifter utilizing space teed, and finally with the most powerful phased array antenna thus far built, the COBRA DANE in

#### 1975. Merrill pointed out some experiences gained during the funding of early phased array systems. For example, the Bendix FPS-85 was solicited in a government RFP that defined the mission of the radar system but left the choice of operating frequency band to the contractor. Merrill pointed out that this was then and is today an effective move. At the contractor's discretion, UHF was selected and since the system was intended primarily for surveillance work this was doubly appropriate. First of all, with surveillance the lower the frequency the better, consistent with antenna size limitations and so forth; and second, the lower the frequency the more easily can the necessary array steering modules be built. "Surveillance is best done at low frequency, tracking at high frequency. If you mix them, a compromise must be accepted," noted Merrill. Presently the Government has been "looking at, not funding arrays," and he suggested that perhaps the Government should consider a concerted effort at this time to identify, based on present and anticipated technology, the applications which phased arrays would best serve. This might, he suggested, require funding five contractors for five years at a million dollars per year

just for unstructured research in phased arrays.

Phased Array

Technology

Workshop

September 9-10,1981 NRL, Washington, DC

> Bob Hill (NAVSEA) presented a paper titled "Phased Array Ra $dars - the Commitment, the Ex$ perience, the Challenge," in behalf of RAdm, Wayne E. Meyer, who could not attend the conference. This paper pointed out that new Soviet threats will require the best front ends, antennas included. Missile targets will be smaller and faster. AEG IS was started by RCA in 1969. In 1969 the Navy evaluated "piece parts," i.e. phase shifters and feed networks. Today that myopia would be inappropriate. AEGIS is a pioneering effort in phased array manufacturing from the specs, in process tests, computer control support and manufacturing technique. In AEGIS was developed ACE (AEGIS Cost Effectiveness) and though useful, it is limited in that it is only a set of cost-containing methods; totally new techniques will be needed to further reduce array costs to practical levels.

> Even if AEGIS ferrite material were free, each antenna face would cost \$2.5 million, but we need \$1 million antennas (4500 elements/array face). AEGIS uses 4 faces/ship, 18,000 elements per ship.

Bob Hill went on to add his own overview observing that

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Our Model 1045 RF Power Meter represents the ultimate in microwave measurement performance. That's why its unbeatable design features—extremely high sensitivity, ultra-fast response, high resolution and wide dynamicrange—enable it to out-perform all competitors.

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Equipped with the IEEE STD Interface Bus option, the 1045 can transmit measurement data to the bus at better than 200 Kilobytes per you can now automate



tests such as: gain attenuation vs. frequency, return loss vs. frequency, power out vs. power in, or even handle complete transmitter checkout. The result is strong performance for you at high data rates.

### . . . LIGHTNING RESPONSE

The 1045 is lightning fast, too. It updates data as fast as 500 times per

9

**PM, MODEL 1045 RF POWER METER** 



second in the frequency range of 10 MHz to 18 GHz. With power ranges from  $-50$  dBm to  $+40$ dBm—at a resolution of 0.01 dB. And its analog output enables you to produce a 60 dB dynamic range swept display on a conventional oscilloscope. At slower swept speeds, this same accurate, permanent records on an X-Y recorder. 4

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CIRCLE 13 World Radio History

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#### rom page 16) WORKSHOP

vhat we need is a fresh approach, n general. Bob feels the antenna hould be viewed as an "amor- )hous controllable medium," vhose transmissive phase can be lectrically distorted to steer and o shape one or more beams as hey emerge from or converge on vhat has thus far been referred to is a microwave antenna. Taking a jroad view is needed, he feels, if lovel approaches, departing from lassical techniques to realize a :ontrollable microwave radiating tructure are to emerge. Some tovel configurations include the DOME antenna concept (more ibout this later) and the RAD-XNT technique (which consists >f a lens containing strings of di- )des that act to steer microwave >eams via a distributed phase hift technique).

Leon Poirier (RADC) in "The 'hased Array Technology Proram at RADC" described work iroceeding at RADC from the iewpoint of radar jamming vullerability versus cost. To mininize the susceptibility of the ralar to jamming, broadband opertion and steering of sidelobe lulls (adaptive nulling) are emiloyed. Given the performance equirements then, cost is ad-Iressed through use of printed ircuits, monolithic techniques, pherical lens arrays such as the DOME lens system and alternatng beam control, i.e. use of more han one beam simultaneously.

George Jones (ABMDATC) de cribed the problem of ballistic nissile defense as it applied to adar requirements. The fact that n the terminal phase (as an CBM would reenter the atmos- )here prior to impact) an ABM Jefensive missile terminal has ess than one minute for reaction, ind during this time may be required to cope with multiple misile targets moving at very high peed, mandates an electronically igile, phased array antenna. The echnical details of George Jones' presentation are classified SEC-RET but certain general observaions can be made. For long-range shortly after launch) tracking ind/or surveillance a high power, ow frequency (L-band for examrle) radar like the COBRA DANE

with its one degree beam is appropriate. However the use of interceptor missiles required to defend MX sites mandates higher frequency bands both for increased tracking accuracy and because the antennas must be small enough to fit on relatively small cross section missiles. Even for a mission as critical as ballistic missile defense (BMD), cost is still an important consideration. If the cost for BMD is too high, regardless of whether that cost is engendered in phased array antennas or expensive rockets, then the competing alternative is simply the building of more offensive missiles.

Charles Jedrey (NAVSEA) isa Program Manager of Surveillance Radar Development whose talk was titled "The Future of Shipboard Phased Array Technology." He amplified the observation that high performance at low cost relative to what is currently available is needed for wide spread shipboard use of phased arrays. More was said on this topic throughout the conference. He pointed out that at NAVSEA, low sidelobe arrays with adaptive nulling are an important and inherent advantage of the phased array systems.

Bill Spaulding (MICON, Army Missile Command, Redstone, Alabama) made several observations in "Phased Array Research at MICOM," regarding how phased arrays relate to the ECM threat.

- The ECM threat requires the use of pencil beams and low sidelobes.
- Broad bandwidth is needed for high resolution.
- Much information is lost by phased array combinatorial techniques. We should instead be designing systems which convert the received RF phase and amplitude information at each array element directly into a multibit digital word. Then a computer could process simultaneously all array information, factoring in sidelobe data, creating multiple beams numerically, and so

forth. (This was a point acknowledged by many of the later speakers in the Worksho as an important and unique opportunity available through use of phased arrays.) This technique may require use of the multistatic principle (see below) to allow enough integration time at each module to achieve a satisfactory signal-to-noise ratio.

- The multistatic radar technique (whereby one or more receive only antennas are situated for security purposes some distance from one or more transmitting sites) can provide a way of protecting an expensive phased array, which need only be used for reception and therefore woul not be vulnerable to tracking through its emissions. Moreover, the receive array is less expensive since it need be neither reciprocal nor able to handle high power.
- Using the above techniques, Bill envisions a system operat ing with 200 simultaneous receive beams (and suitable dig! tai processing). The multiple beams could stay on their tar gets long enough to provide sufficient integration time th; only a single 10 kilowatt CW transmitter would be needed for illumination.
- This analog-to-digital process array might be made possible through VHSIC (Very High Speed Integrated Circuits) presently enjoying large Government sponsorship. Throug this program, Bill postulates a butterfly chip using 40 ns log and having an area of 1 cm<sup>2</sup> Twelve tiers might be used which, operating at a 2.08 MHz sample rate, would provide 75-meter radar resolutio

James Fraser (DARPA) in "DARPA Solid State Module D' velopment Program," described research on a concept by Grumman Aircraft, Inc., for a 70-meter diameter phased array to be located in space. It would contain 100,000 to 1 million modules, depending on the frequend of operation, L- through X-banc being considered. Several contractors including Texas Instruments, Raytheon Equipment and Research Divisions, and General Electric were awarded contracts for critical portions of the research. In addition, Ball Brothers Research, Inc., Aerospace Division, is conducting system studies. Low cost monolithic microwave techniques, including silicon on sapphire and gallium arsenide, are being examined. This work began in 1979 and the research portion is expected to be completed by 1982. RADC is the prime contractor with tri-service funding. The goal is to achieve all monolithic state-of-the-art performance (with affordability \$10 to \$100 per transceiver module) in the large module quantities.

Eli Brookner (Raytheon) presented a talk entitled "New Achievements in Array Radars" which illustrated new techniques as employed in such recent phased arrays as the COBRA DANE and the PAVE PAWS radars. The COBRA DANE is special in that its system characteristics are unclassified. Operating in the band 1175 to 1375 MHz with a peak radiated power of 15 megawatts, average power of 1 megawatt, and pulse length up to 2,000 msec, it has a range of 8500 kilometers with a signal-tonoise ratio of 13 dB on a one square meter target. This is achieved using 15,360 radiating elements arranged in groups (subarrays) of 160 elements each. A traveling-wave tube of 160 kW peak power feeds each subarray for a total of 96 tubes. The 95 foot diameter array is thinned, having approximately 45,000 elements, about two thirds of which are terminated in dummy loads. While fairly far advanced for its period (completed in 1975) Eli points to still newer phased arrays which include PAVE PAWS (built by Raytheon), EAR (built by Westinghouse), and TPQ37 and TPQ36 (built by Hughes), LUXOUR (built by Thomson CSF, France) and the Sperry DOME array antenna.

New features include (for the PAVE PAWS radar) the fact that dummy elements need not be matched load terminated. The array is thinned without the need for expensive absorptive array elements. PAVE PAWS operating at UHF is entirely solid state. Eli showed photographs of the radar's interior which, although designed along the same lines as the COBRA DANE, has very little RF plumbing behind the array face due to the all solid-statemodule design.

The Sperry DOME antenna (more about this later in a separate talk by Jerry Hanley) built at S-band achieves 360° coverage with a single controllable lens antenna. This is accomplished through a hemispheric passive lens systems and could logically be a candidate for the AEGIS shipboard system, Eli noted. Two factors mitigating the consideration are the fact that it was not developed at the time AEGIS was begun (in 1969) and, although offering the attractive feature of 360° coverage with a single lens, more volume is required to realize the space feed aspect of the array.

Eli also described the new gallium arsenide substrate monolithic work at Raytheon which achieves 2.1 W of power at Xband and  $1.4$  W over a  $20\%$  bandwidth at X-band. More about this later.

Earl Maine (NRL) presented a classified talk entitled "Fixed Array Surveillance Radar (FASR)" in which he described a UHF shipboard surveillance array designed for low sidelobes.

Gerald Hanley (Sperry Gyroscope) in "Hemispheric Coverage Antenna" presented the fruition of a very innovative scheme under development since about 1970, which uses a two dimensional phased array located in a horizontal plane. Above it is a near-hemispheric honeycomb (DOME) structure which con tains (in the model reported) about 25,000 cells each providing a fixed phase shift to refract the vertically oriented beam leaving the steerable array beneath the DOME into a more horizontally directed beam. Control of the active phase shifters influences which sector of the DOME is illuminated. The net result is a pencil beam of 1.8° which can be steered through 75° in elevation and 360° in azimuth. The actual operating specifications of the antenna are classified but most interesting is the fact that Jerry feels the array could be manufactured for about \$200,000.

Thomas Tice (NOSC) presented "Planar Phased Array for Shipborne Radar," a paper describing an antenna imaging technique which provides the gain associated with multiple radiating elements through use of multiple reflections from a conducting surface located behind a single radiating element. While a theoretical paper, the concept has important practical implications because it offers the gain of many elements while incurring the construction cost of only one element. It is also expected to reduce the effect of mutual coupling which would have occurred had many elements rather than an imaging of one element been employed.

Jean Claude Sureau (Lincoln Lab) described a novel array antenna structure in a talk entitled "Advanced Ground Surveillance Radar." This design offers 360° azimuth scanning and weighs only 180 lbs, easily carried by two men. Practical measurements made on this C-band system, which resembles a pill box in shape having a diameter of about 4 feet and a height of about 2 feet, show that it provides 21 dB of gain, 25 dB sidelobes and a 5° pencil beam in azimuth and elevation. Phase control is accomplished by multithrow switches, each of which has 1.5 dB loss and 35 dB isolation. Typical application is for an air terminal surveillance system.

Willard Patton (RCA) talked about "Low Sidelobe Phased Array Antennas for Tactical Radar." This paper, by one of the principal designers of AEGIS, described some of the modern theoretical work directed toward reduction of antenna cost through array thinning and other practical array architecture expedients. Bill referenced these comments to (continued on page 22)



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#### (from page 24) WORKSHOP

with an active module measuring 5.1 inches by 1.5 inches by 0.325 inches and having a weight of 1.5 ounces. At the present time, it exhibits 7° RMS phase error (over the band and all phase states) and develops 2 watts of output power with 15% overall efficiency at  $0.3$  to  $0.5$  duty cycle. Anticipated efficiency is expected to increase to 30% for the module by 1982. This performance is realized using FET's having a maximum channel temperature of 180° C which, applying MIL 217, yields an estimated

failure of 29 failures per million hours. This accommodates a 3,000-hour array life.

The present Air Force Electronically Agile Radar (EAR) array uses a tube and ferrite phase shifters and weighs 400 pounds. Using the solid state modules, it is envisioned that a 350-pound array weight is possible. Especially interesting is the projected economic posture for the solid state modules when compared with the present EAR figures. The EAR antenna costs \$465,000 in small quantities and the whole



**World Radio History** 

radar \$600,000, which increases to \$717 K over a 10-year period when cost of maintenance is included. This works out to \$256 per eiement. Assuming a 3,000hour life with no maintenance, solid-state modules would be considered equivalent at a \$350 per module Harold indicated, a figure that Gene Gregory, Program Manager at Hughes, feels might be realized. Presently small quantity costs of these active modules are in the vicinity of \$2,000 per element.

Peter McVeigh (Eaton, AIL) described a technique for using very few phase shifters in his paper entitled "High Accuracy, Cost-Effective, Electronic Steered Array." This system was designed for the Microwave Landing System and used only six precision 0 - 360° and ten 0 - 90° phase shifters to provide single axis scan. Very accurate pointing (1/100 of a beamwidth) is described for this system, which accommodates the 20° per millisecond scan array needed for MLS. Part of the technique involves the use of multiple subarrays (11 in this experiment) with the phase shifters located in series fashion rather than the customary parallel to drive the subarrays. Ferrite phase shifters were used and the resulting array antenna has a 2°, 3 dB beamwidth, 18 dB of gain, 28 dB average sidelobes and 20 dB peak sidelobes. Using ferrite toroidal phase shifters, a 100 kilowatt peak capability is estimated for the system (although the MLS operates at only a few watts of radiated power). The antenna is nonreciprocal but could be used in a bistatic radar or made reciprocal using reciprocal phase shifters. Peter suggested that a 15,000 element antenna with 1° square beamwidth could be replaced using 640 regular phase shifters and 128 precision line source phase shifters utilizing this technique.

Jim McDade (GE, Utica, NY) described the results of a cost effectiveness study in "Phased Arrays and LSI," through which he concluded that using present technology one way antenna loss would be 1.5 dB for the flat plate mechanically steered antenna, 2.5 dB using ferrite phase shifters, 3.5 dB using diode phase shifters (continued on page 28)

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#### (from page 26) WORKSHOP

and only 0.5 dB using active modules. Presently GE is developing a 4 bit, 1.7 dB loss, suspended substrate, four-element diode phase shift module with IC custom made drivers. In support of this system would be an X-band tandem series feed structure.

Roger Sudbury (Lincoln Laboratory) described "Millimeter Wave Phase Arrays for BMD." The missile descriptions of this paper are classified. Lincoln Lab is evaluating a  $K_a$ -band GaAs monolithic active module for this application. On the assumption that a 1 micron geometry is dictated for practical production using existing technology, the plan is to limit the RF source frequency to the 10-20 GHz range and then to use a diode multiplier to reach  $K_a$ -band.

Present progress at Lincoln has included a GaAs mixer at 30 GHz with 7 dB noise figure and a low noise amplifier with 3.5 dB noise figure, 11 dB gain and 2.5 GHz bandwidth. They have cascaded 3 chips  $(0.3 \times 0.1)$  inch each) to yield 30 dB overall gain and 3.5 dB noise figure. The mixer amplifier combination gives net gain of 4 dB.

#### PHASED ARRAY PANEL **DISCUSSION**

Following the prepared papers, was an open discussion entitled "Future Directions In Phased Array Research," which was moderated by Merrill Skolnik. Panel members included Dave Barton and Eli Brookner (Raytheon) Peter Kahrilas and Ray Tang (Hughes) Leon Schwartzman (Sperry) and Harold Weber (AFAL).

Peter Kahrilas reemphasized the point that radar antennas should become more "mosaiclike" by converting the radar data (amplitude and phase) directly to fully processed information right within the antenna structure through the use of analog to digital techniques. Having made this conversion, radar processing might be performed directly in the antenna, including such things as rejecting unlikely targets, eliminating clutter, and so forth.

Ray Tang gave some remarks emphasizing that low cost array

techniques are, in fact, presently available and that it's up to the radar community to use these techniques to promote large scale production of array antenna systems.

Eli Brookner reviewed several of the radar concepts described in the workshop and pointed to future trends which he feels will include adaptive array processing (for jammer rejection), the in creasing need for still lower sidelobe levels, cost reduction by the elimination of bonding wires im plicit in monolithic circuitry and low weight. Eli pointed out that two monolithic chips used in an output active module themselves only weigh 0.00002 pounds, and the advent of space radars will be made possible by modules which produce 0.5 watts of RF power, weigh 0.02 pounds and cost in the vicinity of \$50 each.

Leon Schwartzman emphasized that with the DOME antenna, while 30% more elements are needed in the feed array, since the antenna scans 360° in azimuth it replaces a four-faced array and thereby does so at a projected cost of only 40% of the 4 faced array antenna cost. The DOME array, Leon suggests, could be built today for \$200,000 (presumably in a configuration similar to that of the S-band embodiment described earlier by Jerry Hanley). Designers have done a lot to drive down the cost, Leon asserts, through the use of monolithic circuitry, array thinning, the DOME array concept and so forth. It's now time for the system designer to stop listing the "whole store" for radar performance, thereby driving the cost back up to unacceptable levels for array systems.

Harold Weber feels that waveform processing and the use of analog to digital conversion is increasing rapidly in other areas, therefore radars can be expected to reflect this trend. He emphasized that we (in Government) must solidify the state of the art through MM&T (Manufacturing Methods and Techniques) programs. He points out that programs the Air Force funded early on in diode and ferrite phase

shifters have paid the dividends that were seen in this workshop with respect to the modern array performance results.

Dave Barton took the devil's advocate role in pointing out that, while phased arrays are wonderful, too often modern system performance specifications are written in such a fashion that only the phased array technology can address them and that, having once done so, the development of the radar is often held back too long for want of the funds to realize the system in phased array format. He pointed out some of the paradoxical situations that can occur in modern radar planning. For example, lots of active jammers in the radar scenario favor the phased array, but not rain or chaff. Doppler processing which can separate the target from rain and chaff clutter requires 10 to 30 hits per scan for 60 dB of rejection, however phased arrays typically only spend 1 hit per scan on the target, mainly, no doubt, because they are able to move quickly on to the next target. He noted facetiously that this will be quite allright as long as we can convince the enemy not to use chaff or make attacks during rain. Also, the solid-state radar usually requires long duty cycle (typically 40%) due to the fact that transistors can't trade off high peak power with low duty cycles to achieve their average power output. This he says is tough if you are trying to fly at 1,000 feet or if the radar is ground based and needs to see targets only 1,000 feet away (hopefully, however, targets this close wouldn't require the full average power and therefore active modules could operate with shorter pulses for such close in targets). An active question and answer period followed the panelists' prepared talks.

There were over 90 registered participants in the Workshop including members from the triservices and industry. It was surprising to see as many elements of novelty in the phased array field, frequently referred to as a mature technology during the late 1970s. 第

**28 MICROWAVE JOURNAL SERVICE SERVICE** 



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#### PERSONNEL

Watkin-Johnson's Chair-<br>man of the Board, Dr. Dean A. Watkins, was elected President of the

California Chamber of Commerce for 1981. . . Len Johnson has been promoted to V.P., Marketing, of Integra Inc.'s Microwave Div. after serving as that division's Marketing Manager this past year. . . Dean Lattman was appointed Program Manager for Military Electronics Systems of Datron Systems, Inc. . .The Board of Directors of AEL Industries, Inc. elected Jesse H. Riebman a Vice President. . .Alpha Industries, Inc. appointed William F. Brady as Manager of Human Resources, Jim Lautermilch as Northwest Regional Sales Manager of the Semiconductor Division and Carl Roland as Customer Service Manager. Alpha also announced the following promotions: Mark E. Madden to the position of New England Area Sales Manager for its Solid State Div. and Steve Scannell to the post of Sales Engineer, Int'l Sales Dept... Dr. James T. Hoffman was promoted to V.P., Operations for Interstate Electronics Corp. . .At Eaton Corp.'s AIL Div., Michael J. Philbin has been promoted from Division Director to V.P., Electronic Warfare Systems. California Microwave, Inc. appointed Fred P. Storke V.P. - Engineering and Chief Technical Officer. . .Mid west Microwave elected William D. Painter V.P./General Mgr. . . .Adams-Russell Co. elected Michael Alfieris a Vice President; Mr. Alfieris continues as President of the firm's Digital Processing Div. . . Michael J. Bruno was appointed Sales Manager for Microlab/FXR's Microwave Components Div. . John L. Blazejewski was promoted from Regional to National Sales Manager for Shielding Products at Tecknit, Inc.

#### **CONTRACTS**

Datron Systems, Inc. received a \$2.6M contract from the US Army Test & Evaluation Command to

produce a 3-tone, continuous-wave radar system. . . California Microwave, Inc. was awarded a S15.5M order from AT&T Long Lines Dept, for approximately 6000 of its Model CA42 transmitting amplifier systems. . . Adams-Russell, Antenna & Microwave Div. received con tracts valued at over S500K to develop user antennas for the US Navy's Navstar GPS Program. . .E-Systems, Inc. was granted orders valued at \$10.5M for the production of AN/WSC-3 "Whiskey-3" UHF communications terminals and associated equipment for the US Navy. . Aydin Corp, recently received a \$3.1M add-on to its present \$73.5M subcontract with Litton Saudi Arabia Ltd. a Troposcatter Communications Network for an Air De fense System. . Naval Ocean Systems Center awarded a \$320K contract to Eaton Corp.'s AIL Division to develop a high frequency receiver to extend RF coverage of radar surveillance and warning receivers into the mm bands. . Digital Communications Corp., a M/A-COM company, announced the receipt of a contract from RCA American Communications, Inc.to supply two redundant TDMA terminals, with options to purchase an

additional 5 terminals. . Microtel has received an order valued at \$4.8M from the US Air Force for a quantity of their Model 1295 precision measuring receivers.

RS Microwave Co., Inc. NEW MARKET has been formed by Rich-ENTRY ard V. Snyder. The company will supply high per-

formance microwave filters, couplers and assemblies to the military and communications market. Located at 22 Park Place, Butler, NJ 07712, the company will concentrate on devices requiring computer-aided design.

#### INDUSTRY NEWS

Teledyne MEC is planning May, 1981 occupancy of an additional 110,000 sq. ft. facility which will bring

its total floor space to 210,000 square feet. . .Southern Pacific Communications Co. announced it was granted permission by the FCC to construct three satellites (two for launching and one as a ground spare) for use in its US communications network. . Alpha Industries, Inc. has occupied a 10,000-sq. ft. addition to the Optimax Microelectronics Div. in Colmar, PA, which will include a new thick film processing and assembly area. . M/A-COM, Inc. reached an agreement in principal with U.T.G., Inc. for the purchase of all the outstanding capital stock of Alanthus Data Communications Corp., whereby Alanthus would become a M/A-COM company. . .COMSAT General Corp, has acquired by merger COMPACT Engineering, Inc. for 35,714 shares of common stock of COMSAT. . .The controlling interest in International Microwave Corp. (IMC) stock has been purchased by NR Technology, Inc. Under the terms of the sale, IMC will continue to operate as an independent company... The Aeronautical Systems Division (ASD) at Wright-Patterson AFB announced that two of its cargo aircraft will conduct "proof of concept" flight tests of a new radar system with a radar transmitter aboard a C-141 and the radar receiver on the C-130 aircraft.

### FINANCIAL NEWS

Radiation Systems, Inc. filed a registration state $\cdot$ ment with the SEC for a proposed public offering

of 225K shares. The Company will use the proceeds principally to increase working capital. . . California Microwave, Inc. filed a registration statement with the SEC in connection with an offering of 400K shares of its common stock. Proceeds of the offering will be used to repay about \$6M of bank debts and for general corporate purposes. . .During the first quarter ended September 30, 1980, Scientific-Atlanta reported sales of \$55.6M and net earnings of \$3.6M or 35¢ per share. This compares with 1979 quarterly net earnings of \$2.2M or 24c per share on net sales of \$39.5M. . . Microdyne Corp. reported year-end results for the period ended November 2, 1980 of sales of \$23.7M, and net income of \$3.2M or \$1.16 per share. This compares with 1979 sales of \$17.5M, and net income of \$2.95M or \$1.18 per share. . Cubic Corp.'s year-end results for the period ended September 30, 1980 showed sales of \$196.4M, and net earnings of \$8.1M or \$2.75 per share. This compares with 1979 sales of \$171.7M, and net after tax earnings of \$15.1M or \$2.71 per share. . . General Instrument Corp. reported third quarter results for the period ended November 30, 1980 of revenue of \$210.9M, and net income of \$17.7M or \$1.97 per share. In the third quarter of 1979, revenue was \$196.2M, net income was \$14.2M or \$1.61 per share. 3

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#### GENERAL SPECIFICATIONS









# MIC Millimeter Receivers with Integral GaAs FET IF Amplifiers

DR. APOSTLE G. CARDIASMENOS Millimeter Subsystems Organization TRG Division, Alpha Industries, Inc. Woburn, MA

#### **INTRODUCTION**

A new series of full waveguide pand millimeter-wave receiver components developed for the EW and ELINT programs of the 80s utilizes suspended microstrip to achieve levels of performance required for practical systems. In recent articles, several authors have addressed the requirements for broadband low cost receiver systems at millimeter wavelengths.<sup>1,2</sup> Much of the discussion has centered around the use of "fin-line" and soft-duroid microstrip techniques to achieve low-cost receivers at millimeter wavelengths. This is a logical development in the industry, since "soft-substrate" technologies are readily available in many laboratories that are geared to the production of lower frequency microwave circuits. On the other hand, those organizations with high technology resources and know-how that allow for the successful implementation of stripline or microstrip technologies on fused quartz at millimeter wavelengths, have produced rugged components which are found to be cost competitive and extremely reliable in actual system environments.

The success of fused quartz suspended stripline components in achieving state-of-the-art noise figures in relatively narrow band receiver applications has been well documented in the litera-

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ture, 3,4,5,6 but the use of fused silica suspended stripline techniques to achieve full waveguide instantaneous bandwidths is a new and desirable benefit of a circuit medium which can be accurately and reproducibly fabricated for low cost rugged components useful in practical systems.

The use of full waveguide band receiver components is especially desirable for radar warning and ELINT applications that must survey large bandwidths searching for possible emitters in the millimeter spectrum. Meeting mission requirements with the minimum number of receiver channels required to do the job is the principle reason for seeking full waveguide-band capability in millimeter-wave receiver components now being developed.

By extending design principles successfully applied to the design of relatively narrow band fixed frequency receiver components such as radar receivers and missile-seeker radiometers, a new series of millimeter-wave mixer preamplifiers with full waveguide instantaneous bandwidths has been developed. The series includes 18-26 GHz, 26-40 GHz and 33-50 GHz balanced mixers with various LO and IF configurations to meet varied systems requirements. Additionally, a fully tunable 18-40 GHz mixer preamplifier with integral 2-6 GHz GaAs FET IF preamp (as pictured on this month's cover) is now being sup-

plied for several prototype EW and ELINT systems. Common t all of these mixer preamplifiers are the benefits of small size anc weight, very low cost in large quantities and tolerance to adverse environmental stress.

#### CONSTRUCTION DETAILS

The AK9700-18, 18-40 GHz mixer preamplifier with integral 2-6 GHz GaAs FET IF preampli fier utilizes a planar cross-bar mixer design in suspended quart microstrip to achieve the desirec broadband performance. The di rect connection of the mixer sul strate to the IF amplifier input stage without use of connectors allows for a rugged and reprodu cible integration of the multioctave 2-6 GHz IF preamplifier with the balanced millimeter downconverter. An OSSM connector is utilized to couple the local oscillator signal into the mixer and facilitates the use of 0.085" semi-rigid coaxial cable to route LO signals in practical system realizations.

In Figure 1, the various circui elements of the receiver are illus trated. The LO signal, which is coupled to the mixer substrate from the OSSM connector usine a short length of gold-ribbon, propagates in a suspended stripline circuit fabricated from 0.021" thick fused silica. In excess of 2.5 microns of gold is metallized and then photoetche on the millimeter substrate to ir



Fig. 1 Mixer substrate circuit elements.

sure low circuit loss and proper operation of the various filter structures. A seven-section injection filter is used to couple the LO signal to the matched pair of mixed diodes. The diodes are excited "out of phase" by the local oscillator signal and "in-phase" by the RF input signal. The RF signal is radiatively coupled to the diodes which appear in an equivalent series configuration to the fields propagating in the double-ridged waveguide mode. This provides for AM noise rejection in excess of 35 dB typically, and sufficient balance is achieved in this circuit to maintain at least 25 dB RF-to-LO isolation over the band.

The LO injection filter appears as an open circuit at the microwave IF frequency range used in this design. This allows the IF signal extracted from the diode pair to couple to a standard five section L-C low pass stripline filter and series matching network located between the diode pair and the FET amplifier. Miniature bypass capacitors enable de bias lines to be connected to the series pair of mixer diodes, allow-



section.

ing the best overall dynamic operating point for the receiver to be realized. Bias is derived from a protected integrated circuit regulator, and is a low impedance voltage source when viewed "looking-back" from the diodes.

Optimization of the location of the various mixer filter elements was accomplished using a X10 scale model operational from 1.8 to 4.0 GHz. In this model, conversion loss, noise figure and SWR were measured and later found to adequately represent the results obtained from the actual prototype 18-40 GHz receivers.

Specially developed millimeter beam lead GaAs semiconductors are used in the mixer (cross section shown in Figure 2). Typical devices exhibit 0.025 pfd total capacitance with an associated de series resistance of 4 ohms, and are usable up through 230 GHz in properly designed stripline circuits.

The noise performance of the receiver is enhanced by the use of an integrated Alpha 2-6 GHz FET IF amplifier with a typical noise figure of 3.5 dB. This noise figure in a production design is derived from low loss, miniaturized MIC's together with low noise recessed-gate MESFET's with 1  $\mu$ m gate lengths and an overall chip size of 0.12" x .016". Use of microprocessor controlled vapor phase epitaxy insures reproducible characteristics in production quantities. Typical devices (AFL-1000), illustrated in Figure 3, exhibit 1.2 dB noise

figures with associated gains of 12 dB in room temperature ap plications near 4 GHz. The am plifier consists of three balanced stages with integral regulators similar to the commercially available Alpha AMA-3264-03 2-6 GHz GaAs FET amplifier. Performance data for a typical am plifier is shown in Figure 4



Fig. 3 ALF-1000 GaAs FET chips



Fig. 4 AMA-3264-03 GaAs FET amplifier performance.



Fig. 5 18-40 GHz receiver SSB noise figure as a function of LO drive.

Bias circuitry, utilizing inte grated regulatorsand filtering circuits, are also contained in the receiver enclosure which weighs less than 75 grams and occupies less than 1.80 cubic inches. The /continued on page 40)

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overall specifications of the receiver are outlined in Table I.

#### LOCAL OSCILLATOR REQUIRE-MENTS AND NOISE FIGURE

For practical millimeter systems, a receiver must be capable

of operation over one full decade of L0 drive levels (usually 0 to + 10 dBm) and must be continuously tunable over a wide bandwidth with only minor changes in system performance. This is especially important where the



millimeter mixer must be located at considerable distance from the local oscillator source. Use of co axial cable for LO runs at millimeter wavelengths will be commonplace in ELINT and EW systems to reduce package size and complexity and to allow for ease of installation as retrofit/upgrade to previously built non-millimeter systems.



Fig. 6 18-40 GHz receiver Y-Factor over 4. 5-5.5 GHz IF Band.



Fig. 8 Tracking characteristics for three 18-40 GHz receivers.

The 18-40 GHz receiver operates from -5 dBm to +15 dBm LO drive levels. Figure 5 illustrates single sideband noise figures for the unit, including the contribution of the integrated IF amplifier, over this drive range. The optimum LO drive level occurs in the range from  $0$  to  $+6$ dBm where, typically, the lowest noise figure is achieved. The mix er can be pumped from 24 to 34 GHz enabling operation over the full 18-40 GHz bandwidth in either the upper or lower sideband.

In Figure 6, the broadband Y-Factor of one 18-40 GHz receiver is displayed at the 5 GHz IF center frequency at the IF output port. During this measurement, the receiver was pumped at 33 GHz at +6 dBm and a calibrated noise lamp with a WR-28 (continued on page 42)
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#### (from page 40) MILLIMETER RECEIVERS



Fig. 9 RF-to-IF conversion gain and 2x2 intermodulation products for 18-40 GHz receiver.

to 18-40 double-ridged waveguide adaptor was coupled to the RF input port. The effective ENR of this source was 16.1 dB, referred to the mixer input flange. Double sideband Y-Factors in excess of 9 dB are obtained over the entire passband of the receiver. This corresponds to single sideband noise figures of 10 to 11 dB including the 2-6 GHz FET IF am plifier contribution.

#### FLATNESS AND TRACKING

In many applications, matched pairs of receivers will be used for DF or other applications where absolute flatness and tracking from unit to unit must be achieved. Figure 7 shows the effects of tuning the local oscillator to various points in the band and demonstrates a maximum excursion of  $\pm$  1.5 dB peak to peak around the nominal conversion gain. Figure 8 compares three complete 18-40 GHz receivers at one particular LO frequency (34 GHz) and indicates that the variation from receiver to receiver is less than ± 2 dB. This general

tracking performance is also observed for other levels of  $1 \Omega$ drive ( $0$  to  $+10$  dBm), RF frequency and IF frequency that can be applied to the receivers. The RF to IF conversion gain and 2x2 intermodulation products measured for typical receivers is illustrated in Figure 9. The nominal +10 dBm (-1 dB) compression point of the transfer



Fig. 10 18-40 GHz receiver swept RF transfer characteristics upper and lower sidebands.

characteristic is primarily determined by the output stage of the GaAs FET IF amplifier, while the 2x2 intermodulation product is determined by the LO drive level applied to the singly balanced mixer. In this data, the RF signal was applied at 35.7 GHz.

The flat nature of the RF transfer characteristic is also evident in the scope photograph of Figure 10, where the input of the receiver is swept over 26-40 GHz and the two responses (upper and lower sideband) are viewed centered about the LO set at 33 GHz. One can see the symmetric IF amplifier response 2 to 6 GHz below and above the "zero-beat" at 33 GHz. A closer look at the typical swept response of one sideband centered on 34 GHz appears in Figure 11 , where flatness of the order of  $\pm$  0.5 dB is achieved.



Fig. 11 Upper sideband swept RF transfer characteristic of 18-40 receiver.

#### FUNDAMENTAL IF RESPONSE BROADER THAN 2-6 GHz

The mixer can be assembled without the integral FET IF amplifier for use with systems having non-standard IF requirements or where existing FET IF amplifiers are available in retrofit applications.

The balanced mixer exhibits flat response from 20 MHz out to 8 GHz at the IF output port. Typical flatness is better than ± 0.5 dB out through 1 GHz and better than  $\pm$  1.0 dB through 8 GHz (see Figure 12). Two mixers with slightly different IF coupling capacitors were used in these measurements, one opti-





Fig. 12 18-40 GHz balanced mixer IF response.

(continued on page 44) education of the contract of t

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#### (from page 42) MILLIMETER RECEIVERS

mized for VHF/UHF operation and the other for microwave IF response. The mixers exhibit a 50 ohm IF output with residual SWR of less than 1.7 over the entire 0.02 to 8.0 GHz IF range. Illustrated in Figure 13, the mixer occupies less than 0.95 cu. in. and weighs less than 40 grams.

#### COST-EFFECTIVE FUSED SILICA

The use of fused silica for construction of millimeter integrated circuits is both cost effective and mass producible. Soft-substrate approaches may prove worthwhile for many less demanding applications, but where considerable environmental stress and large temperature extremes must be tolerated, the use of fused silica substrates is a reliable and proven approach. This is especially true when one considers that physically small millimeter-wave semiconductors must be bonded to a reliable temperature-stable media.

Substantial shock and vibrational testing done to assess performance of fused silica millimeter circuits in several missile seeker programs have shown tolerance of this medium to shock levels greater than 10,000 g's. Continuous and random vibration of magnitudes encountered in tactical weapon systems do not damage fused silica substrates. Microcircuit bonds made between millimeter semiconductors and gold conductor patterns on fused silica substrates are highly reliable and have a long history of use in the micro-circuit community. Bonds to gold stripline conductors can easily be accomplished in production line environments using several approved bonding approaches including: ultrasonics, thermal-compression and micro-welding techniques. On the other hand, attempts to fabricate soft substrate circuits with smaller and more delicate beam lead devices used at millimeter-wave lengths requires specialized soldering or welding techniques. These are not as well suited to avoiding damage to the semiconductor over large and rapid fluctuations in ambient temperature. Soft substrate finline circuits can deform to some

extent and place mechanical stress upon mounted semiconductors as a response to rapid (greater than 5°C/min.) temperature variations in systems applications.



Fig. 13 Model AK9700 18-40 GHz balanced mixer.

The actual cost of the fused silica substrate is inconsequential (typically less than \$5.00 per mixer substrate in 10,000 piece quantities for a substrate with metallized millimeter circuit ready for the bonding of millimeter-semiconductors) in the cost-performance economics of millimeter componentry, but used of fused silica as a circuit medium does require a substantial technology base not found in every manufacturing facility. For these reasons, the use of system components made from fused silica for full waveguide band operation at millimeter wavelengths is desirable, and will allow stateof-the-art performance in actual systems applications until late in this decade when monolithic technologies may develop new receiver components of even higher performance at a reasonable cost.

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### RADANT: New Method of Electronic Scanning

CLAUDE CHEKROUN and D. HERRICK Radant Systems, Inc. Stow, MA

YVES MICHEL, R. PAUCHARD, P. VIDAL Société d'Etude du Radant Orsay, FRANCE

#### **INTRODUCTION**

In the case of a conventional phased array antenna,<sup>1</sup> the phase gradient across the array required to change the beam direction is obtained by changing the phase of discrete components. The process of electronic scanning described in this article uses the principle of modifying the refractive index of a lens made of an artificial dielectric. By this technique, discrete phase shifters are eliminated and the phase control becomes truly distributed within a radiating lens aperture made up of a diode controlled artificial di electric. The artificial dielectric consists primarily of grids of wires containing many diodes connected together. By changing between the forward biased and reverse biased states the desired change is made in the index of refraction.

This method of electronic scanning is called the RADANT\* principle and is the result oí the contraction of the words "radome" and "antenna." This prin-

#### Editor's Note

This article first appeared in French in L'Onde Electronique, Dec. 1979. Vol. 59 Nö. 12 Initially this work wás pet formed completely in France at the Société d'Etude du Radant, Orsay, France. Currently, research, development and production capability is available in the United States as wéll in the recently opened US affiliate, Radant Systems Inc., Stow, MA, a modern and complete antenna facility. Inquiries may be directed to either the US or French facilities.

ciple has led to studies $3,4$  and antenna developments for ground and airborne radar applications.

#### EFFECT OF A GRID OF METALLIC WIRES ON A PLANE ELECTRO-MAGNETIC WAVE

To understand the way in which the artificial dielectric controls phase it is best to consider the transmission phase network representation of an admittance Y which shunts a transmissionsystem of  $Z_0$  impedance. Transmission line phase shifters of this kind have been described in the literature.<sup>2</sup> The analysis will be described here as it applies to the RADANT embodiment. The re flection and transmission of a

plane electromagnetic wave incident to a plane can be described by referring to Figure 1.

In general the equivalent normalized admittance, Y, of the plane is of the form:

 $Y = G + iB$ 



Fig. 1 Diffraction of a plane wave through a plane—equivalent circuit.



Fig. 2 Network of continuous wires—equivalent circuit.



Fig. 3 Network of discontinuous conductors equivalent circuit.



Fig. 4 Susceptance variation of a discontinuous wire network as a function of the length of the cut wires



Fig. 5 Wire diode grid and equivalent circuits for both bias conditions.

If we assume the admittance is lossless, then  $Y = iB$  and there are simple expressions for the susceptance, B, and the coefficients of reflection, R, and of tiansmission, T.

$$
R = \frac{|B|}{\sqrt{|B|^2 + 4}}
$$

Where:  $\tan (\phi) = - B/2$  and  $\phi$  is the phase shift during transmission.

Also:  $|R|^{2} + |T|^{2} = 1$ 

It is convenient to consider the admittance Y to represent a "plane," that is, surface within the dielectric lens in which controlling elements are located. The presence of the control elements in an otherwise uniform dielectric result in an overall lens having a nonhomogeneous or, as more commonly termed, "artificial" dielectric. If the plane is a grid of continuous conducting wires parallel to the electric field, E, of the incident wave (Figure 2), the equivalent reactance,  $x = 1/B$ , is inductive and its value depends on the wavelength, the spacing, d, between the wires, on the diameter, a, of the wires, and on the incident angle  $\theta$  of the plane wave.

$$
X = \frac{-B}{G^{2} + B^{2}} = \frac{d \cos \theta}{\lambda} ...
$$
  

$$
\left[ L_{n} \frac{d}{\pi a} + \frac{1}{2} \sum_{\substack{m = -\infty \\ m \neq 0}}^{+ \infty} \frac{1}{m \cdot 0} \right]
$$
  

$$
\left( \frac{1}{\sqrt{m^{2} + \frac{2 \text{ md}}{\lambda} \sin \theta - \frac{d^{2} \cos^{2} \theta}{\lambda^{2}}}} - \frac{1}{\ln n} \right)
$$

Use of a continuous wire grid is well known<sup>5</sup> and is often used in polarizers or to tune dielectric panels to make them matched at specific frequencies for microwave "windomes" and "radomes."

The method to be described is derived from a lesser utilized con figuration using interrupted wires, which produce resonant structures<sup>6,7</sup>, as shown in Figure

In the RADANT steerable lens pproach, however, the wire grids re interrupted using PIN diodes i order that their susceptance an be electronically varied. This epresents a new and hitherto unescribed method for realizing lectronic antenna scanning.

A grid consisting of equal ength cut wires (no diodes) has n equivalent susceptance, B, hat primarily depends on the ength, p, of the cut wire lengths Figure 4).

he other parameters that deternine B are as follows in decreasng order of importance:

- . Distance "d" between parallel wires,
- D ameter of the wires, a.
- Distance "e" between coilinear dipoles.

:or a length, p, less than a halfvavelength, and for a fixed disance, d, and diameter, the wire rid is capacitive. With p greater han a half-wavelength the grid ppears inductive. For a larger p he susceptance approaches that >f a continuous wire. Resonance s obtained for a value of p close o that of a half-wavelength.

#### JESCRIPTION OF A PHASE iHlFTING

If, instead of the grid of cut vires, we connect wire segments ogether using PIN diodes (Figure >), a two state admittance (susleptance, neglecting loss) results. Vhen the voltage applied to the iiode strings causes the diodes to :onduct, the grid is equivalent to i network of wires which has a usceptance,  $B_2$ . If we apply a 'oltage in the reverse direction, he grid is equivalent to a netvork of cut wires of susceptance, 3<sub>1</sub>, and this can be capacitive vith the proper choice of the 'alues of p, d, wire diameter, and liode parameters.

The diode characteristics also iffect the value of susceptance, 31. Between the two states, forvard and reverse bias, of the di- )des, the equivalent susceptance s different. On the curve shown n Figure 4, note the two values if susceptance,  $B_1$  and  $B_2$ . This .hange of susceptance state :auses a change in the transmis-



Fig. 6 Matching principle using two identical wire networks.



Fig. 7 Phase shifting panel—equivalent circuit diodes reverse biased.

Icon tinued on page 5i

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#### (from page 47) RADANT

sion phase of the incident wave as it passes through the lens plane containing the diode string. Along with the desired phase change, however, there is an attendant change in the coefficient of reflection.

Since we desire to provide a match of the incident wave to free space, that is to say to prevent reflections (and have a SWR equal to unity), a "panel" consisting of two grid planes identically switched, and parallel to one another are used. As will be shown, the use of a number of such matched panels provides the means to steer a beam electrically. In Figure 6, two planes are shown separated by a distance,  $\ell$ , from each other. If the susceptance B of the grids is nonzero, the distance,  $\ell$ , for which the two susceptances B match one another is given by:

$$
\ell = \frac{\lambda}{2\pi} \tan^{-1} \left( \frac{2}{B} \right)
$$

for which case the net reflection coefficient of the pair is  $R = 0$ . The change in transmission phase  $\Delta\phi_1$  for the wave after it has encountered the panels is:

$$
\tan \frac{\Delta \phi_1}{2} = -\frac{B_1}{2}
$$

where B, is the grid susceptance in one of the diode states. Because the diode switched grids can have two states, two separate nonzero susceptances  $(B_1 \text{ or } B_2)$ can be perfectly matched for only one of these values, because the distance,  $\ell$ , is fixed. Therefore, we must find another independent design parameter to adjust in order to provide a match for both values of B.

This independent parameter can be obtained practically by interleaving a continuous wire grid with the diode network as shown in Figure 7

The distance between the wires and the spacing of the diodes are chosen in such a way that, when reverse biased, the capacitive effect of the diodes is parallel resonated by the inductive effect of the continuous wires.

At a given frequency  $(f = \omega/2\pi)$ the parallel resonance is obtained

when  $LC\omega^2 = 1$ . The net equivalent susceptance in this case, as well as the associated transmission phase shift  $\Delta\phi_2$  are zero.

When we then apply a voltage so that the diodes conduct (see Figure 8), there are two inductive networks for which a new value of  $\ell$  can be selected for a transmission match, satisfying

$$
\ell = \frac{\lambda}{2\pi} \tan^{-1} \left[ \frac{2}{B} \right]
$$

$$
= \frac{\lambda}{2\pi} \tan^{-1} \left[ -L\omega \right]
$$

Where the net susceptance B is  $now -2/L\omega$  and the reflection  $coefficient, R = 0.$ Between the two states of the diodes, the differential change in phase is:

 $\Delta \phi = \phi_1 - \phi_2 = \phi_1$ 

and the net reflection coefficient for the pair of grid planes making up a panel remains zero in both states.

This basic concept of producing matched transmission phase



 $=\frac{1}{2\pi}$  tan  $\left[\begin{array}{c} 1-\text{L}\omega \end{array}\right]$  fig. 8 Phase shifting panel-equivalent circuit—diodes forward biased.

shift can be used to construct a lens which consists of many pairs of panels to achieve "multibit" phase control.

#### RADANT LENS

Using the preceding panel as an example, suppose that the diodes in one half of the panel are conducting, while those in the other half are non-conducting (see Figure 9). The wavefront, after traversing the panel evidences a discontinuity in transmission phase between the two



Fig. 9 Phase shift as a function of diode biasing.

(continued on page 52) MICROWAVE JOURNAL

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#### (from page 50) RADANT

halves such that the propagation delay experienced by the two half-planes of the propagating wavefront is:

A Radant lens (Figure 10) is made by using N panels identical to the one shown in Figure 9 and for which:

$$
\tau = \frac{\Delta \phi \lambda}{2\pi} \qquad \Delta \phi = \frac{2\pi}{N+1}
$$



Fig. 10 Change of scanning angle through a radant lens



Fig. 11 Radant antenna single plane scanning.

If all of the panels are to be made identically, then  $\Delta\phi$  must be designed to be a sub-multiple of  $2\pi$ . With a lens constructed in this manner, we can increment the phase by  $\Delta\phi$  from 0 to  $2\pi$ .

If the diodes are made to be either conducting or non-conducting within the panels in such a way that from one section of diodes to the other the change in phase varies by  $\Delta\phi$ , the wave front will no longer be parallel to the plane of the incident wave. Instead, the resulting beam will have been steered in direction by an angle  $\theta$ , based on the relationship:

$$
\frac{2\pi d \sin \theta}{\lambda} = \Delta \phi
$$

Rapid change in beam direction can be accomplished by high speed electronic control of the diode bias states. Second order effects, to be discussed later, oc cur when there is coupling from one section of the diode array to the other, in which case the algorithm required to steer the beam is not as simple an application as the preceding formula.

#### RADANT ANTENNA

To make a complete antenna employing the Radant lens scanning principle, a variety of feed systems to illuminate the lens can be used (see Figure 11), including

- A feed horn that can provide a spherical wave with a single polarization.
- A lens whose wires are parallel to the electric field of the incident wave.

The lens, besides steering the beam, can focus the spherical incident wave so as to generate a plane wave perpendicular to the axes of the wires (or diodes). Focusing in the orthogonal plane can be accomplished at the feed or by a passive lens placed between the feed and lens.

For three dimensional scanning, it is necessary to place two Radant lenses (see Figure 12) in front of the feed. The first Radant lens focuses and scans the beam in the horizontal plane. The second lens focuses and scans in the vertical plane.



ig. 12 Radant antenna dual plane scanning.

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#### **CONCLUSION**

The Radant lens array has cerain advantages over the convenional, phase shifter arrays.

- A fundamentally simpler de sign that leads to a cost saving potentially one half or greater than a conventional array.
- With a flat feed, a thin antenna array can be built.
- The need for tewer control circuits results in reduced cost  $(n + m)$  instead of  $n \times m$  circuits).
- A reduction in scan losses (i.e.  $\cos \theta$  instead of  $\cos^3 2$   $\theta$  or  $\cos^2 \theta$ )
- Distributed phase shifting which allows a high power handling capability, and de creases the parasitic side lobe level due to tolerances.

'he greatest advantage of the Radant lens array is to accom->lish electronic scanning at a ower cost than presently possi- )le using conventional techliques. In a second part (to be )ublished shortly) practical nethods and results of the RAD-**ANT** technique will be described.

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### **wideband** ESM Receiving Systems

Part I of this article, published in September 1980, discussed the basic need for wideband receiving systems for ESM missions and described suitable crystal video, acousto-optic, compressive and IFM receiver designs. Part II continues with a discussion of the channelized receiver and a tabular comparison of the advantages and disadvantages of the various receiving systems.

#### CHANNELIZED RECEIVER

The channelized receiver offers the advantages of the high intercept probability of the crystal video or wide-open IFM receiver with the sensitivity and frequency resolution of the superheterodyne receiver. In addition, the channelized receiver is finding increasing use in applications where accurate frequency measurement under conditions of overlapping pulses resulting from either high pulse density and/or deployment of multibeam radar systems is of concern. The resolution of such signal conditions has become a necessary requirement in many instances if accurate signal identification is to be performed. The wide-open IFM receiver technology although capable of recog-



block diagram.

Pahniarv — 1QR1

nizing when a simultaneous pulse condition exists can only measure the strongest signal and cannot provide information that will allow two or more signals to be separated.

The channelized receiver consists of banks of contiguous filters that cover the frequency band of interest followed by individual signal detectors that determine when a signal is present within the filter bandwidth. In practice, multiplexing and down conversion to a common baseband is usually employed to reduce the complexity and overall complement of hardware. For example, as shown in Figure 11, a channelized receiver covering 2-18 GHz might be configured as follows: (1 ) a set of contiguous filters covering 2 GHz each from 2-18 GHz, followed by down converters that transform the 4 to 18 GHz range to 2-4 GHz; (2) the independent 2-4 GHz outputs are multiplexed into a contiguous filter bank of ten 200 MHz wide filters covering 2-4 GHz; and (3) these outputs could then be further down converted and multiplexed to a common 200 MHz wide IF output. A third bank of 10 filters with associated detectors might then be used to further resolve the pulse frequency to ±10 MHz.

It is important that the initial selectivity be good if dynamic range on the order of 60 dB is to be obtained. Wideband balanced mixers driven by at least 20 dBm of LO power are also usually required to keep spurious responses down.

Figure 11 shows two multiplexers; the second multiplexer is sequenced through each of its steps for each step of the first multiplexer. This is essentially equivalent to a superheterodyne

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PART II

C. B. HOFMANN A. R. BARON Amecom Division Litton Systems, Inc. College Park, MD

receiver stepping through the H band with a 200 MHz step. Hov ever, alternate schemes to improve intercept probability ma\ be accomplished by adding detectors at the outputs of either the first or second converters sc that "smart" or adaptable switc ing may take place. Another modification to reduce intercep time is to use a  $2$  GHz wide IFM receiver at the output of the fir: multiplexer, thus providing a 2000 MHz instantaneous bandwidth. The IFM receiver, although having somewhat less sensitivity can be used to detect the strong non-overlapping signals as well i adaptively direct the second mu tiplexing. The narrowband filte banks will look for pulse overlapped signals or closely spaced CW signals.

The advances in GaAs FET technology and the application of microwave integrated circuit packaging techniques are also ideally suited for use in the fror end and down converter section of the channelized receiver.

Of significant importance in the third or IF filter bank of the channelizer is to prevent/eliminate adjacent filter slots from bi ing activated by the occurrence of a high power, narrow pulse. This is of particular concern when a pulse overlap condition exists and the two pulses are rel tively close in frequency but greatly different in power.

This problem of selecting the appropriate filter output is one of the most significant problem: in designing a channelized recen er. For example, for a  $0.1 \mu$ sec pulse the sideband energy 60 dE down from the peak extends ±3000 MHz out from the carrie for a zero rise time signal. For a triangular shaped pulse this reduces to about ±150 MHz. For

pulse whose rise and fall lime is approximately a cos<sup>2</sup> curve which is more typical, the sideband energy still extends out to ±70 MHz, 60 dB down from the peak of the signal. Thus, two 0.1 usec overlapping signals that are 60 dB apart in amplitude cannot be resolved unless they are sepa rated in frequency by more than 70 MHz. This is independent of the selectivity of the filter.

The basic frequency accuracy and resolution desired is often incompatible with the final filter bandwidth necessary to permit measurements on the narrowest expected pulse. This problem can be resolved if the frequency response of the filter skirts are carefully controlled. An instantaneous fine-frequency capability can be achieved by using adjacent filters to form a frequency discriminator. When the outputs of the filter cells are strobed, the signal amplitude of all three cells can be measured and used to determine the position of the signal within the center cell.

Channelized receivers have seldom been employed in operational systems since they require a large amount of hardware to achieve broad bandwidth coverage. The introduction of surface acoustic wave (SAW) devices, has greatly improved the ease of packaging and decrease the cost of such receivers and there is now renewed interest in channelized receivers. The use of SAW devices potentially allow a number of contiguous bandpass filters to be implemented on a single piezoelectric crystal — combining a small size, easily reproduced and low cost filter bank.

Figure 12 is a channelized IF/ video processor module devel oped by Texas Instruments for the Air Force Avionics Laboratory. This unit uses SAW filters to provide bandwidth channelization to a slot width of 20 MHz while providing processing for 100 nsec pulses.

#### SYSTEM IMPLICATIONS

The interrelation between the receiving subsystem, the processing subsystem and the technique used for direction of arrival meas-



Fig. 12 Channelized IF video processor module having 20 MHz slot width SAW filters. (courtesy of Texas Instrument Inc.)

urement must be addressed in the design of the ESM system. Figure 13 illustrates these interrelationships. To minimize the time required to intercept a signal, a system wide open over the entire frequency range and having instantaneous 360° azimuthal field of view is desired. The system configured in this wide-wide open configuration may produce such a vast quantity of data due to the signal density that without other aids the processing system could be overwhelmed. The two dimensional (frequency/DOA) acoustooptic system presently in the R&D stage could ultimately provide the desired performance. Signal separation into discrete frequency/DOA cells permits isolation of even time coincident signals and would yield precision

measurement of frequency and direction of arrival so that a processing system could be organized that can reliably handle a multiple mega-pulse per second signal environment.

The data rate is reduced by constraining the instantaneous field of view. Many existing narrowband systems provide instantaneous 360° azimuthal coverage with precise DOA measurement via amplitude or phase comparison techniques. Total frequency coverage is achieved by sequentially tuning the narrowband receiver across the frequency range.

Alternatively, a very broadband directional search reduces data rate, also providing an overall increase in system sensitivity due to antenna gain, increasing the ESM system detection range. The directional search can be achieved by mechanically or electronically scanned antennas. The organization of a processor for this type of system is shown in Figure 14. The objective is to detect significant changes in previously seen emitters and then to immediately identify new emitters from the dense redundant, mostly uninteresting signal environment.

As an example, consider that the directional antenna rotates continuously. The signal environment that has previously been



Fig. 13 Frequency/azimuth coverage trade-offs.

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#### {from page 58) ESM

seen at each discrete azimuth position of the antenna is contained within the pulse sorter/ content addressable memory (CAM) and signal monitor unit (SMU). As the antenna rotates, the CAM and SMU are updated for each discrete position of the antenna. The CAM could have multiple levels; the primary sort is by frequency. If a signal had previously been detected at the designated frequency, a second ary CAM could check for pulse width agreement. If the intercept's frequency and pulse width match the contents of the CAM, the SMU analyzes the pulse train pattern. If this pattern matches a previously stored pattern, the signal has been seen before, and no further analysis other than updating its direction of arrival is required. If there is mismatch at any stage, the new signal is routed to the Pulse Data Analyzer microprocessor. This approach only requires sufficient CAM and SMU storaae capacity to handle the signal environment in the most dense directional sector and not that seen over the entire 360° field of view. This approach can also function with nondirectional DF systems e.g., interferometer, that provide a DOA tag for each received pulse.

The omnidirectional antenna of Figure 14 provides the function of sidelobe discrimination, i.e., prevents the system from detecting signals on the side and backlobes of the directional antenna. This is a vital system functior since it: (1 ) ensures correct measurement of the emitter di-



Fig. 14 Wideband ESM system/processor block diagram.

TABLE 3

#### COMPARISON OF WIDEBAND RECEIVERS



ection of arrival and (2) reduces lhe data rate into the processing system. Sidelobe suppression is accomplished by requiring the signal from the directional antenna to exceed the signal from the omnidirectional antenna by a fixed amount; otherwise, the re ceived signal is rejected.

While the system makes use of the direction of arrival to perform the functions mentioned above, it could also operate in an omnidirectional mode. In this mode, the system sensitivity is sacrificed but an instantaneous 360° coverage is provided. This instantaneous coverage coupled with the wide open frequency coverage ensures detection of ex tremely short transmissions. This configuration requires no modifi cation in hardware, only software. In this mode the system loses some capability in handling very



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Prior to his employment at Amecom, Mr. Baron worked at AIL for 10 years where he was engaged in the development of EW systems and techniques. Mr. Baron received a B.E.E. from the City College of New York in 1956 and an M.S.E.E. from the Polytech nic Institute of Brooklyn in 1962. His graduate studies emphasized communication and control systems.

dense environments, in that the CAM and SMU capacity must accommodate the entire azimuth al environment. For many scenarios the capacity resident within these memories should be adequate to enable the system to operate in this wide, wide, open mode.

#### **SUMMARY**

In conclusion, Table 3 presents a comparison of the advantages and disadvantages of the various wideband receiving systems discussed. The comparisons have been made for comparable com plexity of use and for the present state of receiver development. Note that on a subjective basis there is a strong correlation be tween hardware complexity, the difficulty associated in processing the receiver output, and relative cost.



Charles B. Hofmann has been engaged in the development of electronic warfare systems for 17 years. He is presently Vice President of Engineering at the Amecom Division of Litton Systems, Inc., where he has been employed for the past 11 years. He is re sponsible for all engineering technical and administrative activities for Amecom's entire product line that includes electronic warfare, communications, telecommunications and radio navigation systems. Previously, at Amecom, Mr. Hofmann was Program Manager for the development of the Passive Detection System (AN/ALR-59) for the Navy's E-2C Aircraft. He was Engineering Program Director for the development of the Terminal Control Voice Switching System presently operational at the Dallas/Fort Worth Airport. Mr. Hofmann was also Director of the Engineering Product Development Directorate, responsible for the design of all electronic subsystems.

Prior to his employment at Amecom, Mr. Hofmann worked at the Maxson Electronics Company developing EW trainers, and at AIL where he developed amplitude and phase tracked logarithmic IF amplifiers used in electronic warfare systems. Mr. Hofmann received a B.S.E.E. from Lehigh University in 1961 and an M.B.A. from the Columbia University Graduate School of Business in 1963. \*\*\*

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### Multimode Travelling-wave Amplifier Tubes

ERIK BUCK, Major, USAF Aeronautical Systems Division/XRE Wright-Patterson AFB, OH

If one wants a broadband powsr amplifier at frequencies of a gigahertz or higher, one will prob ably choose a traveling wave tube .TWT), TWT's find applications in communications, especially in satellites, and in various military systems, particularly broadband iammers. Bandwidths of an octave or more are common, and efficiency can, but usually doesn't, approach 55%. Even at K band, power outputs in the hundreds of watts are achieved. Even the most optimistic proponents of solid state devices admit that when it comes to brute power. TWT's are the way to go. This article is concerned with recent developments which make the TWT even more attractive as a microwave amplifier.

ure 1 , is a nearly axisymmetric tubular device with an electron beam running down its length. At one end is an electron gun, a cathode and focusing optics, and at the other end is a collector, one or more electrodes which collect the electrons. The beam is focused magnetically, most com monly with periodic permanent magnets (PPM) which form a series of magnetic lenses and keep the electrons, which tend to spread apart because of space charge, from "intercepting" the RF circuit.

The RF circuit is a transmis sion line, from the input coupler to the output coupler, which is characterized by a phase velocity, relative to the axis of the tube, which is a fraction of the speed of light. In broadband tubes, the common RF circuit isa helix. Some narrowband tubes use coupled cavities. The electron beam, under the influence of the RF in-



Fig. 1 Schematic of TWT and power supplies.

put, tends to form bunches of charge. When the velocity of the beam is very slightly higher thar the phase velocity along the circuit, the bunches of charge induce a wave which travels along the circuit and is amplified at th expense of the kinetic energy of the electron beam. Hence the name, traveling-wave amplifier tube, which is descriptive but does not lend itself to an acronym. The classic text on the sub ject is Pierce, Traveling-Wave  $Tubes$ <sup>1</sup>

#### **EFFICIENCY**

The overall efficiency of a TWT, as shown in Table I, is the ratio of the useful power output into a load to the de input powe from the power supply, excluding, for convenience, the small amount of cathode heater powei Another useful term is electroni efficiency, the ratio of power in duced in the circuit to the powe of the electron beam,  $I_{\alpha}$ ,  $V_{\alpha}$ . Ty ically, electronic efficiency may be in the range of 10 to 20%; if you take more power out of the beam, it will not stay in phase with the RF wave. Circuit efficiency has to do with the efficiency of the circuit as a transmission line, typically  $80$  to  $90^\circ$ because of resistive losses and d electric losses in the insulators which support, and also cool, the helix. At this point you will appreciate that if electronic efficiency is 20% and circuit efficie cy is 90%, only 18% of the bear

#### picted very schematically in Fig-

The traveling-wave tube, de-

THE BASIC WORKINGS

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**World Radio History** 

#### TABLE 1. - DUAL MODE TWT/MDC

Performance at and below saturation for a fixed set of MDC operating conditions (9.2 GHz)



#### (a) FIVE STAGE COLLECTOR

power will get out the output window. How can overall efficiency reach 50%?

Enter the concept of collector efficiency. If, in actuality, the electrodes of the collector were at ground potential, all of the kinetic energy left in the beam would be simply dissipated as heat when the beam impacted the collector. Then overall efficiency would be limited by the electronic efficiency. However, the collector is typically de pressed, operated at a potential closer to cathode potential than the potential of the tube body (ground). Hence, as the electrons enter the collector, they give up their kinetic energy traversing the potential gradient and strike the collector electrodes with less wasted power. Typical two-stage depressed collectors, like the one depicted in Figure 1, can recover about half of the kinetic energy of the spent beam. The rest generates heat. One can conceive that if a collector and circuit

were 100% efficient, then the tube could be 100% efficient. All of the dc power actually consumed would result in useful RF power (Figure 2). Poor circuit efficiency, however, lowers overall efficiency, as shown in Figure 3?

#### CHOOSING AN OPERATING POINT

The performance of a given TWT is highly dependent upon the qualities of the beam and the manner of its focusing. A useful concept is perveance.

$$
perveance = \frac{I_o}{V_o^{2B}}
$$
 *pervs.*

In a high power tube with a beam current,  $I<sub>o</sub>$ , of 1 ampere and a beam voltage,  $V_{\alpha}$ , of 10,000 volts, the perveance is  $10^{-6}$ , or 1 microperv. The difficulty of designing the gun and the magnetic focusing increases with perveance, and values much above 2 microperv require fairly

heroic measures; PPM focusing gives way to heavy, power-con suming solenoids.

In a multimode tube, designed to operate at different power levels, the traditional approach has been to vary the perveance of the beam by varying the beam cur rent, typically with a grid (or several) in the gun. With fixed focusing fields, from permanent magnets, the lower perveance beam, which has less space charge tending to expand the beam, will shrink in diameter. The interaction with the RF circuit is less strong, so the beam power, the electronic efficiency (the portion of the beam power converted to RF), and the gain of the tube all decrease. For the tube which was the basis of Table 1, a beam current (at 9.93 kV) of 480 ma (high mode) yielded 830 watts of RF output at saturation. When the beam current was reduced to 380 ma, the saturated output was reduced to 500 W. The tube would not operate usefully with much less beam current.

The gain of a TWT is constant over a range of small signal values. As the RF input increases, the output becomes less linear until the tube saturates and further increases in RF input result in decreased output. The common tubes available today are usually



Fig. 2 Overall efficiency vs collector efficiency.

)perated close to saturation, be :ause that is where the electronic efficiency is highest, and overall fficiency is dependent upon elecronic efficiency.

#### HE LINEAR TUBE

Suppose, however, that the )verall efficiency were relatively idependent of electronic effi iency. It would then be practical o operate tubes in the linear reion (low electronic efficiency) ontrolling the RF output by arying the RF input, rather than )y changing the gain characterisics of the tube. (For some reaon, system designers often resist his concept, preferring to satuate the RF driver, so that RF in- )ut is constant. I assert that there hould be less discomfort conrolling milliwatts of RF input han there is controlling kilovatts of de with grids). If a large ange (10 dB) of output is de-;ired, both methods can be used, 'hat is what was done in our exerimental tube (Table 1). At the owest P<sub>BF</sub> (low mode), only 83 vatts, the beam current was still 580 ma. The low electronic effi- :iency was compensated for by n efficient collector. The linear, 'constant efficiency," tube, to e attractive, must have two fea $ures: (1)$  a collector efficiency if 90% or better, and (2) low osses, with very little intercepion of the beam or backstreamng electrons. $3$ 

#### HE MULTISTAGE DEPRESSED :OLLECTOR

The difficulty with convenional depressed collectors (as in Figure 1) is that a space charge uilds up which limits the depresion, and thus the efficiency. ieveral years ago, Dr. Henry Kosnahl, at NASA Lewis Research Center, took a fresh look at the lepressed collector and the elec ron optics, which resulted in latents for improved multistage lepressed collectors (MDC) and or a refocusing section, inserted jetween the end of the RF cir uit and the beginning of the colector, in which the electron >eam is expanded and collimated vhich, in conjunction with a

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Fig. 3 Effect of circuit losses on the overall tube efficiency for electronic efficiency  $n_e = 0.15$ .

good collector, makes possible near-total recovery of the energy in the spent beam. These devices were spectacularly successful in their first application, the Communications Technology Satellite (CTS). The CTS tube, a 12 GHz, coupled-cavity, 200 watt TWT was operated in space for nearly 4 years (satellite was turned off in October 1979). It was both the most powerful and the most efficient (~50%) communications TWT ever flown.

The design of an efficient multistage depressed collector requires some sophistication and is best done by digital simulations.<sup>5</sup> Figures 4 and 5 depict calculated electron trajectories in two different collectors. The collectors are symmetric about the axis; only half of a section is shown. The lines which are horizontal at the axis are equipotential lines, which illustrate the electrostatic lenses formed in the apertures. The arrowheads denote probable secondary electrons. The keys to a successful MDC are these: (1) collect the spent beam electrons at as low a potential as possible for maximum energy recovery, and (2) avoid backstreaming, which may be either primary electrons which are reflected back into the tube by the potential gradient or secondary electrons which are accelerated into the tube. Thus, in the successful collector there are divergent lens effects to disperse the beam toward the collector walls, and the electrons are collected on the side of the plates away from the

iuoe, in suppressing neius, so as to avoid secondaries finding the way into the tube.

The ill effects of secondaries can be further reduced by using collector surfaces with a low yield. Oxidized copper will usu; ly yield more than one seconda electron for each primary electron collected. For laboratory use only, we at NASA coat our collectors with ordinary soot, which improves collector efficiency by 2 - 4%, typically. The can be very significant when on is striving for 90% efficiency. Some tube makers use a sputtered coating of titanium carbic which seems to help and is obvi ously more durable than soot. Lewis, we are now fabricating collector plates from pyrolytic graphite, which is light, strong, and highly conductive in the plane of the plate. It should be compatible with bake-out in va uum, and we have demonstrate by measurement of roughened samples, that the secondary yie is low, about 0.3. Practical assembly techniques (eg. brazing) have not yet been mastered at Lewis, but Siemens has done it

It is commonly said that too much collector depression cause increased interception. It woulc be more correct to say that increased depression in a poorly e gineered collector will cause excessive backstreaming. Electron which are going the wrong way down the tube will, of course, have different and deleterious e fects as compared with the inte ception of the edges of the beat by the circuit. However, they both show up as "body current and cannot be separately measured in a typical production tube. The point is this: if the body current increases significantly when the collector is depressed, go back to the drawing board.

Another misconception is th; the MDC is necessarily big. The CTS collector is intentionally large, because it is radiation cooled. The collector in Figure however, is very close to the siz of the collector it was designed to replace; it is roughly an inch in inside diameter. Note also th.



Fig. 4 Electron trajectories in experimental collector with five depressed stages; TWT operating at 3 decibels below saturation. Secondary electron emis sion yield,  $\delta$ ,  $1/2$ .

most of the impacts are on the walls, which facilitates cooling.

How many stages of depression does one need? The answer de pends upon the perveance of the beam and the electronic efficiency. When either or both are reduced, the variance in the velocities of the electrons is reduced (Figure 6). 5 Typically, part of the collector is at ground, where it attaches to the body, and the last stage is at cathode potential. One plate is approximately at the po tential which corresponds to the energy of the slowest electrons, and one or more plates are at intermediate potentials, for a total of three to five depressed stages. If the range of velocities is great (the high perveance or high electronic efficiency case), then it is more difficult to sort out the electrons by velocity and to re cover their energy. At low RF drive levels (low electronic effi ciency), the beam is more homo-



Fig. 5 Electron trajectories in scaled-down collector with three depressed stages; TWT operating at saturation. Secondary electron emission yield, 6, 1/2.

geneous, so the electrons are more efficiently collected. The fact that the MDC automatically becomes more efficient as the electronic efficiency is reduced leads to the concept of the "constant efficiency" tube.

#### PROOF OF CONCEPT

In January and February of 1979, Ramins and Fox (at NASA-Lewis, as part of a joint program with the Air Force) demonstrated the "constant efficiency" con cept with a modified production tube, the Teledyne MEC MTZ 7000 fitted with a NASA-fabricated<sup>6</sup> collector. The data in Table 1 is but a sample, not the best that can be done, but an example of a tube which operates over a 10 dB output range varying only the focus electrode voltage (dual mode gun) and the RF input power. Optimized for saturated performance, the overall effi ciency exceeded 50%. Optimized

for collection of the de beam (no RF input), the collector efficiency exceeded 97%.

To the system designer, the de velopment of the high-efficiency MDC, which makes possible the multimode "constant efficiency" tube, will have a direct impact on what he can do. If one is in the electronic countermeasures business, one can double the efficiency of the current generation of tubes, reducing power con sumption and cooling requirements while increasing output power. Perhaps one can replace separate pulse and CW tubes with one multimode tube.

For satellite communications capability, the high efficiency technology will be incorporated in a new 20 GHz tube for NASA Lewis Research Center.<sup>7</sup> The new tube will be extremely linear, so as to be able to handle three simultaneous QPSK modulated carriers without undue intermodulation distortion. The saturated output will be approximately 75 W. However, it is intended to operate in the linear region, not at saturation. Normally it will operate 14 dB down from saturation, at an overall efficiency of about 20%. In the event of attenuation by rain, the output signal can be boosted 10 dB, for the duration of the thunderstorm only, to a point 4 dB down from saturation but greater than 40% efficiency, thus conserving prime power. With 98+% recovery of beam power in the no-drive condition, it will be feasible to leave the tube on all the time, thus improving reliability.

Obviously, a tube with a good MDC will cost more, both to de-



Fig. 6 Computed energy distributions for tubes of same efficiency as function of perveance.

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sign and to build, than a tube with lower parts count. However, the increment in cost is small compared with the advantages of higher efficiency. There will be savings in the weight of power supplies and collector cooling, though the power supply may need more taps. (CTS had eight). However, two or three-stage collectors are becoming common; four or five-stage collectors are not a big step. We are looking at future power supplies using capacitor-diode voltage multipliers (CDVM), modern versions of the 1932 Cockcroft-Walton device. With the CDVM, multiple taps are "free." High voltage stand-off is no problem; as the number of plates increases, the potential between them decreases. Regulation is not critical; a voltage variation of a few percent has little effect on over-all collector efficiency. The power supply should, however, have enough energy storage to handle the rapid changes in collector plate current which can occur when the tube is pulsed. Here, again, the CDVM looks like an economical solution.

It may be noteworthy that multi-stage collector efficiency is not sensitive to the RF frequency of the tube, as such. MDC efficiency varies with electronic efficiency, which tends to vary with frequency.

#### **CONCLUSIONS**

Significant advances have been made in traveling-wave tube engineering, and digital models allow new concepts to be converted into hardware more quickly, with fewer cut-and-try iterations than used to be the case. Thus, tubes which were once marketed when they were "good enough" can be much improved. The application of efficient refocusing and multistage collectors, made possible by computer simulations, will greatly increase tube efficiency and, thereby, make practical new multimode tubes which operate, at least partly, in a linear fashion. These new tubes will lead to major changes in system architecture and will generate needs for advances in the control of RF input.

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### 27 MHz Ridged Waveguide Applicators for Localized Hyperthermia Treatment of Deep-Seated Malignant Tumors

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#### **INTRODUCTION**

Localized hyperthermia has been shown to be effective in the treatment of a variety of malignant tumors, either as a standalone therapy, or more often in conjunction witn radiation therapy.<sup>1,2</sup> A typical treatment with localized hyperthermia consists of raising the temperature of the tumor mass to about 42.5- 43.5°C, taking care to minimize the heating of the surrounding healthy tissues. The tumor is maintained at the high temperature for approximately one-half to one hour at a time. Multiple treatments are usually given. Ionizing radiation when added is usually administered in reduced dosages either during the hyperthermia treatment, or immediately before or after the treatment.

One of the most useful methods of producing localized hyper-

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Ridged waveguide applicators suitable for localized hyperthermia treatment of deep-seated malignant tumors are described. When driven with several hundred watts of 27 MHz RF power, these applicators can raise the temperature of deep-seated tumors to the hyperthermic range (42.5-43.5 C), i.e., the temperature range which appears to be optimum for the treatment of cancer. In initial clinical trials encouraging results were obtained with no discernibic side effects.

thermia in tumors is dielectric heating with radio-frequency (RF) radiation.' Here, power from an RF generator is transmitted into the tissue volume to be heated by an antenna or applicator. The RF travels through the tissues of the body in the form of an exponentially decaying wave, giving up energy to the tissues (dielectric heating) as it traverses them.

The depth to which RF waves can penetrate into tissues and produce heating is primarily a function of the dielectric properties of the tissues and of the RF

frequency.<sup>3,4</sup> In general, the loy er the water content of the tissi the deeper a wave at a given fre quency can penetrate into it. Thus, for example, RF waves ca penetrate much deeper into fat (low water content) than into muscle (high water content). Also, at the RF frequencies of interest, the lower the RF frequency the deeper the depth of penetration into a tissue with a given water content. This is illu trated in Figure 1, which shows the results of calculating the he produced by plane waves at the five lowest ISM frequencies\* in simple tissue model (infinite lay er of fat 2 cm thick followed b^ an infinite layer of muscle infin itely thick).<sup>3</sup> The values of the complex dielectric constant use

\* ISM frequencies are frequencies set asid by the Federal Communications Commi sion for Industrial, Scientific and Medici applications.



Fig. 1 Calculated relative heating in fat and muscle as a function of distance for five different ISM frequencies

in the calculations were taken from Reference 9. Note the relatively small amount of RF power that is dissipated in the fat layer. In particular, note that virtually all of the energy at 13.56 MHz, 27.12 MHz and 40.68 MHz is transmitted through the fat into the muscle where it is dissipated.

In the January, 1980 issue of the Microwave Journal we described the theory and construction of hyperthermia applicators designed for operation at 915 and 2450 MHz.<sup>5</sup> Applicators at these frequencies are useful for noninvasive hyperthermia treat¬

ments of cutaneous and subcutaneous tumors, tumors located within or in the vicinity of natural body cavities, and tumors located in the breasts. On the other hand, tumors that are shielded from an accessible body surface by more than about 2 cm of tissue with high water content are usually difficult to treat with 915 or 2450 MHz radiation because of the high absorption of 915 and 2450 MHz radiation in tissues of this type (see Figure 1).

In the present paper, we describe applicators designed for operation with 27 MHz RF radi¬



Fig. 2 Sketch of a 27 MHz ridged-waveguide applicator with (a) rubber cover in place and (b) with rubber cover removed.

ation. Unlike 915 or 2450 MHz radiation, 27 MHz radiation can penetrate deeply into tissues with high water content (see Figure 1). and can therefore be used to noninvasively heat deep-seated tu mors that are not accessible with either 915 or 2450 MHz radiation. The major limitations of heating with 27 MHz are comparatively poor focusing (wavelengths in tissues are greater than 1 meter), and the large size of the present applicator designs. Also, accurate temperature measurements with thermocouples on thermistors are difficult in the presence of large 27 MHz fields.

The paper is divided into three parts: The first part describes the construction and principles of operation of the 27 MHz applicators. Despite their low frequency of operation, the design of these applicators is based on the microwave concept of dielectrically-loaded wavequide radiators. In the second part of the paper, the results of calculations of temperature profiles generated with plane waves at 27 MHz in simple living tissue models are presented. The third part covers the use of the applicators in animal experiments and as therapeutic tools in clinical trials involving various types of malignant tumors.

#### DESCRIPTION OF 27 MHz APPLICATORS

Several considerations must be kept in mind when designing applicators for producing localized hyperthermia with RF radiation.

- The applicator must be able to handle the RF power required to raise the temperature of the tumor or tumors to be treated to the hyperthermic range. Power levels as high as several hundred watts CW are often required.
- The design of the applicator must minimize the amount of RF power being delivered to healthy tissues.
- The applicator design must be consistent with the physical comfort of a patient who has to undergo one or more treat-

(continued on page 74) MICROWAVE JOURNAL



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#### (from page 72) WAVEGUIDE APPLICATORS

merits at a time, each treat ment lasting up to one hour, or in some instances even longer.

- Radiation into free space from the applicator must be kept to a minimum to protect the patient and the technicians administering the treatment from unnecessary exposure to RF.
- The applicator must be rugged. its cost must be moderate, and its size must be consistent with the space available in a typical hospital treatment room.

Based on the above design considerations, we have developed 27 MHz ridged waveguide applicators that have proven to be satisfactory for both animal studies and clinical work. Figure 2 is a sketch of a typical applicator. The applicator is built from sheet metal in the shape of a shorted section of ridged waveguide. The open end of the guide is covered with a rubber membrane and the guide is filled with deionized water (ordinary water is too lossy). The 27 MHz power is introduced into the applicator via a coax-to-wavequide transition and is radiated from the applicator through the rubber sheet. Reflections of 27 MHz power back into the coaxial RF input port are minimized by means of three capacitive tuners.

The dimensions of the ridged waveguide are chosen to allow propagation of the TE<sub>10</sub> mode at 27 MHz, typical cutoff frequencies for the  $TE_{10}$  mode being on the order of 20 MHz. Such low cutoff frequencies can be achieved with reasonable guide dimensions because: (1) the high dielectric constant of deionized water ( $\epsilon \sim 81$ ) reduces the linear dimensions of the guide for a given cutoff frequency by a factor of approximately 9 over an airfilled guide and  $(2)$  ridged waveguides have lower cutoff frequencies than rectangular guides of the same outer dimensions. Suitable design equations for calculating the cutoff frequencies of ridged waveguides are given in References 6 and 7.

Figure 3 is a diagram showing



Fig. 3 Setup for hyperthermia treatment using 27 MHz ridged-waveguide applicator.

a complete setup for inducing hyperthermia with a 27 MHz ridged-waveguide applicator. The applicator is driven by an RF generator whose power output can be varied between 0 and 500 watts. Incident and reflected powers are measured with conventional RF power meters. A rubber bag large enough to cover the entire rubber membrane is filled to a thickness of about 5 cm with deionized water and is placed on top of the applicator. The purpose of this bag is to spread the high electric fields present at the edge of the applicator; this prevents any excessive local heating of the patient. On top of the 5 cm thick water bag is a thin water-cooled pad tor cooling the skin of the patient, and one or more small bags filled with saline solution—the saline solution is a good absorber of 27 MHz radiation and, therefore, it protects the tissues that one does not want to heat.

The heating patterns produced by the applicators were studied with the setup of Figure 3 Blocks of ground meat were placed on top of the 5 cm thick water bag and heated. As expect-



Fig. 4 Cross-section of ridged-waveguide applicator. Most of the RF energy in the guide is concentrated in the cross-hatched area.

ed from standard ridged-waveguide theory, most of the heating took place in the volume of meat placed above and between the ridge and the wall opposite the ridges, i.e., above the shaded area of Figure 4. The hottest point was usually in the center of the shaded area (point A of Figure 4). This fall off in heating with dis tance from the applicator along a line perpendicular to the applicator and going through point A followed approximately the calculated curve for 27 MHz radiation shown in Figure  $1<sup>†</sup>$ 

While heating with one applicator is adequate in a number of clinical situations, it is often desirable to use two applicators in a cross-fire arrangement, since two applicators in such an arrangement can often produce deeper and more uniform noninvasive heating than a single applicator. This is illustrated in Figure 5 which shows the results of calculating the heating produced by two RF waves that impinge on a simple tissue model from opposite directions. (The tissue model consists of an infinite layer of fat 2 cm thick followed by an infinite layer of muscle whose thickness is chosen so that the relative heating due to the two RF waves in the center of the muscle is approximately 80% of

t Figure 1 can be used to determine the maximum depth ot penetration of waves emitted from symmetrical apertures (round, square, rectangular, etc.) into the tissue model, since the center ray emitted from any symmetrical aperture parallel to the surface of the model must, from sym metry considerations, always propagate in the same direction as the plane wave of Figure 1.





the heating at the fat-muscle interface, followed again by an infinite layer of fat 2 cm thick.) The two plane waves incident on the tissue layers are assumed to oe of approximately the same frequency but uncorrelated, and any reflections from the second tissue interface (muscle-fat) are neglected. Figure 5 indicates that 10 cm of muscle can be heated nearly uniformly with two 27 MHz waves, a significant improvement over heating with a single 27 MHz wave (Figure 1).

We have built several ridgedwaveguide applicators that can

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be used in "cross-fire" arrangements. The inside of these applicators is compartmentalized with solid plastic sheets, and each compartment is individually filled with deionized water. Compartmentalized applicators can be placed in a horizontal position without danger of rupturing the rubber membrane, since the plastic sheets protect the membrane from most of the water pressure. Two cross fire arrangements are possible with these applicators: two applicators in horizontal positions facing each other, or one applicator in a horizontal



Fig. 6 Calculated temperature distributions in a semi-infinite slab of muscle when the muscle is heated with infrared radiation or with radiation at any one of five ISM frequencies.

position and the other in a vertical position.

#### CALCULATIONS OF TEMPERA-TURE DISTRIBUTIONS PRODUCEC IN LIVING TISSUES

The temperature distributions produced by RF waves propagat ing through living tissues can be calculated by determining the complex propagation constants of the waves and then solving the heat transport equation. Such calculations are too difficult for the actual tissue geometries encountered when treating malignancies with RF hyperthermia; however, much information of practical value can be obtained by calculating temperature distributions in simplified tissue models.

Figures 6-10 are plots of calculated temperature distribution in semi-infinite slabs of muscle based on steady-state solutions to the one-dimensional heat transport equation in living tissues that are heated by RF waves. These solutions, which were given by Foster, Kritikos and Schwan,<sup>8</sup> assume a uniform plane wave incident on a homogeneous semi-infinite layer of tis sue, and take into account blooc flow (assumed to be temperatur independent), tissue heat condu tivity, and temperature different between the surface of the tissur and the environment. In calcula ing these graphs, it was assumed that the arterial blood entering the tissues is at a temperature o' 37°C. The values of the comple> dielectric constants needed in th calculations were taken from Reference 9.

Figure 6 is a plot of the calcu lated temperature distributions in a semi-infinite slab of muscle when the muscle is heated with infrared radiation (assumed depth of penetration  $\sim 0.001$ cm), or with waves at one of fiv ISM frequencies. The following values of power densities transmitted into the muscle were use in the calculations: 0.05 W/cm (infrared), 0.27 W/cm<sup>2</sup> (2450) MHz), 0.3 W/cm<sup>2</sup> (915 MHz),  $0.56$  W/cm<sup>2</sup> (40.68 MHz), 9.7 W/cm<sup>2</sup> (27.12 MHz), and 0.87  $W/cm<sup>2</sup>$  (13.56 MHz). These values just raise the temperatuie ir

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part of the muscle to the hyperthermic range (42.5.43.5°C). A value of  $\lambda$ =1.3 cm<sup>-2</sup> was assumed in the calculations for this figure and for **Figures 7** and 8.  $(\lambda)$  is the product of flow and heat capacity of blood divided by the coefficient of tissue heat conductance.)

Figure 6 shows that waves at the five ISM frequencies can pro duce temperatures in the hyperthermic range at distances of sev eral centimeters into muscle without causing excessive temperature increases near the sur face. On the other hand, most of the temperature increase produced by infrared radiation is confined to a few millimeters near the surface. As expected from the curves of Figure 1, the three lower ISM frequencies can produce hyperthermic temperatures at a significantly greater depth than 915 or 2450 MHz.

Figure 7 illustrates the dependence of temperature rise on the power density of 27 MHz radiation transmitted into muscle. Note that with an RF power density of 0.7 W/cm<sup>2</sup>, temperature rises to the upper limit of the hyperthermic range are obtained; while with RF power densities about one-third smaller  $(0.5 W/cm<sup>2</sup>)$  the maximum temperature is well below the hyperthermic range.

Figure 8 compares active cooling of the surface to 20°C with passive cooling in room temperature surroundings (22°C). Significantly deeper heating is obtained with active cooling, since more power per unit area can be transmitted into the muscle without causing excessive heating near the surface.

Figures 9 and 10 illustrate the dependence of temperature profiles on blood flow. Figure 9 shows heating to the hyperthermic temperature range with 27 MHz radiation for various values of blood flow. It can be seen from the figure that the lower the blood flow, the smaller the power density required to raise the temperature of the muscle to the hyperthermic range, and the greater the depth at which one obtains hyperthermic tempera-





tures. Figure 10 illustrates the temperature distribution due to heating with 27 MHz radiation in a model consisting of a 4 cm thick tumor buried at a depth of 6 cm in muscle. The tumor is assumed to have properties similar to normal muscle except that the blood flow in the tumor is assumed to be 1/4 that of the flow in the muscle. (Blood flow in malignant tumors is usually much lower than the blood flow in healthy tissues.)<sup>10</sup> Note that part of the tumor can be raised to the hyperthermic range without significantly increasing the temperature of the normal muscle. This phenomenon is ot the greatest importance in hyperthermia treatment with RF because, generally, solid malignant tumors have poor blood circulation and therefore it is possible to selectively raise the temperature of such tumors with RF heating.

#### ANIMAL EXPERIMENTS AND CLINICAL TRIALS

We have used the experimental arrangement shown in Figure 3 in both animal experiments



Fig. 8 Calculated temperature distributions in a semi-infinite slab of muscle for passive and active cooling when the muscle is heated with RF radiation at a frequency of 27 MHz.  $(T_e =$  temperature outside of tissue plane,  $\alpha$  = heat loss coefficient from surface of muscle,  $P_{in} = RF$  power density transmitted into the muscle.)

(continued on page 791
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#### from page /6) WAVEGUIDE APPLICATURS



Fig. 9 Calculated temperature distributions in a semi-infinite slab of muscle for four different values of blood flow when the muscle is heated to the hyperthermic temperature range with RF radiation at a frequency of 27 MHz, and the surface of the muscle is maintained at 20°C by active cooling.  $P_{in} = RF$  power density transmitted into muscle.

and clinical trials. Tissue temperatures in animals or patients were measured with 3-mil diameter thermocouples immediately before and after heating. The thermocouples were removed during RF heating because 27 MHz RF fields induce currents in the thermocouple wires. These currents cause heating of the thermocouple junction and, therefore, produce misleading

temperature readings. Various arrangements were used to support the animals or patients during RF heating. For example, in many of our animal experiments the animals were supported on a wooden table with a cutout for the ridged-waveguide applicator. Patients with tumors in the rectal area were treated while sitting on a specially constructed chair with a cutout for the applicator.





Patients who needed treatments in chest areas or in the extremities, etc., were treated lying dov on stretchers built around appli cators. Applicators of various di mensions were used. The dimen sions of the smallest applicator were (see Figure 4):  $a = 50.8$ cm,  $b = 22.9$  cm,  $c = 25.4$  cm,  $d = 7.6$  cm, height = 38.1 cm; the dimensions of the largest ap plicator were  $a = 58.4$  cm, b = 26.3 cm,  $c = 29.2$  cm,  $d = 13.7$  $cm$ , height = 86.4 cm. When properly matched, all applicator could raise well-vascularized mu cle to the hyperthermic range within several minutes. The required RF input power was typi cally 300-400 watts. Assuming that about 80% of the RF input power was concentrated across the ridge of the guide (i.e., the shaded area of Figure 4), this corresponds to power densities of roughly  $0.8$  W/cm<sup>2</sup>, which is in good agreement with the calculated values of the previous section.

The ability of the waveguide applicators to produce uniform temperature distributions in healthy tissues was checked by heating the gluteal region of a 35 kg pig. The pig was anesthetized with IV nembutol and pos tioned on one of its sides with the gluteal region resting on a cooling pad placed on top of an applicator. Four plastic angiocaths were introduced into the muscle mass at distances of 2, 5 7 and 9 cm from the skin in cor tact with the cooling pad. The pig was then heated with 250 watts of 27 MHz power. Muscle temperature was measured by inserting thermocouples at fiveminute intervals into the angiocaths. During these temperature measurements, the RF power was interrupted for 20-30 seconds. Figure 11 is a plot of the measured temperatures as a fun tion of time. Note that after 20 minutes of heating the temperature distribution in the muscle i reasonably uniform, the peak temperature occurring 5 cm fro the skin.

In order to test the concept c differential heating of poorly va cularized deep-seated tumors

with 27 MHz radiation (see Figure 10), the following experi ment was performed: A 60 g piece of meat was formed into an egg-shaped mass and placed in a rubber balloon. This simulated tumor was then inserted into the rectum of a 40 kg anesthetized male dog. The dog was positioned on its side with the *gluteus maxi*mus resting on a cooling pad that is placed on top of the rubber membrane of an applicator. Plastic angiocaths were placed in (1 ) the center of the ''tumor," (2) 3 cm above the "tumor", and " (3) 3 cm below the "tumor." The 'tumor'' itself was approximately 6 cm above the applicator.

primary carcinoma of the lung, hip metastasis from carcinoma of the uterus, recurrent liposarcoma of the leg, recurrent breast carcinoma with metastasis to the ribs, carcinoma of the prostate, and metastatic carcinoma of the scapula from a primary lung cancer. Patients generally tolerated treatment well with no serious side effects, and reported varying degrees of pain relief. During the period of treatment oral temperature increased, usually to 39°C, accompanied by moderate sweating and acceleration of heart rate. The medical aspects of these studies will be published elsewhere.





Temperatures were measured at four-minute intervals by inserting thermocouples into the embedded angiocaths.

With 300 watts of 27 MHz power the r.on-vascularized "tu mor" could be heated to 45- 48°C within eight minutes. At the same time the normal tissues above and below the "tumor" remained below the hyperthermic range. The systemic temperature of the dog as measured by a fourth thermocouple inserted into a remote muscle rose to 39°C, indicating active dissipation of heat from the directly heated area. Also, the respiratory and cardiac rates of the dog increased markedly during the lo calized hyperthermia.

A number of patients with advanced malignancies were offered treatments of 27 MHz-hyperthermia. Tumors treated included

#### **CONCLUSIONS**

Dielectric heating with 27 MHz radiation appears to be a safe and efficient means for noninvasively raising the temperature of deep-seated tumors to the hyperthermic range. 27 MHz radiation can penetrate through fat layers with little loss, can traverse anatomical features such as bones\* or air spaces, and can heat tumors that are buried several centimeters deep inside muscle.

The water-filled ridged-waveguide applicators that are de scribed in this paper are of reasonable size and are relatively inexpensive to fabricate. The rest of the equipment needed for hyperthermia treatment with 27

MHz radiation (RF power generators, power meters and temper ature-measuring instruments) is commercially available.

Calculations of temperature profiles produced by RF radiation in simple models of living tissues are useful as guides to the effects of variations in RF power density, surface cooling, blood flow, etc. Much further work in this area is needed, particularly calculations taking into account finite aperture and tissue sizes, and the nonlinearity of blood flow as a function of temperature in normal and malignant tissues.

#### ACKNOWLEDGMENT

The authors wish to thank Elvira E. Petersack, Markus Nowogrodzki, Steven Weber and Francis J. Wozniak for their invaluable help during lhe course of this work.

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 $t$  Heating of bones can be minimized by orienting the electric fields in the RF wave perpendicular to the bones in the tissue being heated.

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Deer Park, New York 11729

#### (from page 89) NEW PRODUCTS COMPACT LUMPED ELEMENT IF FILTER FOR 25 MHz - 2 GHz **BAND**

A line of compact lumped element IF fil ters, Series OPL, covers the 25 MHz to 2 GHz band. A typical unit (OPL 071), with a center frequency of 70 MHz and a bandwidth of 15 MHz, exhibits insertion loss of 1 dB, SWR of 1.5, or less, 60 dB rejection, minimum and a 60 dB bandwidth of 44 MHz. Parabolic group-delay in the passband in 60 ns. This filter measures 3.5" x 1.0" x .563", not including the standard SMA connectors, and it is built to meet MIL E 5400, Class 2. Omniyig, Inc., Santa Clara, CA. William Capogeannis, (408) 988-0843.

#### DOUBLE BALANCED MIXER COVERS 0.5 18 GHz BAND

Model MD 166 is a double balanced mixer which spans the 0.5-18 GHz frequency band. Unit exhibits a 8 dB typ., 10 dB maximum conversion loss, an IF bandwidth to 5 GHz, 1 dB conversion loss flatness and isolation better than 20 dB on all ports. Other features include a 3-PIN hermetic module for stripline and microstrip integration. SMA connectorized version also can serve as a module test fixture. Mixer can be screened to MIL STD 883. Price: S550 for module in 1-5 qty; S625 for SMA model. Del' from stock. Anzac Division, Adams-Russell Co., Inc., Burlington, MA. (617) 273-3333. Circle 138.

#### COAXIAL FEED THROUGH TERMINATIONS

A series of coaxial feed through terminations are available in 50, 75, and 93 ohms models with BNC, TNC, N or SMA male to female connectors. Model FT-50 operates from de to 1 GHz; Model FT-75 from de to 500 MHz, and Model FT 90 from de to 150 MHz. Units have a dc accuracy of 5% and a 1 W CW, 1 kW peak power rating. Price: from S11 10 each, small qty. Del: 30 days ARO. Elcom Systems, Inc., Boca Raton, FL. Leonard Pollachek,<br>(305) 994-1774. Circle 146. (305) 994-1774.

#### 360° DIGITAL PHASE SHIFTER

Model 0074 is a digitally controlled analog phase shifter which operates over the 8.0- 18.0 GHz frequency band. Phase shift is 360 min. and phase flatness is ± 15 to 180', ± 35° to 360° over the entire band and  $\pm$  3° to 180°,  $\pm$  5° to 360° over any 200 MHz segment. Insertion loss is 17 dB maximum, SWR is 2.5 maximum; ampli tude ripple is ± 1 dB maximum and switch speed is 150 ns maximum. Phase shifter has 10 bits capability, and response is mono tonic. Requires:  $\pm$  15 V at 50 mA. Triangle Microwave Inc., East Hanover, NJ. James Beard, (201) 884-1423. Circle 151.

#### ONE-PIECE SOLDER TYPE SMB SNAP ON PLUGS

A one-piece, semi-rigid SMB cable plug (#701908-002) is designed for .086" cable. Units meet all applicable portions of MIL C 3901 2B; metal parts are gold plated per MIL C 45204, Type 1, Class 2, Grade C over copper plate per MIL-C-14550, Class4. Other plating is offered. Cablewave Systems, Inc., North Haven, CT. Steven Rauoci, Jr., (203) 239-3311. Circle 142.

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# Shielded Chamber

#### /IODULAR RF SHIELDED :hambfr for emc *IEASUREMENT*

System 86/3 is a pre-engineered modular IF shielded anechoic chamber for indoor round reflection EMC measurement. Chamber can be used for testing under FCC ocket, 80 284, CISPR, VDE and MIL TD 285, 461,462 requirements. Interior rall and ceiling RF absorbers keep ambient mplitude levels below ± 2 dB at 30 MHz GHz and above. RF-shielded panel contruction provides up to 120 dB attenuaon from 14 kHz to 100 GHz. Floor deign provides a reflective ground plane, ize: 24' Lx 1 2' W x 1 T H, with variable eiling height. Keene Corporation, Ray roof Division, Norwalk, CT. Bob Barbour, 203) 838-4555.

## **Systems**

<-BAND RADAR PACK



Jew Japan Radio Co. announces availabilty in the USA of its X-band radar pack, a ticrowave system containing a magnetron, Sunn oscillator, balanced mixer, primerless ■R limiter and series tee. It is available at i.375 GHz and 9.4 GHz with transmitted lower up to 50 kW, depending upon the hoice of magnetron. The overall mixer lOise figure is under 7 dB and TR spike eakage is under 0.1 erg measured at 40 kW eak, .001 duty. Price for typical 5 kW ralar pack complete with magnetron: under ;500, FOB USA. Calvert Electronics, Inc., iast Rutherford, NJ. Bernard Fudim, 201) 460-8800.

# Design Aid

#### :ad for microwave circuits

1ICRO-COMPACT™ is a computer-aided esign program which analyzes and optilizes passive and active microwave circuits. is specifically designed to run on the lewlett Packard 9845 desktop computer rith B and T options, and utilizes several xtended capabilities of the 9845T/B, inuding graphics and data cartridge storage, malysis and optimization in the frequency omain for two-port linear circuits are proided. Complex interconnections and casade connections can be handled and an injrnal text editor is provided Compact ngineering, Inc., Palo Alto, CA. Jim Lin auer, (415) 858-1200. Circle 132. (continued on page 92)

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World Radio History LE 50 ON READER SERVICE CARD

#### (from page 91) NEW PRODUCTS

#### **Instrumentation** NOISE SOURCE DRIVER PROVIDES 28 VOLTS

Model DR-1 is a noise source driver designed to provide an accurate 28 volts from 0-40 MA to drive solid state noise sources. Internal 400 Hz square pulse modulation or external TTL level pulse modulation may be used. Power requirements are 115V at 50/60 Hz. The driver is designed to operate over the 0-70°C temperature range. Size: 3 1/2" x 7 7/8" x 5 11 /16". Price: \$225, each. Del: Stock. Micronetics, Inc., Norwood, NJ. Gary Simonyan,<br>(201) 767-1320. Circle 135.  $(201)$  767-1320.

#### SWEEP OSCILLATOR MAINFRAME WITH IEEE BUS

Model 430C is a sweep oscillator mainframe for the 0.01-40 GHz frequency range which features an integrated IEEE-488 Interface Bus Option -09 which may be factory or field installed. Functions controlled via Option 09 and the bus include RF power (with up to 256-point resolution) over a 15 dB range) frequency (with 10,000-point resolution between any start and stop frequency) and band select, sweep on/off and RF on/off modes. Size: 5.63" H  $x$  16.75" W  $x$  15.75" D. Weinschel Engineering, Gaithersburg, MD. (301)948 3434. Circle 137.



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#### PRECISION AUTOMATIC NOISE FIGURE INDICATOR

Model 7514 is an improved precision auto matic noise figure indicator. Accuracy and versatility improvements over earlier model are offered and an option provides six preselected, front-panel switched input frequencies - 21.4, 36, 45, 60, 70, 160 MHz in addition to 30 MHz. The same option, when used with an external local oscillator, permits noise figure measurements on devices with output frequencies from ID-1000 MHz. Full scale ranges are 0, 3, 6, 12 and 18 dB, resolution is better than 0.05 dB and direct-reading results for a range of noise generator outputs is provided. Size: 14 7 8" L x 17" W x 5 1 4" H. Weight: 21 lbs. AILTECH Electronic Instruments, Eaton Corp. Div., Ronkonkoma, NY. (213)965-4911. Circle 133.

#### ISOTROPIC RADIATION MONITOR SENSES LEVELS DOWN TO 1  $\mu$ W/cm<sup>2</sup>

The RAHAM Model 4A is a high-sensitivity, ultra-broadband radiation meter for  $m$ easuring nonionizing radiation from lev-<br>els as low as 1  $\mu$ W/cm $^2$ . Instrument operates over a frequency range from 200 kHz to 26 GHz with a single omnidirectional probe, General Microwave Corporation, Farmingdale, NY. Moe Wind, (516) 694-3600. Circle 173.

#### BASEBAND ANALYZER FOR MICROWAVE RADIO MEASURE-MENTS

Model 3724A/25A/26A Baseband Analyzer combines all of the instruments used on the baseband of a radio system in one integrated test set and links them through a common CRT and keyboard and permits measurement modes to be changed at the press of a key. The instrument replaces: wideband power meter, selective voltmeter, synthesized signal generator, counter, spectrum analyzer and white-noise test set. Test set is HP-IB (IEEE-488) compatible and all front-panel controls may be accessed via the bus. The analyzer complies with CCIR, CCITT, Intelsat and Bell recommendations for choice of bandwidths and frequencies. Price: #3724A/25A/26A, S44.000. Del: From March, 1981. Hewlett-Packard Co., Palo Alto, CA. (415) 857-1501. Circle 134.

#### DIGITAL STORAGE RF SPECTRUM ANALYZER

Model 7L14 is an RF spectrum analyzer for the 10 kHz to 1800 MHz frequency band with digital storage capabilities. It features 70 dB on screen dynamic range, spurious free and - 130 dBm sensitivity with 30 Hz resolution. CRT readout of control settings. 4:1 shape factor resolution filters and a display mainframe compatible with more than 25 7000 Series plug-ins are provided. Signal levels up to 1 W can be connected to the input for any setting of the RF attenuator without damage to the limiter-protected first mixer whichis also protected from large (up to 50 V) line frequency (50/60 Hz) signals which may be present along the wanted signal. Size:  $5'' \times 8.15''$ x 14.75". Weight: 16 lbs. Price: \$15,000. Avail: 4 wks. Tektronix, Inc., Beaverton, OR. (503) 644-0161. Circle 136.

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#### Advertising index



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#### SWEPT ANALYZER APPLICATIONS MANUAL

A 16 page application manual, AN 19, cov ers the Model 1038/D 14 swept analyzer. The manual offers step by-step swept analysis procedures, including on how to program the analyzer, using the General Pur pose Interface Bus (GPIB). GPIB makes the analyzer compatible with both compu terized analysis systems and automated test equipment. Pacific Measurements, Inc., Sunnyvale, CA. Jim Forbes, (415) 968 7570. Circle 158.

BROCHURE ON MINIPAC™ AMPLIFIER LINE

A two-color brochure describes the Mini pac<sup>TM</sup> line of low profile solid state amplifiers. This 15-page booklet includes an applications section, details on microwave connectorless package design benefits plus product line specifications charts as well as amplifier and outline drawings. Watkins-Johnson, Palo Alto, CA. (415) 493-4141. Circle 164.

#### PULSED POWER METER BOOKLET

Literature describes the pulsed power me ter (PPM-101A) designed for measurements of instantaneous power within the L band frequency range with an accuracy of ± 0.5 dB. Specifications and modes of operation are detailed. A manual mode permits power to be measured at any point on the waveform. Republic Electronic Industries Corp., Melville, NY. John Michaels, (516)249-1414. Circle 161.

#### SHORT-FORM RF TRANSISTOR CATALOG

Catalog 503A is a 12 page, short form catalog which lists oasic specifications for some 156 RF and microwave transistor types. Ten categories of products are shown, along with photographs and engineering drawings of the various package types available. An alphanumeric index and cross referenced table is included. TRW RF Semiconductors, Lawndale, CA. Dan Faigenblat, (213)679-4561. Circle 162.

#### BNC COAXIAL CONNECTOR CATALOG

Sixteen-page catalog details 250 standard BNC coaxial connectors. Two-color literature contains illustrations and cross-sectional views of four lines of clamp field serviceable connectors. The standard wedge-lock, wedge eze and improved "V" groove plus three crimp lines (X, econo and improved econo) are described. Automatic Connector, Inc., Commack, NY. Edward Selig, (516) 543-5000. Circle 193.

#### MARINE RADAR REPLACEMENT TUBE SUMMARY

A summary of New Japan Radio Com pany's microwave tubes for commercial marine radars is offered. This 16-page booklet contains cross references to over 400 NJR magnetrons, TR tubes and solid state local oscillators suitable for replace ment use in 325 commercial marine radars made by 23 manufacturers in Europe, Canada, US and Japan. Calvert Electronics, Inc., East Rutherford, NJ. Bernard Fudim, (201)460 8800. Circle 155.

#### WORLD STANDARD FOR TNC CONNECTORS

The new international standard for TNC type connectors is available in IEC Publication 169 17. This 35 page booklet provides the type designation, standard ratings for grade 2 connectors, preferred climatic cat egories, dimensions for grade 2 general pur pose connectors, requirements and test sequences for gauges and standard test con nectors and a schedule for type tests for first through sixth lot testing. Price: S.francs 42. International Electrotechnical Commission, Geneva, Switzerland. 34 01 50; Telex: 28872 CEIEC-CH.

Circle 156.

#### COAXIAL AND WAVEGUIDE SWITCH CATALOG

Catalog No. 5 describes a broad line of co axial switches for application up to 18 GHz. Average power ratings range up to 5 kW. Electrical and mechanical specifica tions are shown for SPDT through SP10T and transfer switch models with a variety of connector options, housing styles, switch circuit options and such special options as  $T^2$  logic drivers and terminations. In addition, a waveguide SPDT model for X band and a trimming machine for semi-rigid coaxial cable are described. UZ, Inc., Culver City, CA. Robert Hamilton, (213)839 7503. Circle 163.

#### TUNABLE FILTER LINE BROCHURE

A 4 page brochure for a tunable filter line includes electrical, dimensional and environmental data on more than 30 standard filters Passband frequency, 3 dB and 30 dB bandwidths, insertion loss, connectors and tuning range are shown in tabular form. Diagrams and drawings indicate standard filter designs and availability of higher and lower frequencies and bandwidths Premier Microwave Corp., Port Chester, NY. Jules Simmonds, (914) 939-8900. Circle 160.

#### MODPACK CATALOG AND PRICE **LIST**

A 17 page catalog and 4-page price list covering the Modpak product line of RF shielded circuit enclosures are offered. The enclosures are designed to minimize assem bly time and they provide direct access to both sides of the PC board. N, TNC, SMA, or BNC connectors are available. Mechani cal drawings and photographs for each product type are provided. Adams Russell Co., Inc., Modpak Division, Burlington,<br>MA. (617) 273-3330. Circle 154. MA. (617) 273-3330.

#### SIGNAL GENERATOR SERIES **BROCHURE**

The C/X Signal Generator Brochure pro vides specification data and highlights fea tures of the Polarad "E" Series generators which operate from 3.7 11.0 GHz. Options and accessories also are described. Polarad Electronics, Inc., Lake Success, NY. (516)328 1100. Circle 159.

#### MIXER PRODUCTS CATALOG

A 16 page catalog features microwave mix er products and provides detailed specifica tions and operating characteristics on seven different models covering 0.5-18.0 GHz with octave, multi-octave and special pur pose models. All units are designed for military environments and are available in hermetic modules or SMA connectorized versions from stock. Anzac Division, Adams-Russell Company, Inc., Burlington, MA. (617) 273-3333. Circle 153.

#### MICROWAVE COMPONENT CAPABILITY BROCHURE

A six page brochure outlines the product capability and facilities of this microwave component supplier. Products for high power applications in the 0.1 to 40 GHz range include absorptive and reflective fil ters, PIN switches, couplers, adaptors, tran sitions and dummy loads. A multiplexer capability is also discussed. Wincom Corp., Lawrence, MA. Robert Antonucci, (617) 685-3930. Circle 165.

#### ENGINEERING DATA ON VULCANIZED STRIP GASKETING

Bulletin ESG 850 features photographs, tables, charts, and graphs that provide data on Combo Seal, a vulcanized strip gasketing that combines silicone rubber with knitted metal mesh to provide an effective EMI/RFI shield as well as a seal against severely hostile environments. Brochure con tains specifications on shielding effectiveness and sealing and shielding material. Graphs depicting compressed height vs force per inch of gaskets and required di mensions for typical applications are in cluded. Metex Corporation, Electronic Products Div., Edison, NJ. John Severinsen, (201) 287-0880. Circle 157.

#### RF CAPACITOR/INDUCTOR CATALOG

Line of fixed variable capacitors and inductors for RF applications from HF to microwave ranges is described in a 36-page catalog. Describes features and technical specifications pf multi-layer porcelain, glass-en capsulated, and single-layer chips, chips for IC's, high power and precision air variable capacitors, plus ceramic trimmers, metallized inductors and LC networks. JFD Electronic Components, Div. of Murata Corp, of America, Douglasville, GA. (404) 949-6900. Circle 192.

# **INSC** Power GaAs FETs Products for Terrestrial Radio and Satellite Communications Systems

# MSC 88000 SERIES

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NOTES (1) Power Output at the IdB Gain Compression point is defined as the point where further increases in input power cause the output power to decrease IdB from the linear portion of the curve.

> (2) Thermal Resistance determined by Infra-Red Scanning of Hot-Spot Channel Temperature at rated RF operating conditions. Reference MSC Application Note TE-212.

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