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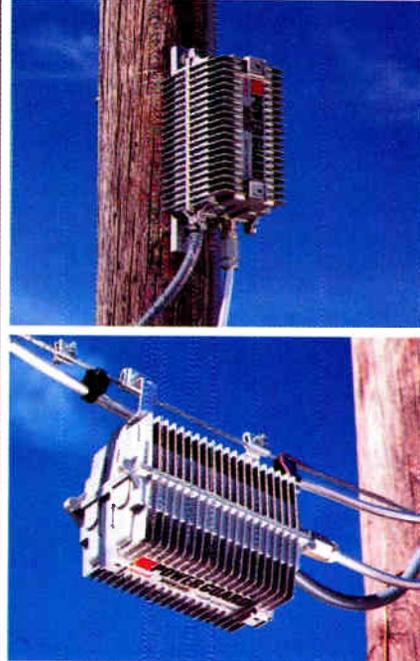
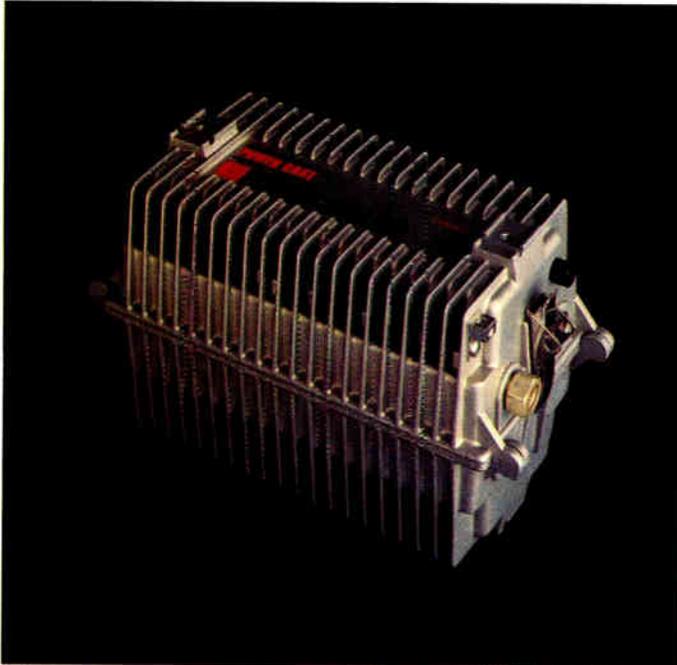
Inside: Network
topologies chart

THE MAGAZINE OF BROADBAND TECHNOLOGY / SEPTEMBER 1991

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A special supplement
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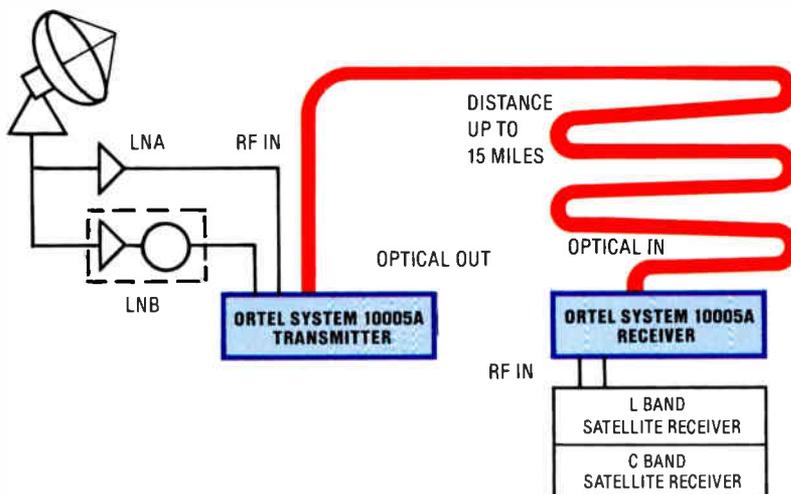
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Compression and fiber: Cable needs both

6

The recent wealth of information about digital compression has undoubtedly thrown a veil over fiber deployment issues—but as CableLab's Aleksander Futro sees it, the two technologies are complementary. In this article, Futro discusses his findings and examines the applicability of a new, low-cost laser for cable applications.

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Using fiber to launch new businesses

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Fiber optic technology has opened a window of opportunity for operators considering alternative revenue sources—for example, non-video applications including alternate access. ONI's Andy Paff examines the legal and market angles of this budding business opportunity.

Push-pull, PIN-FET receivers

20

Magnavox CATV systems is researching a new fiber optic receiver that offers extended reach with the same distortion characteristics as conventional receivers. Nigel Watson describes the new design and offers insights into its pending applicability.

Improving reliability with optical switching

24

Initial applications of AM fiber deployment targeted one main goal: Cascade reduction. Now, however, operators are re-thinking cable system architectures. Scientific-Atlanta's John Mattson takes a look at the use of optical switches as a means to improve system reliability.

Network topologies chart

27

Pull out and post this industry-first summation of the four fundamental fiber optic topologies, as well as several other designs created either for specific applications or with more than video delivery in mind. Also, a timeline of prognostications is included, with some guesses about what the future will bring.

Reducing power outages in fiber optic systems

50

Despite the reliability aspects of fiber deployment—reduction of trunk cascades, replacement of microwave links and headend consolidation, to name a few—outages still occur. Alpha Technology's Tom Osterman examines the outage problem with some "hands-on" suggestions for industry improvement.

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AM fiber and digital compression for cable's future

AM fiber and digital compression are technologies unique to the cable industry. Both technologies have been developed by the cable industry in answer to its specific needs. The cable industry is already deploying fiber widely. However, recent interest in PCN and digital compression has partially diverted the attention of system operators from fiber optics issues.

But that does not mean that the industry deployment of fiber has slowed down. As someone (Dave Willis, Telecommunications Inc.) stated recently, "whether you're using superdistribution, starbursts, CANs, rings, service areas, six-packs, flamethrowers or whatever, the basic attributes of fiber are the important factors. Expanded bandwidth, high reliability, high quality of transmission, low maintenance and a future that will continue to expand make fiber deployment not only desirable, but necessary."

And both technology and business outlooks are rapidly changing. The new technologies are in deployment-reach range, but at the same time, the system needs are changing—requiring a review of applicability of those technologies, as well as a search for new solutions.

Cable needs both

With the advent of digital compression for cable systems, there is some uncertainty emerging about the amount of fiber that cable needs. For those who wonder about the recent rapid developments in digital compression of video signals, and are curious as to whether its transmission over cable systems will replace the need for fiber in cable, the answer is simple: Cable system operators need *both* technologies for today and tomorrow. While the answer is a straight one, its justification is

much more complicated and depends on a point of view and initial assumptions.

Today's AM fiber technology both positions operators for the future and enables the industry to compete in any direction. Many MSOs are installing fiber that allows them to implement compression technology and offer opportunities in PCS and metro area networks for commercial business communications needs. Further, AM fiber costs keep coming down, which enhances the ability of operators to expand deployment.

Let's focus for a moment on the future. Let's assume that the ultimate cable system of the year 2000 will be part of highly granular, modular network with regional headends directly connected to fiber nodes. Depending on the architecture, each node will feed either small tree-and-branch networks or several short coaxial feeder lines providing service to 100 to 1,000 homes. And independent of architectural assumptions, the network will be characterized by:

- Very high picture quality, sufficient for subjectively excellent pictures with current NTSC technology and the proposed HDTV standards
- High channel capacity, with basic tiers of a minimum of 60 to 80 channels, and over 150 channels not being uncommon
 - Very high reliability
 - Two-way capability
 - Tremendous reserve real and virtual bandwidth

It should be noted that the key factor to accomplishing those goals is to break the RF portion of the network into short cascades. This would eliminate the main deficiencies of RF distribution: Accumulation of system noise and distortion.

Role of AM fiber

The AM-VSB modulation format in coax and fiber CATV applications al-

lows using home TVs without the requirement for additional costly conversion electronics. The main disadvantage of AM-VSB is the limited power budget arising from the high SNR requirement of 50 dB to 60 dB for future broadcast quality. Progress in technology and new and improved components and systems provide solutions to the technical problems and limitations faced by analog video transmission.

However, a fiber does not help directly to expand channel capacity on the cable system. While it has, in theory, an unlimited bandwidth capacity, practical applications are more limited today. A single AM fiber link carries 60 to 80 analog NTSC channels, with potential expansion to about 100 channels per 10 dB to 16 dB link. Multiple parallel fibers, or multiple wavelengths in the future, change the equation further. High count of channels can be delivered to a node with no cascading of signal noise and minimal distortion.

Video compression and bit rate reduction techniques have been researched for over 30 years. We are now at a point when technology permits hardware implementation of very sophisticated algorithms. One can expect, therefore, to be able to achieve very high compression of NTSC and HDTV signals in real time. However, the issues related to the transmission of those compressed video signals in analog or digital form are the other, often untold, story. So, the activities must focus on determining the best set of characteristics for distribution of digitally compressed video signals on cable systems.

It is necessary to emphasize different phases of video signal processing. The video analog source signal must be analyzed first. The digital data stream equivalent of one NTSC channel equals 120 Mbits/second, and one HDTV channel equals 600 Mbits/second to 1.2 Gbits/second, without any data compression.

By Aleksander Futro, Director of Technology Assessment, Cable Television Laboratories

The next step is the compression of the informational content of this data stream. High speed video image processing algorithms are used to reduce redundant image components and any information which can be restored at the receiving end. The problem is that even with advanced algorithms, the data stream that is left is either too high for efficient transmission, or the quality of an image must be compromised.

A partial solution is implemented by a second step in signal compression for transmission purposes. In this process, a variety of modulation and coding schemes are used to increase the number of bits per hertz ratio for more efficient utilization of available bandwidth. A nominal number is one bit per one hertz.

"Perfect" digital

A perfect digital signal contains "zeros" and "ones." Or, from a signal perspective, it is a two-level system. Any value below a predetermined value means "0," and any value above the same reference means "1." This approach makes a perfect digital signal very robust to noise and distortions which may occur during transmission. The practical implementations of those levels can be two amplitudes (amplitude or intensity modulation), two values of phase (phase modulation) or two values of frequency (frequency modulation).

As a result, signal-to-noise ratio requirements can be reduced significantly for transmission systems. The systems which implement a "perfect digital" coding are used in data transmission. The highest achievable data rates are limited by applied electronics, and currently on fiber achieve up to 2.4 Gbits/second. However, this requires the equivalent of over 2 GHz of bandwidth. High speed real-time hardware is necessary, and the cost of this equipment depends on the complexity of coding algorithms and the type of multiplexing.

Cable's approach

Different schemes are used to increase bandwidth efficiency. All of them translate into efforts to increase bits-per-hertz counts. As a result, digitally coded information is imposed, or modulated, (amplitude, phase, frequency) onto an analog signal carrier. However, instead of a two-level system, a multiple level approach is imple-

mented.

This process can be illustrated by introducing a fixed number of fractional sub-levels, equally distributed between ultimate "0" and "1." But, that gives a configuration of the predetermined discrete-analog values which a signal may have. It is also equivalent to a transition from an analog system, which is approximated by an infinite (or sampling rate determined) number of discrete values, to selective approximation by a limited number of discrete values.

The conclusion can be drawn that any level scheme used for signal coding, which deviates from "perfect digital" (two levels), more or less resembles analog (infinite number of levels). As a result, it is exposed to



'Whether you use starbursts, CANs, rings, flamethrowers or six-packs—the basic attributes of fiber are important.'

more distortions and transmission noise.

Quality (or C/N) must be maintained for channel error rates of, say, 10^{-9} and kept acceptable up to, say, 10^{-4} . Error protection techniques are thus often required. Also, those signal coding schemes require a transmission pipe which can provide linearity and a noise-free environment similar to the needs of pure analog signals. Clearly, there is a lot of room for trade-offs and compromises.

CableLabs is confident that through technology and through the use of some clever methods, entertainment video compression scenarios will become ever more capable and will be deployed. The cable industry network architecture allows moving technology to the optimum point in cable systems and, therefore, it is likely cable will be an early adopter of data compression.

However, as bandwidth on cable systems becomes less expensive, data compression will be a less critical factor, and the ultimate quality of entertainment video imaging will not

need to be compromised by processing.

Constraints on coding

Digitally compressed signals on cable systems will allow even more efficient utilization of available bandwidth. Thanks to image compression and carrier signal coding, more channels will be transmitted in the same available bandwidth, creating a perception of virtual-channel expansion.

However, two constraints on coding and transmission will exist. The first one is driven by a final image quality, as perceived by a viewer, and is based on video image processing and the amount of original information removed from the transmitted signal. The second one depends on the quality of a transmission system. The consequence is that cable systems, which are to carry "digitally compressed" signals, must be designed and built to performance requirements not much different from the requirements for pure analog AM signal transmission.

The leads cable system operators, equipment vendors and component manufacturers back to high performance designs and products. It also ultimately leads back to implementing as many AM fiber links as is affordable. Although the principle purpose of AM fiber in cable system designs is to increase picture quality and bandwidth economically, significant side benefits occur. Specifically, these side spiffs are reliability and simplified maintenance. AM fiber also enhances the system operators' ability to expand into other business arenas, especially enabling implementation of bandwidth efficient digital compression technology and opportunities in alternative services.

The next optical link?

The cable industry was responsible for embracing the original 1310 nm DFB laser and stimulated efforts in its developments and improvements to meet the needs and requirements of AM fiber optic links for multichannel video distribution over cable systems. The last word in 1310nm DFB lasers is not going to be said for a while, however thoughts about the next generation of AM fiber optic links are already pending.

There is a need to review the existing fiber optic technologies and available products, as well as changes in network architectures in light of new requirements for alternate services delivery (PCN, data, digital and compressed

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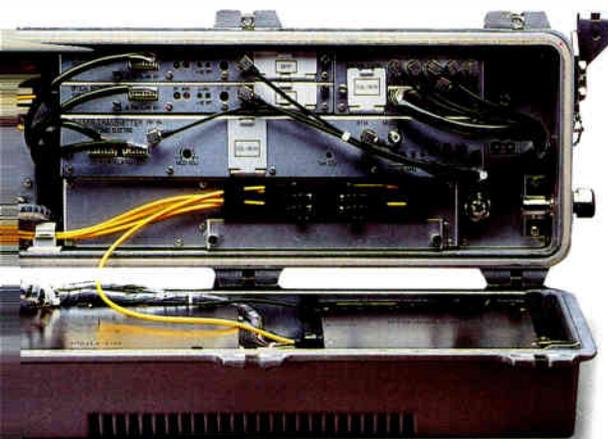
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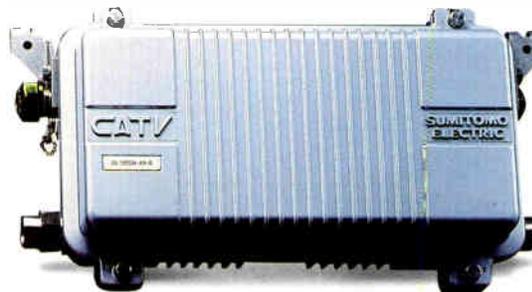
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video, etc.) on fiber. Of primary importance is the question about the next generation of fiber optic links for CATV, or what technologies can deliver an ultimate solution for the cable applications—the AM fiber optic link with 16 dB to 20 dB optical power budget and load of 50 to 60 channels per wavelength, priced at less than \$10K per link, and available in less than an 18-month time frame.

Those parameters are required in view of increased needs for point-to-point (with no splitting) fiber links to support narrowcast program delivery to smaller but higher bandwidth nodes, and to support alternate services data transport.

A new concept

This brief status review clearly indicates that a new or improved light source can make the next fiber link possible. What about a laser with no chirp, narrow linewidth, output power in hundreds of milliwatts, available in multiple wavelengths useful for multiplexing in the 1300 nm window, and not only packaged in similar shape and volume as the familiar DFB, but also costing much less? It is not a dream! It exists and is available from at least two sources today.

It's name, depending on the source, is "cube," or microchip laser. Why has nobody used it so far? That's a very good question. We at CableLabs think that it is worth a try. Preliminary discussion and concept evaluation is positive. The manufacturers are planning a prototype design of a link based on a "cube" laser. How soon it will be available for testing depends largely on industry interest, but it could be relatively soon. It will take the cooperation of several parties, but all the needed components and circuitry exist. The key challenge is to match them together.

Microchip "cube" laser

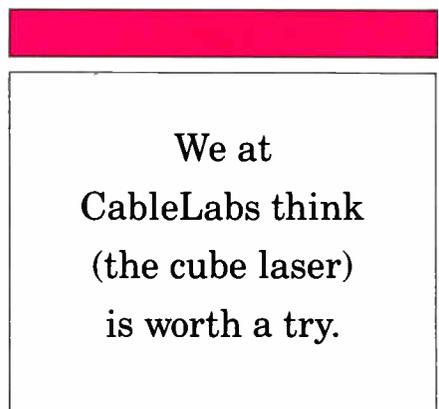
"Cube" or microchip lasers are the new class of miniaturized lasers developed recently through "star wars" sponsored research. They have extremely desirable operating characteristics that are difficult to obtain with more conventional designs as well as with semiconductor diode lasers.

In brief, "cube"/microchip lasers are fabricated by polishing a wafer of a crystalline gain medium (e.g. Nd:YAG) so that two sides of the wafer are flat and parallel. The thickness of the wafer corresponds to the length of the laser

cavity.

For a 1319nm Nd:YAG laser, wafer thickness is in the order of 1 mm (or about 1/32 inch). The polished surfaces are coated to form the mirrors of a laser cavity. The wafer is then diced into small pieces, typically 1 mm square. Each piece of a 1 mm cube is a complete microchip ("cube") laser. This simple and material-saving fabrication process offers the potential for low-cost mass production.

By selecting the proper cavity length, only a single longitudinal mode will oscillate when the laser operates in a single transverse mode. The laser is optically end-pumped by semiconductor diode. As an example, a Nd:YAG microchip laser operating at 1319 nm can be pumped by GaAlAs diode laser (808 nm) with 60 percent absorbed



power and a lasing threshold at about 75 mW of absorbed power.

At the threshold power level, the maximum cw output power is 51 mW, and the slope of optical quantum efficiency is greater than 40 percent. The single-frequency output linewidth is less than 7 kHz. It must be noted that depending on device design and materials used, the numbers may vary.

The output power of the microchip laser is usually limited by the available pump power and for a special purpose, lasers achieved 500 mW. The central frequency (wavelength) of the output can be tuned over a large portion of its gain bandwidth by a small change in the cavity length induced by thermal, piezoelectric (transversal stress) or electrooptic effect.

Unfortunately, the direct modulation and pulsed operation of a microchip laser is limited to about 100 kHz for a 1319 nm Nd:YAG laser. As a result, an external modulator must be used. However, because of very small dimensions, the pump diode, microchip laser and modulator can fit inside a package similar in size and form to one

used for DFB lasers.

Also, lithium niobate crystals have improved with time. The crystal's quality is better, and planar technologies are used to form electroacoustic high-frequency modulators. Enormous progress is being made in linearization of modulators by optical and electrical approaches. All those, together with more powerful and more reliable light sources, make the external modulation-based links an important alternative, when costs are acceptable.

Those lasers are monolithic devices. There are no optics that become misaligned. Nd:YAG is an extremely rugged material, and consequently the ambient environment in which a microchip laser can operate is determined by the other components in the package, and not by the cube itself.

Cube/microchip lasers also can be made using other elements. A quick review of the available crystalline materials, with optical gain in the 1280nm to 1360nm window, shows that there are several materials which can be used with present "cube" laser technology to provide spectrum coverage with outputs at approximately 7 nm intervals, and with an additional tunability of each output over an interval of roughly 1 nm. That translates into about eight to ten usable wavelengths for wavelength division multiplexing (WDM) on fiber in the currently used 1300 nm to 1360nm transmission window for telecommunications purposes.

Also, the output of a single laser diode can be used to optically pump two "cube" lasers which are arranged in series and produce output at two different wavelengths.

U.S. patent numbers 4,860,304 and 4,953,166 describe the original microchip laser concept. Also, several other patents cover the final functional devices. For a more detailed description, a review article titled "Microchip Lasers" in the *Lincoln Laboratory Journal*, volume three, number three is recommended.

CableLabs is bringing to the attention of the cable industry the concept of cube, or microchip laser technology as a high performance, low-cost light source for the next fiber link for cable. It is believed that this article is the first one which points to cube lasers for cable-specific applications. The early discussions about this concept have raised positive responses, and already some initial feasibility studies are being initiated by the manufacturers. ■

Alternative access and the cable operator

In recent years, the role of the cable operator in the local access business was primarily limited to low profile, individual projects. Concern about regulatory issues, diluting the focus on the core business, and problems with interactive coaxial cable were but a few of the reasons for slow development of alternative access networks by the cable industry.

However, with the deployment of fiber optic technology for core business applications, many of the initial economic barriers are reduced, creating a "window of opportunity" for cable operators. Because fiber optics, coupled with opto-electronics, offers unlimited bandwidth capability, cable and telephone operators both have the potential to offer voice, data and video services to the consumer. With the added advantage of economic and performance capabilities, cable operators are now in a unique position to take a closer look at the possible opportunities ahead for the alternative access business.

But what exactly is this market and who are the probable players? This article will attempt to review some of the *basic* considerations surrounding the alternative access market, paying particular attention to the cable television operator. During the course of the paper, various topics will be examined including the scope of opportunity, nature of the business, the product, various positions a cable operator can take, legal issues and specific recommended first steps.

(Unfortunately, the scope of many of these issues are extensive and cannot

be explored in-depth within this article because of space constraints. Optical Networks International will continue to provide in-depth articles addressing specific issues in upcoming months.)

Market opportunity and size

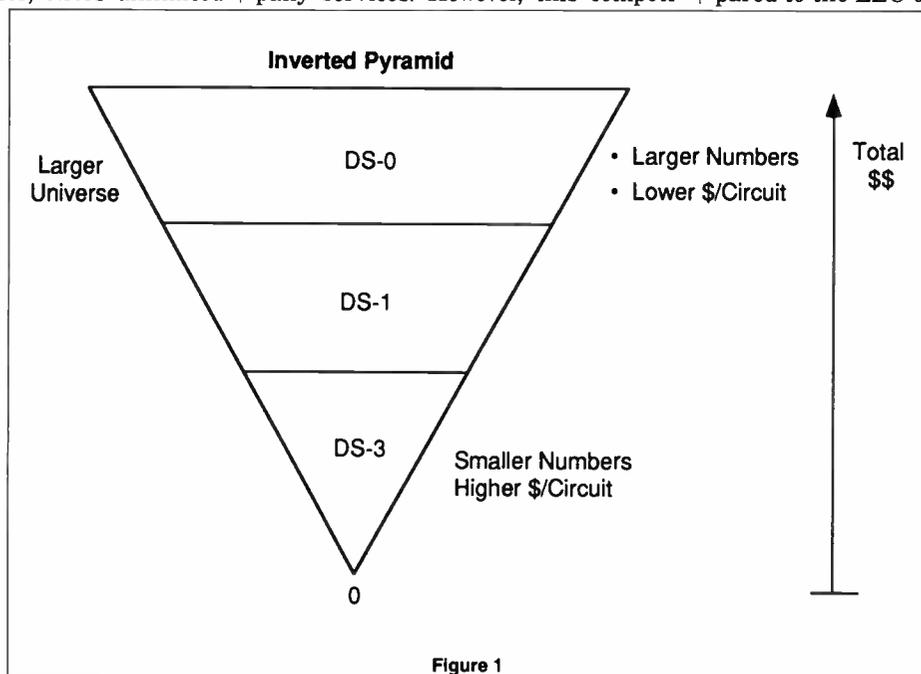
The immediate market opportunity facing the cable industry for non-video revenue sources is to provide digital alternative access services (voice, data and video applications) within franchise areas. Alternate access, often referred to as "bypass," implies competition with the existing telephone company services. However, this competi-

tion is limited to a very narrow segment of the existing telco business.

independent metropolitan area network (MAN) entrepreneurs to consider. This market is in its infancy with independent MAN and cable companies just beginning to scratch the surface of this new and evolving market. The alternative local transport (ALT) industry estimates the access market at a \$5 billion *dedicated* access market and a \$20 billion *switched* access business. Currently, ALT companies represent less than \$150 million in market share for the alternative access market.

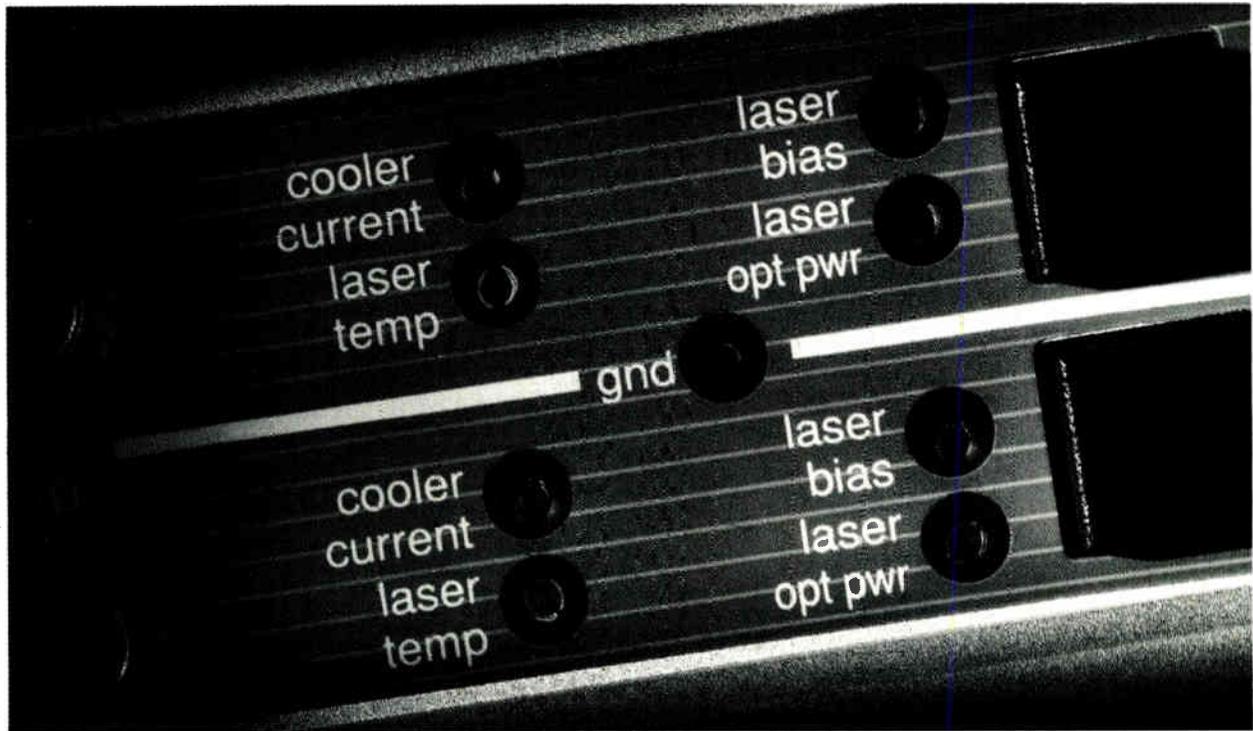
Cable's opportunity is based on lower operating and capital costs as compared to the LEC or the MAN operator moving into a market where a cable operator is established. Capital costs can be leveraged to a degree, driven by market demand and rate of return. Independents generally have to build a near complete infrastructure in order to generate necessary cash flow to cover a much larger initial investment. Even where facilities do not exist, the cable operator knows the local construction variables and will have unique insight into third-

party conduit (e.g. power companies, city utilities) and related resources. The cable operator's cost advantages in the operations side of an alternative access business stems from the core business facilities and operational personnel in place. Depending upon the degree of commitment, technical support may be added or grown from the existing staff. These capital and operational cost advantages simplify a product that has both price and technical attributes for the potential customer base, while meeting internal rate of return re-



By Andy Paff, President, Optical Networks International

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quirements for the provider.

What is alternate access?

In its simplest and most basic form, alternate access is the connection of two telephones via facilities other than those provided by the LEC's central office (CO) switcher. This definition includes even those arrangements provided by the LEC but still "bypassing" its CO.

The term "alternate access" is most frequently used to describe the following four applications:

- POP to POP. This business consists of bringing an IXC from one POP to another, usually within the same city. The POP is merely the local access point of a particular IXC to its long distance network.

- End user to POP. This is a natural extension of "POP to POP" and involves bringing the large customers of an IXC directly to the IXC POP. Either

the customer or the IXC can be the client of record for the access provider.

Customers—especially large ones—may be primarily interested in improved reliability. Many large customers will diversify their internal networks by using several long distance companies. However, if there is not redundancy locally, they are still subject to single points of failure.

- End user to end user. This involves providing telecommunications for a customer from one premises in the local access and transport area (LATA) to another premises in the same LATA. (A LATA defines Local Access and Transport Areas where provision of local [basic] telecommunications services could be a monopoly. Instead, the LATA network provider, often a BOC, must provide choice or access to all long distance carriers.) Applications may involve telephone off-premise extensions from a PBX, remote data terminals, alarm monitoring and data trans-

fer.

- Private networks. The private network is virtually the same in application as the "end user to end user." The private network involves a dedicated facility for a client, not shared facilities between several clients. Thus, the market is limited to large applications such as hospital networks and other large locally integrated concerns.

The irony is that in many states the private network is the most isolated from regulatory issues while the shared end user to end user network is the most sensitive.

Figure 1 illustrates the concept of the "inverted pyramid." At the bottom are the DS-3 circuits with high incremental revenue although limited numbers. Further up are the DS-1 circuits where the revenue per circuit is lower but the universe and total revenue potential is much greater. At the top are the DS-0 circuits (single voice channel) where the revenue/circuit is the lowest but the total revenue is the greatest.

The business plan should involve moving as far up the inverted pyramid as possible to maximize the opportunity. The key to this goal is to plan an integrated network that will enable this ascent by keeping marginal costs to a minimum. More simply, start construction with secured business that provides acceptable return and look for additional opportunities within the operating network to build the business on.

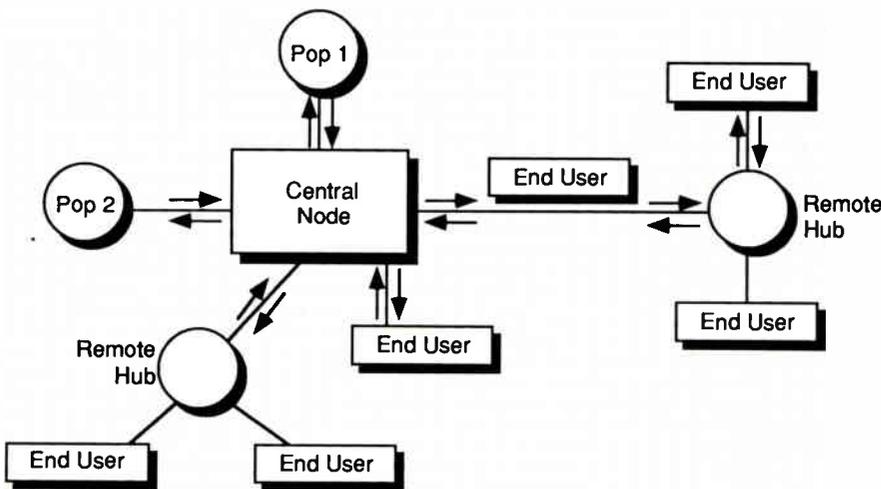
Dedicated and switched services

Alternate access companies may provide two types of network services—dedicated and switched. The four opportunities discussed above are dedicated access services. These are point-to-point connections, although the provider will typically use common facilities for a number of different clients. Even if the provider enables access to a number of different carriers through a series of point-to-point connections, it is still considered "dedicated."

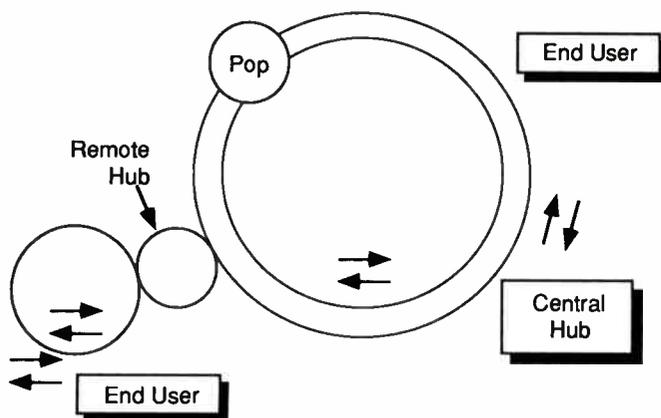
If the provider offers general long distance service to an end user client and installs a switch to route the customer to a particular destination, it would be considered a switched service. The provider in this case would be considered a "carrier." This creates greater regulatory visibility at the state and federal levels.

The switched service is more capital intensive as the switch is expensive and the margins on this form of IXC

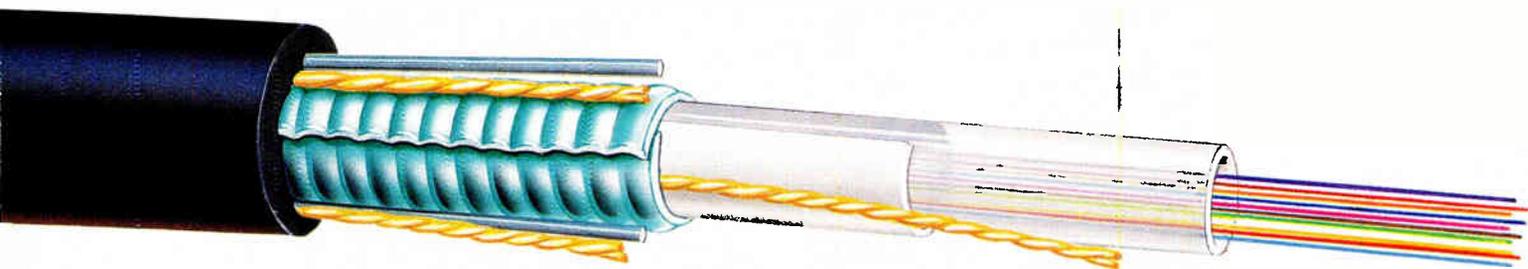
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business (often referred to as "reselling") are very slim. Typically, a switched carrier may average a few pennies per minute (10 percent to 15 percent) gross profit and be highly vulnerable to fluctuations in pricing by the major long distance carriers.

The advantage of a local access provider also operating as a switched carrier is the provider now takes a percentage of the long distance revenue in addition to the access revenue. It is also moving to a broader market (higher on the inverted pyramid). However, the regulatory exposure along with the increased capital risk provide reason for caution. It is recommended that such activity be market-driven from an existing dedicated access business.

Why bypass the local telco?

There are three common reasons for an end user to bypass the local telephone company. Each of these reasons provide the motivation and interest a customer will have when examining a local cable company for access provision.

- Technology: flexibility and reliability
- Cost savings
- Service

While the flexibility inherent in fiber optics is a critical point, many large customers are mainly interested in local loop reliability. This is most often translated into specifications called "availability" and "bit error rate." These concepts are important because this is how the product is defined and marketed. Availability is given in terms of a percentage of time the circuit is available for use by the customer. (For more information, see 'Measuring System Availability,' July 1991, CED, p.70.)

Bit error rate (BER) is the measure of errors in digital transmission. Alternate access providers must provide a BER specification of 10^{-9} or better (one error in one billion bits).

Cost savings are directly related to tariff issues. Because IXC's deal with a bewildering array of tariffs (primarily the interstate and intrastate access tariffs), the ability of these companies to provide profitable services is limited. Cable operators, on the other hand, face an incremental outlay of capital and operational costs allowing an operator to effectively price access provision lower than the market.

Initially, the alternate access provider should be prepared to offer a significant reduction in cost for compa-

nable service. This may be a 20 to 25 percent reduction from the published DS-1 or DS-3 tariff. As the "new kid on the block," there has to be an incentive to make the change and price is a big incentive. Long-term viability, however, is more closely tied to the product quality as the telcos could fight a price war effectively if they experienced significant market erosion.

As for service, the installation and reconfiguration intervals provided by the LEC's can be a significant problem for the IXCs and the end users. Dedicated alternative access providers will simplify this process by focusing on the needs of a smaller client base with specialized needs.

Engineering and system design

The layout and design of the network is critical in order to provide a marketable product and to control costs. "Product" is defined in terms of technical performance. Large volume users particularly will look at technical performance in addition to price. A carefully designed network is imperative to providing availability and BER specifications worthy of consideration.

A "ring star" approach offers advantages in capacity, flexibility and redundancy. The terminal equipment provides both electronic redundancy and optical redundancy. Routing the backup optical system along a different physical route will provide the maximum in availability.

Capital and operating costs must also be considered. The ring star approach provides the maximum in usable capacity through a series of point-to-point links back to a central node. Primary links to the POPS can be used for "POP to POP" and "end user to POP" applications (See Figure 2). The goal is to use the central node and outlying nodes to concentrate traffic and thus minimize construction. With redundant electronics and routing diversity, along with inherent central alarm capability, personnel costs are also minimized.

When looking at system design, construction costs are the most significant consideration. Much of the local access business will be in the downtown area or in areas of expanding commercial development. Cable television facilities (conduit and strand) may not exist in these areas. Terminal equipment can be thought of as a variable expense because it need not be purchased until contracts are signed. However, this is more difficult during

the construction phase as potential clients will want to see a network in place.

Potential structures

The structure of the cable operator's involvement in the local access market will incorporate all the elements previously discussed. There are also other, more common, business structures involving the cable television operator.

Third party operated network. Because of the problems obtaining cost-effective right of way, there may be third party access providers interested in leasing fiber capacity from the local cable operator. This might involve a flat rate for point-to-point service with a variable component to enable the cable operator to participate in the upside as the business grows.

Cable operator provides ad hoc service. This has historically been the norm. The cable operator will run a dedicated facility for a large local client and charge enough so it is worth the effort. With fiber optic deployment for cable television applications, this will probably become even more common. Little need be done except build and maintain the facility. While this activity does not maximize the local access opportunity, it does provide additional incremental revenue from an existing asset base.

Cable operator provides carrier service (carrier's carrier). In this scenario, the cable operator designs, constructs and operates a ring star network to connect IXCs to other POPs and bring large IXC clients to the POP. The advantage here is the IXCs provide the initial business from their internal network requirements, then provide the sales/marketing thrust to the end user group. The cable operator needs to service and interface directly only with a manageable number of IXC clients.

Cable operator provides full scope of permissible local access. This implies a dedicated marketing/sales staff to deal with both the IXCs and their end user clients. Care must be taken that sales activity does not conflict. The IXCs are interested in anything that involves their clients and local access will impact their business. *If* market driven, the business can grow into the wider base of smaller end users through one or more of the IXCs—even to the DS-0 level.

Cable operator provides own, internal service, between offices, both as carrier and as end user. Savings

on operating expenses can pay for network (in some cases) without additional revenues.

These relationships are not necessarily mutually exclusive. Combinations and developmental market-driven growth between two or more of these business structures may be optimal.

Taking the first steps

Evaluation of a specific market should follow an organized approach. The following actions will aid in surveying particular markets for access services.

- Review franchise and pole attachment agreements. Specifically, does the cable franchise enable the operator to provide these services? Are the pole attachment agreements restrictive in this area? Other issues such as "video dial tone" and questions pertaining to the four areas of opportunities must also be explored.

- Review regulatory issues. A complete examination of both the FCC and state PUC guidelines and regulations is advised before any alternate access venture is undertaken. Particular attention needs to be paid to state regulatory issues. Each state is different in its interpretation of what is "permissible."

- Review local DS-1 and DS-3 tariffs.

- Meet with several potential large end users to discuss telecommunication needs.

- Identify the local IXC's and their POP's.

- Meet with the regional network managers for the IXC's present in the community.

These initial steps should provide the necessary background information to determine if a market exists for local access. The scope of business, structure and initial pro forma should develop from the preliminary evaluation. The project may require a more extensive marketing analysis involving more identification of potential customers, their location, routing and so forth.

Window open now

Fiber optic deployment is widening the window of opportunity for the alternative access market. How quick the window closes remains a question. For now, cable operators have a construction advantage in the access market, which experience has shown to be a major advantage. In order to benefit from this, operators need to understand

the product, regulatory issues and the scope of the business.

In closing, it's important to emphasize a few key points. First, preliminary studies in specific markets will identify (and quantify) the market opportunity for those operators wishing to pursue the window of opportunity now.

Secondly, to properly facilitate participation in the access business, proper planning of system design engineering is essential. There are some vendors of optical equipment and independent consultants highly capable of helping with system integration.

And finally, perhaps most importantly, our experience indicates tremendous revenue gains in the alternative access business can be realized by only incremental investments. ■

Glossary

ALT: Alternative local transport
 BER: Bit error rate
 CO: Central office
 IXC: Interexchange carrier
 LATA: Local access and transport areas
 LEC: Local exchange company
 MAN: Metropolitan area network
 POP: Point of presence
 PUC: Public utilities commission

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Using push-pull, PIN-FET fiber optic receivers

Significant progress has been made in analog fiber optic components and systems in recent years. Further reductions in the cost of devices such as DFB lasers will permit the extension of CATV fiber links beyond the present trunking applications. Increased use of fiber couplers and splitters will bring the fiber receivers closer to the subscriber. Optimization of receiver performance to maximize the reach and fan-out of an optical transmitter in a fiber system with low received optical power presents different design constraints from those of a fiber trunk line receiver.

This paper describes a new type of fiber optic receiver specifically designed for long distance links and with low received power levels (less than -3 dBm). There are four fundamental advantages associated with this receiver that will be discussed.

Design considerations

The new receiver design allows the cable operator to have longer reach with the same distortion characteristics displayed by conventional fiber optic receivers.

The reduced signal levels of the long reach fiber distribution systems permit the use of low-noise optical receiver front-end designs that would not be practical in fiber trunking applications. The larger signal levels of the trunk line would compress the low-noise receiver.

Conversely, much of the high-level dynamic range of a trunk line optical receiver would be wasted in a low received power application, because the high output level capability designed into the trunk line receiver would not be used, and this high output level capability is attained at the expense of noise figures.

In an extended reach link, the intensity noise of the laser is attenuated sufficiently in the fiber so the receiver noise limits the recovered carrier-to-noise ratio. The push-pull, PIN-FET receiver achieves a low thermal noise front-end by coupling the PIN photode-

tor to a pair of GaAs FETs in push-pull configuration.

Noise figure

This new design exploits the low-noise characteristics of GaAs FETs in providing pre-amplification, but it also provides a low thermal noise match to the photodetector. Noise figures of less than 1.5 dB are typical for GaAs FET amplifiers operating below 2 GHz. Design of a low-noise amplifier operating in a higher characteristic impedance than the standard 50 or 75 ohms minimizes the required impedance transformation. This is fundamental to the operation of this receiver, as there is a tradeoff between loss and bandwidth in matching between differing impedances.

Loss in the front-end translates to an increase in the effective noise figure

day are composite second order distortion (CSO) limited for this reason. The bandwidth capability of this receiver is beyond 1 GHz, which is the limit of present CATV applications.

Prototype push-pull PIN-FET receivers developed in the laboratory have demonstrated received C/N ratios of better than 50 dB with received optical power levels of less than -5 dBm for channel loading up to 550 MHz. This represents a significant improvement over the performance of trunk line receivers on this same link (see Figure 1).

The first of the advantages mentioned above pertains to the fact that the thermal noise of the photodetector is inversely proportional to the load impedance that it is driving. Both the PIN diode and the gate of the FET are high impedances of reverse-biased junctions,

and broadband performance can be achieved with simple matching circuits that incorporate the gate biasing circuit for the FETs.

Since these impedances are similar, minimal impedance transformation is required in simultaneously providing a low noise match to both the photodetector and the FETs.

It is this impedance characteristic of the GaAs FET at CATV frequencies as well as the low noise figure that make it attractive for this application.

Since the signals in the two branches of a push-pull amplifier are 180 degrees out of phase, they are negatives of each other. If one uses a Taylor series for the drain currents in the two FETs, with one drain current being the negative of the other, the series for one of the FETs is alternating in sign with all coefficients for the odd terms in the series being negative, while the coefficients in the series for the other FET are all positive.

The combiner circuit outputs a current proportional to the difference of these currents so all the positive (odd

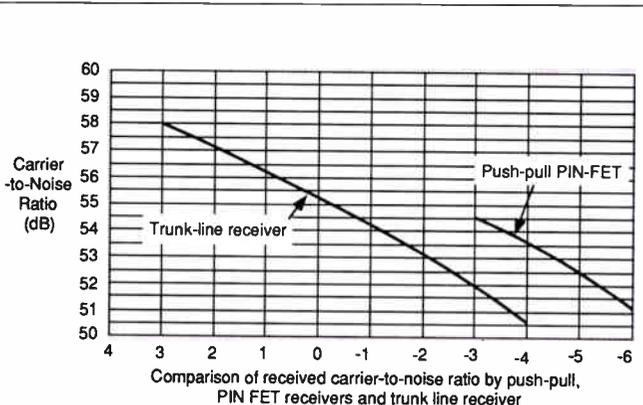


Figure 1

of the pre-amplifier. The impedance for matching to the GaAs FET is provided by a simple low-loss matching circuit from the PIN photodiode because both of these are of reverse biased junctions and simple reactive tuning rather than impedance transformation is all that is required to tune the front-end circuit of this receiver.

Distortion in the push-pull, PIN-FET receiver is improved over single-ended PIN-FET receivers as the push-pull circuit reduces the RF power requirement of each FET by 3 dB; and, more significantly, the second order distortion of the FETs is canceled in the output combiner of the push-pull circuit. Many of the commercially available PIN-FET receivers available to-

By Nigel Watson, RF/fiber Optics Design Engineer, Magnavox CATV Systems Inc.

FIBER OPTIC RECEIVERS

order) terms add while the even order terms cancel.

To explain the operational principles and specific advantages of this receiver, comparison is made to a conventional CATV optical receiver design in

The input matching network provides DC bias to the PIN photodiode and gates of the FETs, and it provides appropriate terminating impedances to the FETs and the photodiode over the desired bandwidth. The GaAs FETs

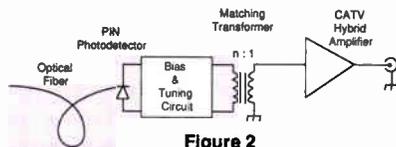


Figure 2

which the photodetector is coupled to a 75-ohm CATV amplifier (see Figure 2) using a passive tuning circuit and a transformer.

The transformer coupled front-end is typical of the type of receivers being used for fiber trunking. The thermal noise from the photodetector is directly proportional to the noise figure following it, and inversely to the load resistance it is driving. Losses in the input matching circuit add directly to the noise figure of the amplifier.

With only a transformer as the input matching circuit, the photodiode load resistance is the amplifier input impedance (75-ohms) multiplied by the square of the turns ratio of the transformer. Worst case noise figure for the CATV hybrid amplifier used in this trunk line receiver is typically about 6 dB. For discrete component receivers, the maximum turns ratio that is suitable up to 550 MHz is 2:1, which yields an impedance transformation of 4:1 (the impedance goes as the square of the turns ratio) resulting in load impedance of 300 ohms for the photodetector.

With an appropriate PIN-FET de-

in the diagram are grounded source and require a split supply for a negative gate bias and positive drain bias; however, single positive supply operation can be accommodated while still using the common source configuration with the addition of bypassed source resistors.

With this approach, DC causes a positive voltage at the source of the FET while remaining RF ground because of the bypass capacitors. The gate is grounded and therefore is negative with respect to the source voltage. An adjustment potentiometer balances the gain of the two amplifier branches.

The output circuit consists of a balun transformer to combine the two amplifier outputs, flatten the gain over the desired bandwidth and to introduce the drain bias to the FETs. The output circuit design is less critical than the input circuit, as it is the input circuit that determines the noise performance of the receiver.

When the input circuit is properly designed, it provides a balanced feed to the FETs with appropriate impedances for a low-noise match.

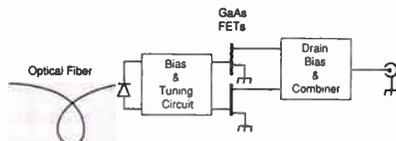


Figure 3

sign, photodiode load impedances of greater than 1200 ohms can be obtained over the 50 to 550 MHz bandwidth. Equally important to the operation of this receiver is the noise figure of the FETs and input circuit losses. Computer simulations of prototype versions of the push-pull PIN-FET receiver confirm that the noise figure, plus losses of the front end (the effective noise figure), is less than 3 dB.

A conceptual block diagram of a push-pull PIN-FET receiver is shown in Figure 3.

Output matching of the receiver flattens the gain, provides a low VSWR and stabilizes the out-of-band response. The major constraint in operating this receiver is the output third order intercept point of the FETs. Based on data from FET manufacturers and on experimentally characterized amplifiers, an output of 15 dBmV per channel is the maximum level at the output of the FETs. Additional CATV hybrid amplifiers can, of course, be added after this receiver if additional level is desired. ■

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Improving reliability in CATV systems with optical switching

Improving the reliability of cable television systems has become increasingly more important over the past few years. A number of events have contributed to this trend. Competition with DBS, MMDS and videotape rentals, plus the prospect of direct competition with the telephone companies have been key drivers in forcing cable operators to re-evaluate their reliability objectives. The advent of AM fiber technology has created the opportunity to re-examine the architecture of the cable system, while simultaneously requiring the installation of new optical plant.

The initial applications for AM fiber technology have primarily been in cascade reduction applications. Cable operators have taken advantage of the opportunity to improve the reliability of the service they deliver to subscribers by overlaying AM fiber links on their existing coaxial plants. This architecture, which has been dubbed the Cable Area Network (CAN) and is illustrated in Figure 1, involves inserting AM fiber receive nodes at specific points in the existing trunk amplifier cascade, depending on the new cascade length desired.

The optical cable is routed via a separate path from the coaxial trunk cable. Both the existing trunk cable and the optical cable enter the fiber receive node. Inside the receive node, the optical signal is converted to RF, and a relay selects between the two RF input signals. Normally, the fiber input is considered the primary path, and the relay is actuated to the coaxial input in the event of a problem with the fiber signal.

As AM fiber and distribution plant architectures are discussed, new approaches have been developed which call for a different solution. Increasingly, cable operators are implementing Fiber to the Serving Area (FSA) type architectures, in which no coaxial trunk cable is utilized, as illustrated in Figure 2.

In addition, the quantity of AM fiber transmitters and receivers used in a given cable system has increased dra-

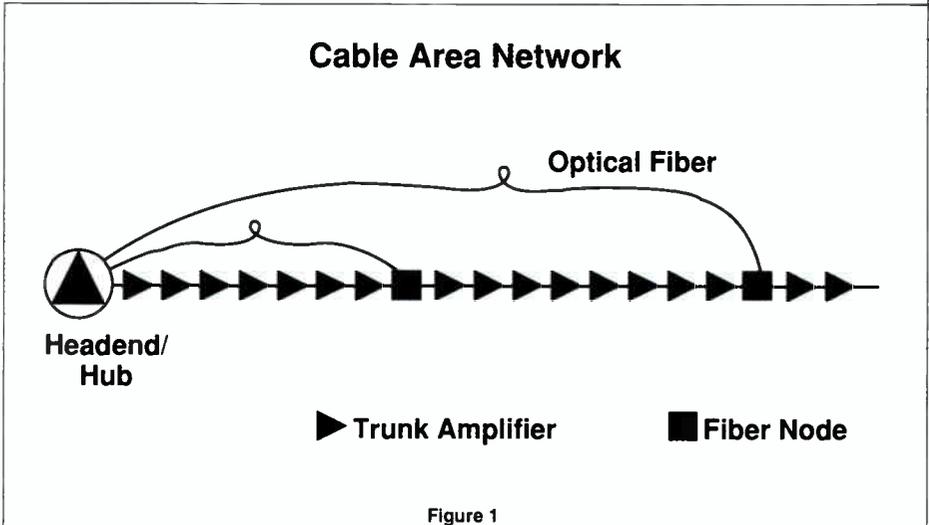


Figure 1

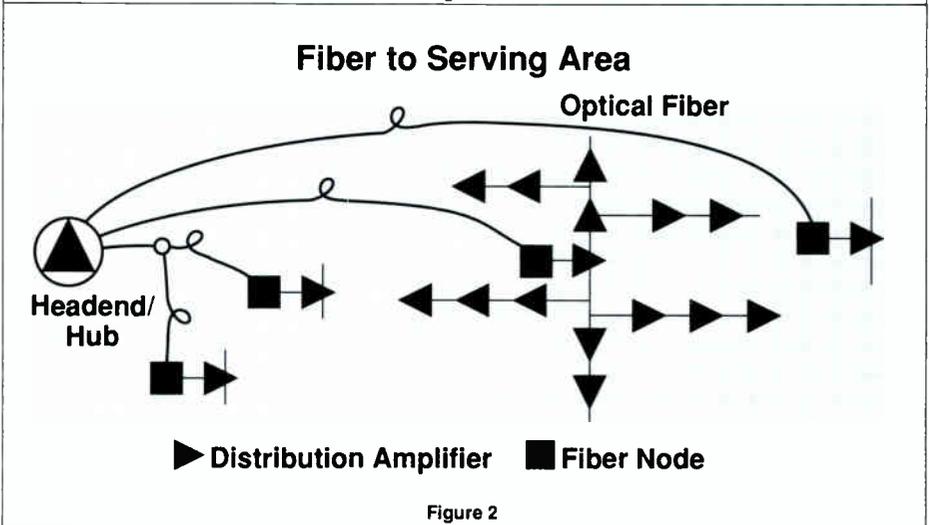


Figure 2

matically. With the elimination of the coaxial trunk as a potential backup path and the increase in optical plant and electronics, cable operators have begun to consider alternative means to add redundant elements to their systems and thus enhance reliability.

In order to investigate the ques-

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Cost to Add a Subscriber:	Low	\$ 50
	Medium	\$125
	High	\$250

Average Revenue per Month = \$25

Annual Cost Per Lost Subscriber

Months to recapture:	0	1	3	6	9	12
Low	\$ 50	\$ 75	\$125	\$200	\$275	\$350
Medium	\$125	\$150	\$200	\$275	\$350	\$425
High	\$250	\$275	\$325	\$400	\$475	\$550

Table 1

By John A. Mattson, Director of Marketing, Scientific-Atlanta



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tion of improving reliability in cable systems, it is necessary to do three things:

- Define the meaning of reliability.
- Determine the relative value of improved reliability.
- Explore alternative methods for

improving reliability.

What is reliability?

The term "reliability" means different things to different people. In the context of a cable television plant,

reliability can be approached from two directions: That of the individual subscriber and that of the cable system as a whole.

From the subscriber's standpoint, reliability is determined by the amount of time during which the cable service is unavailable or severely degraded, when it should normally be available. By including the restriction that the service should normally be available, we are excluding general power outages, during which time the subscriber's set will not work anyway. Cable operators can monitor reliability from the subscriber's point of view by measuring the amount of downtime during a regular interval, such as a month. An increasing number of operators have established customer service standards which measure "subscriber reliability" based on the number of outage minutes per month.

The second way cable operators define reliability is from their own point of view, in terms of the effect of a failure on the entire system, and the resources needed to correct the problem. A system failure will not always cause any subscribers to experience a problem. For example, in the case of a CAN architecture, a failure may occur in the fiber path, but the signal will still reach the subscriber via the redundant trunk cascade.

Of course, the subscriber may notice a degradation in the quality of the signal, but that is preferable to no signal at all. In any event, any failure will still need to be repaired, and thus the operator will want to minimize all system-affecting failures, not just the subscriber-affecting ones. Cable operators measure "system reliability" in terms of the total outage minutes per month, the number of outages per month and the number of truck rolls to repair system failures.

Optical Switch Operation

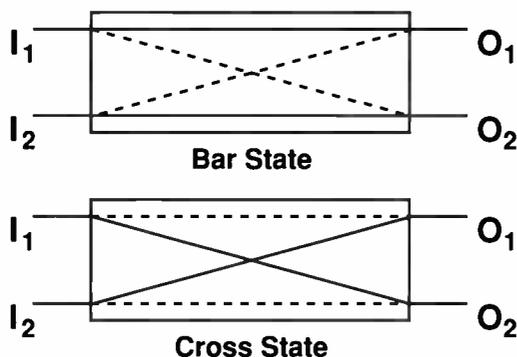


Figure 3

Backup Transmitter

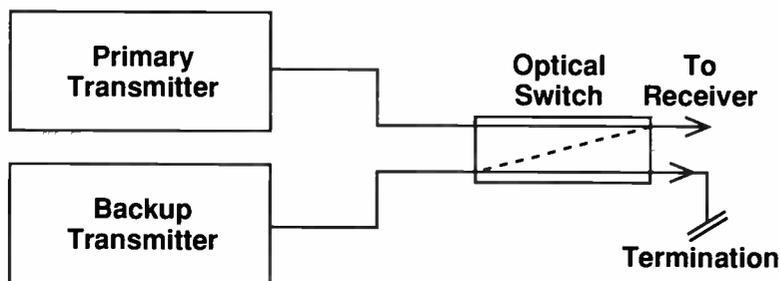


Figure 4

System Test

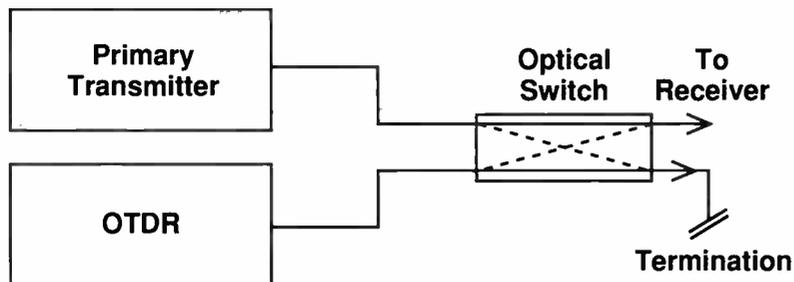


Figure 5

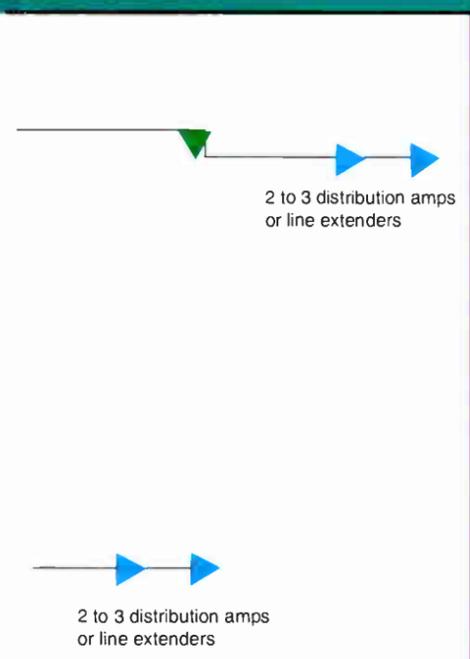
Reliability in CAN architecture

The impact on both system and subscriber reliability resulting from the introduction of AM fiber nodes into an existing cable plant has been studied in terms of a CAN architecture. In this context, it is clear that the outage time per month will be reduced for the subscribers located beyond the first fiber node locations.

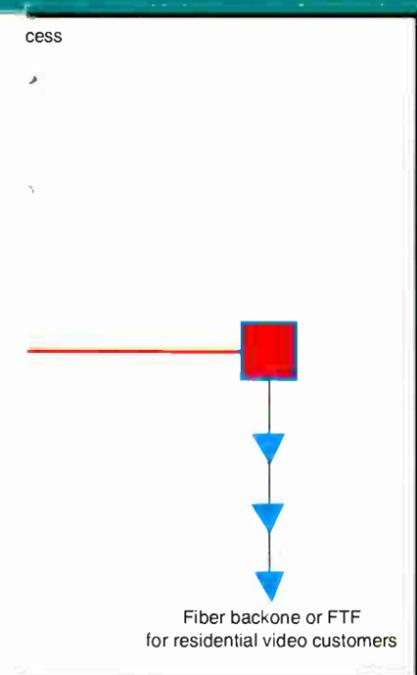
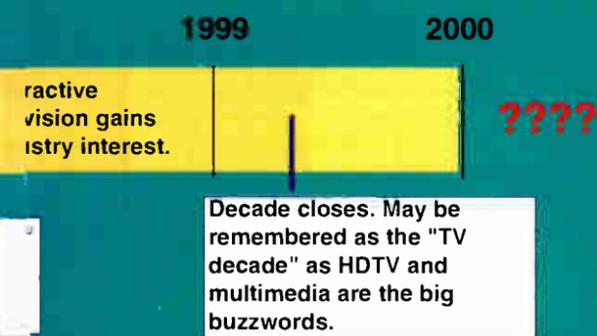
The total percentage of subscribers who fall into that category will depend on the number of trunk amplifiers in cascade prior to the first node, but it is normally a sizable portion of the total

Continued on page 44

Customer



in upgrades. Replaces
 reduces amplifier cascades to
 "stress" feeder serves area
 receivers. Was originally called
 known as All Fiber Trunk and



Legend



Headend



Fiber cable



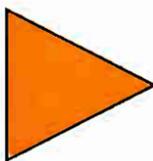
Coax cable



Optical receiver



Secondary hub



Trunk amp



Bridger



Distribution amp or line extender



Splitter



Cluster tap (64 port)



8 port tap



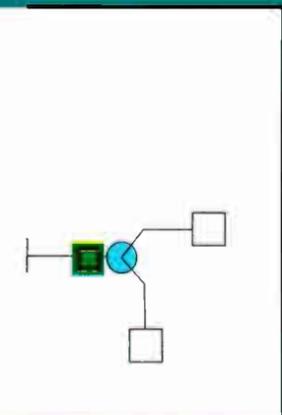
Standard tap

CED

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ONi communications infrastructure

An ONI network platform, or "open architecture," that takes into account video, voice and data delivery to a wide range of customers, including residential subscribers of cable television.



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Magnavox CATV Systems topology specifically designed for high density, urban areas. Utilizes just one active RF device between fiber receiver and subscriber. Optimized for expanding 550 MHz systems to 1 GHz. Also applicable for 750 MHz system expansions.

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 PERFORMANCE

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 OPTICAL AMPLIFIER

FIT

STARBURST
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SUPERTRUNK

JLX

SX

MINI-BRIDGER

PCN

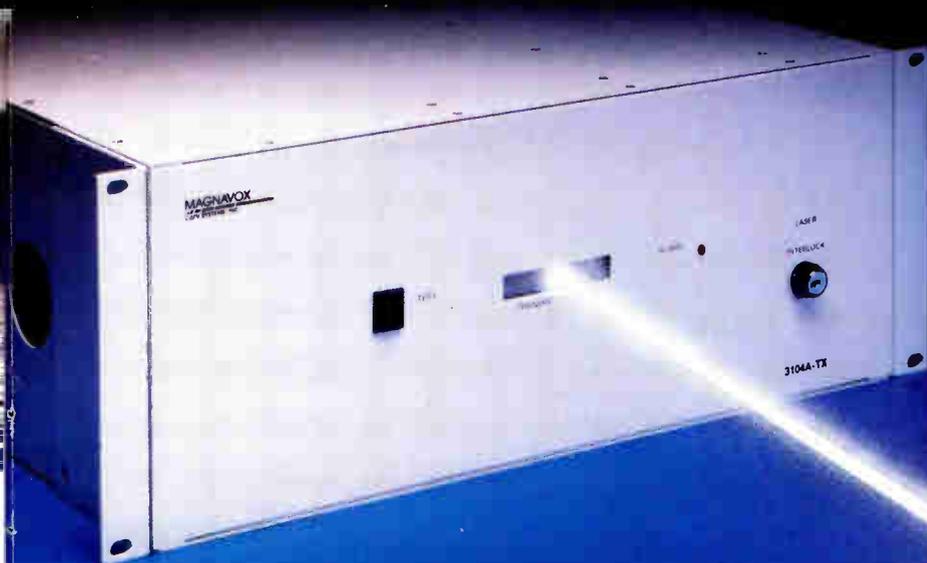
BACKBONE

JERROLD
 COMMUNICATIONS

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Flawless.
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Continued from page 26

subscriber population. From a system standpoint, however, the total system outage time will increase, because the fiber portion of the plant is simply overlaid on top of the existing coaxial plant. The cable operator is now faced with maintaining both the coaxial and fiber plants simultaneously.

FSA reliability

The situation is dramatically different in an FSA architecture. The fiber plant replaces the coaxial system in the trunk portion of the plant. Thus, from a system standpoint, the reliability of the trunk is now solely a function of the fiber system, which is presumed to be more reliable than the coax system because of the reduction in the number of active devices. In the feeder portion of the plant, the use of fewer amplifiers in cascade after the fiber node translates to improved system reliability in the feeder. On the whole, therefore, it is apparent that system reliability will be improved in an FSA design relative to a CAN approach.

From the viewpoint of the subscriber, the perceived reliability of an FSA system compared to a CAN architecture is more difficult to assess. In the trunk portion alone, the outage performance will be worse in the FSA system unless a redundant path is added to the FSA plant design. As a result, subscribers located in close proximity to a node location will perceive the reliability to be worse than in a CAN system. The farther away from the fiber node a subscriber is located, the more the feeder reliability will determine the outage performance seen, and the perceived reliability will be better in the FSA system.

From this discussion, it is clear that it is important to understand the differences in the methods used to define and measure reliability. The key measurements are the number of outages per month and the total outage minutes per month. These parameters must be determined with reference to both the subscriber base and the cable system itself. The reliability for the subscriber and for the cable system are not necessarily correlated, and an improvement in one may lead to a degradation in the other.

For example, using AM fiber in a CAN architecture, the subscriber reliability will be improved relative to the coax-only plant, but the system reliability will be degraded. On the other hand, in an FSA architecture without redundancy, the system reliability will

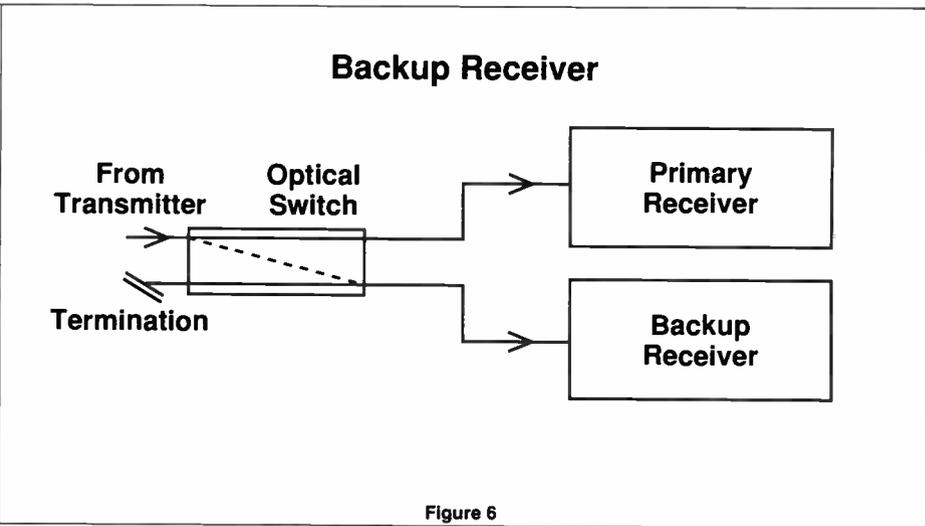


Figure 6

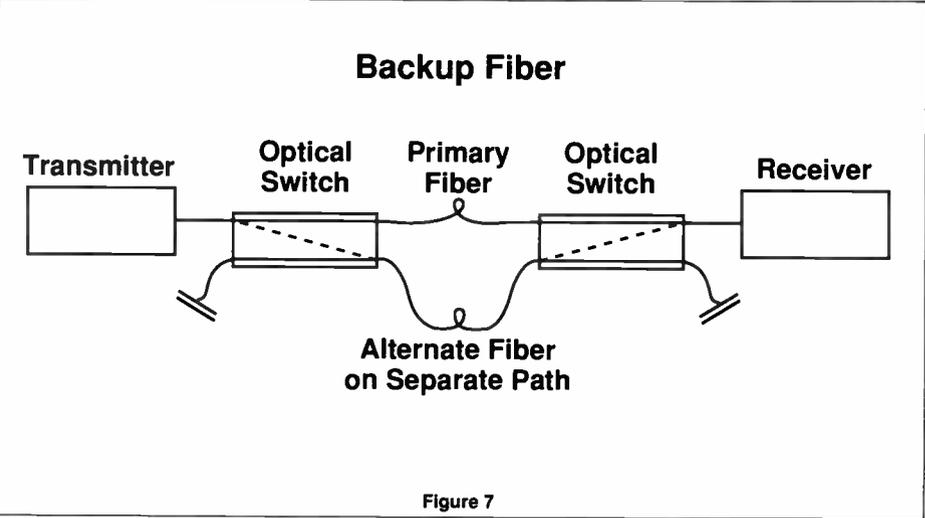


Figure 7

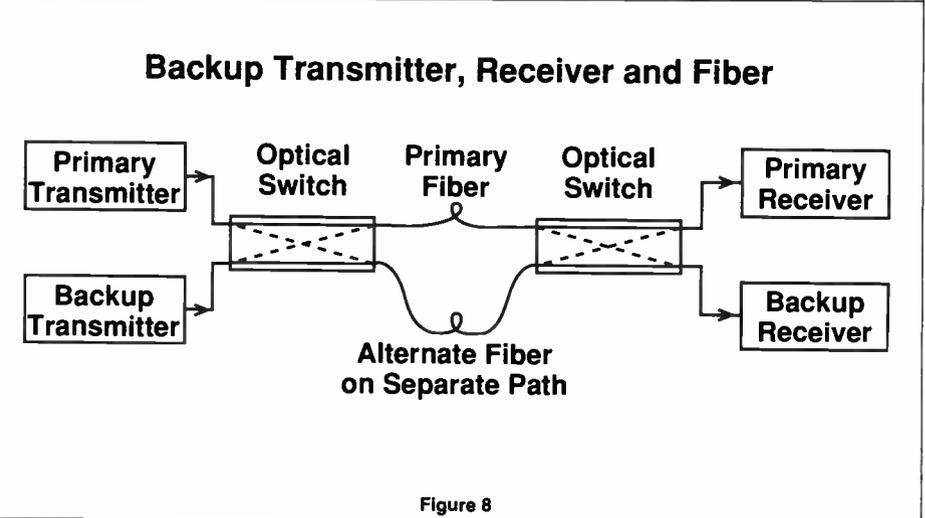


Figure 8

be improved relative to the CAN system, but the reliability as perceived by the subscriber may be worse on average, although this will vary depending on the subscriber's location.

As a result, an operator will want to consider adding redundant elements

to an FSA plant design. The decision will depend on a comparison of the cost of redundancy to the value of improved reliability.

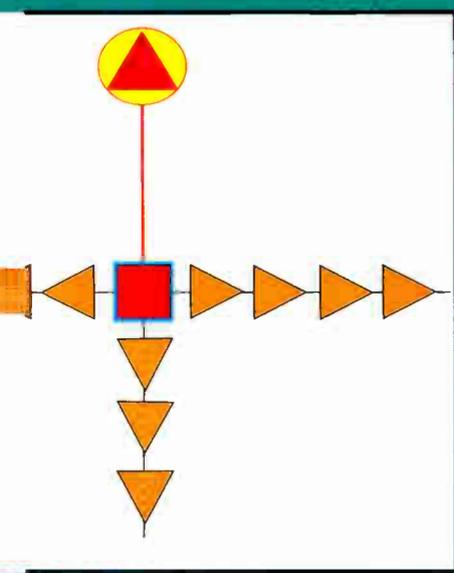
Reliability's worth

There are two components to con-

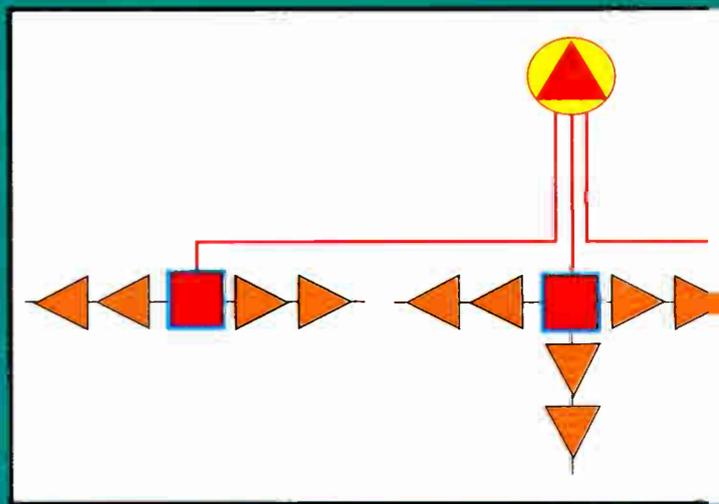


Evolution of Fiber

Fundamental fiber opti

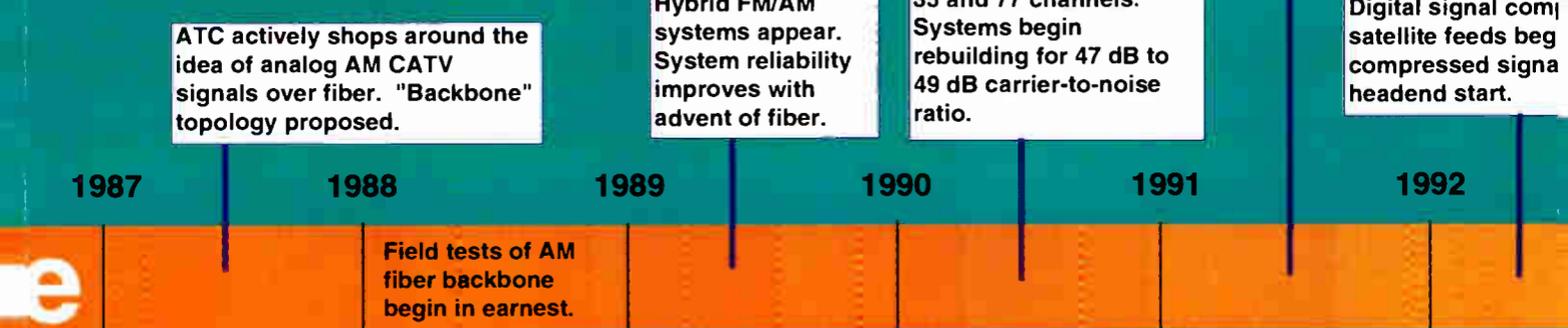


Supertrunk
 Coaxial cable with fiber optic
 transportation run. Often
 runs from antenna farm to
 provides improvement in
 noise ratio and distortion over
 the part. Defined by ATC as
 runs of more than four
 cascade.

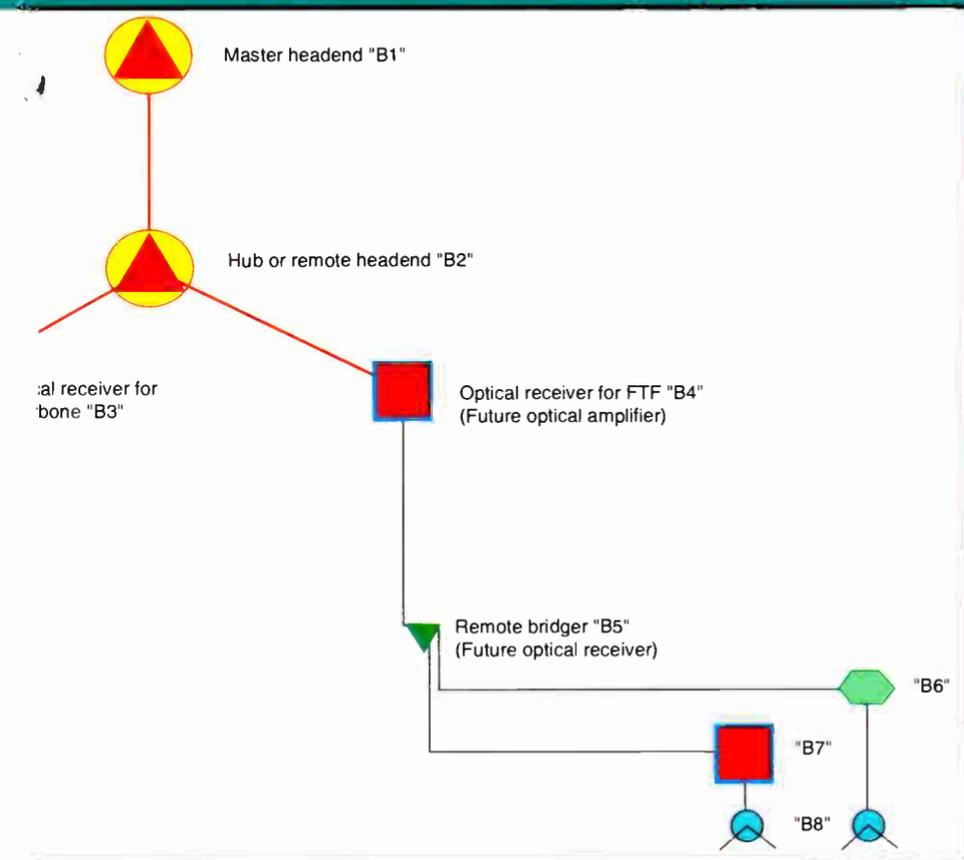


Fiber backbone

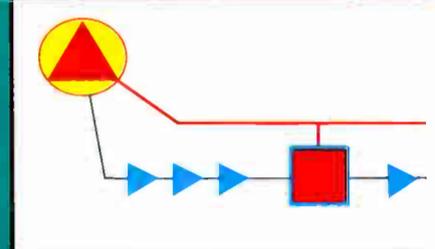
Reduces broadband amplifier cascades, improve
 and distortion performance while reducing me
 system upgrades and rebuilds to higher band
 having less than four amplifiers in cascade on



Specialized topologies and

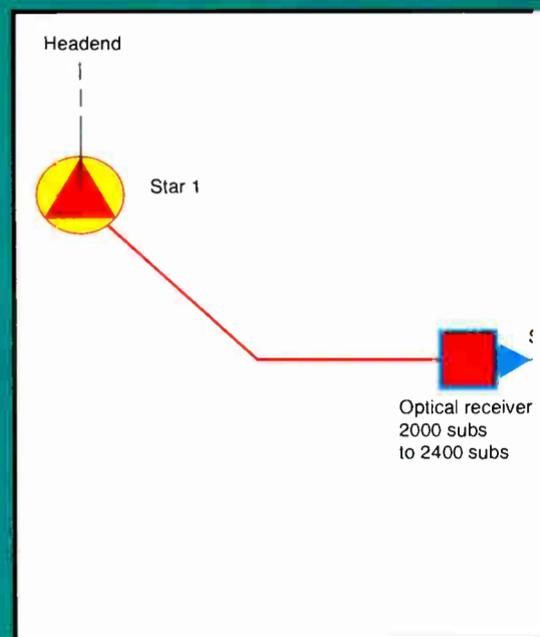


First
 fiber-to-the-tap topology designed by Jerrold
 communications. Provides for video, voice and data delivery.
 as star architecture but doesn't carry the cost burdens of
 star network. Star points are referred to as "bursts."



Cable Area Network

Designed by Jones Intercab
 primary fiber path. Coaxial
 A/B switch in optical receiver
 coax input and triggers stat
 and coax) increases the reli



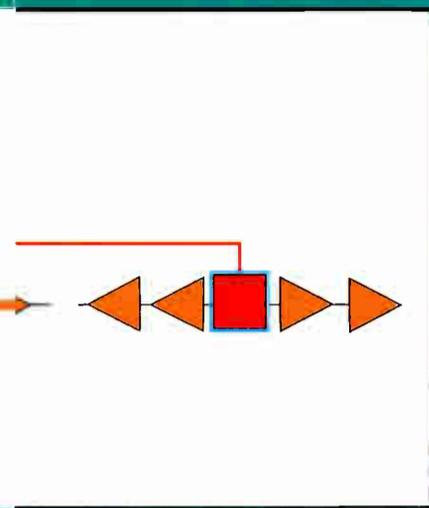
Electronics

Let Magnavox help you add up your

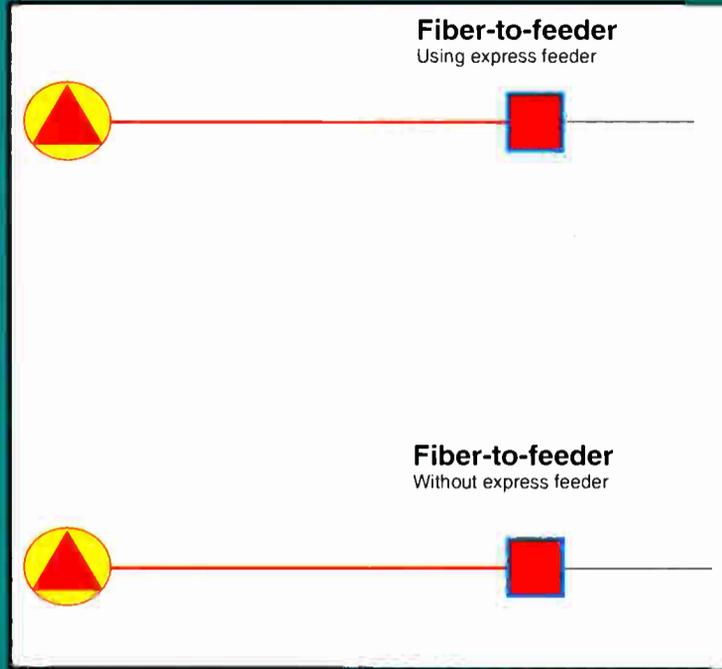


To learn more about how we can help you equate your optical options with more optical solutions call us today.

er-to-the-Cu ic topologies for CATV



ives carrier-to-noise ratio
aintenance needs. Used in
widths. Defined by ATC as
any trunk run.



Fiber to the feeder

Designed for system rebuilds, and increasingly nearly all coaxial trunk cable with fiber optics. It no more than three active devices. Coaxial "ex" immediately adjacent to headend and optical re. Fiber Trunk and Feeder by ATC engineers. Also Fiber to the Bridger.

ber
elivery of digital
ersonal
ature

Compression
terminals
available for use
by subscribers.
Digital signal
transmission to
the home is
tested. Studio-
quality video gets
closer to the
house.

pression of
ns. Tests of
s in

HDTV receivers go on sale. HD programming (mostly sports and films) available in limited areas.

Some systems use switches in the network to provide video-on-demand. Revenue per sub leaps.

1993

1994

1995

1996

1997

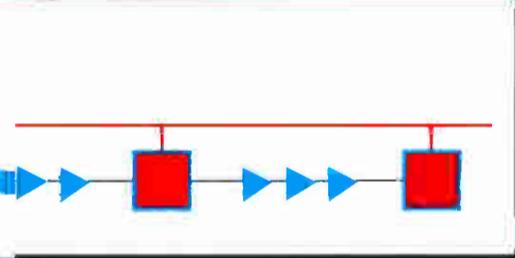
1998

Full competition between cable operators and telcos allowed

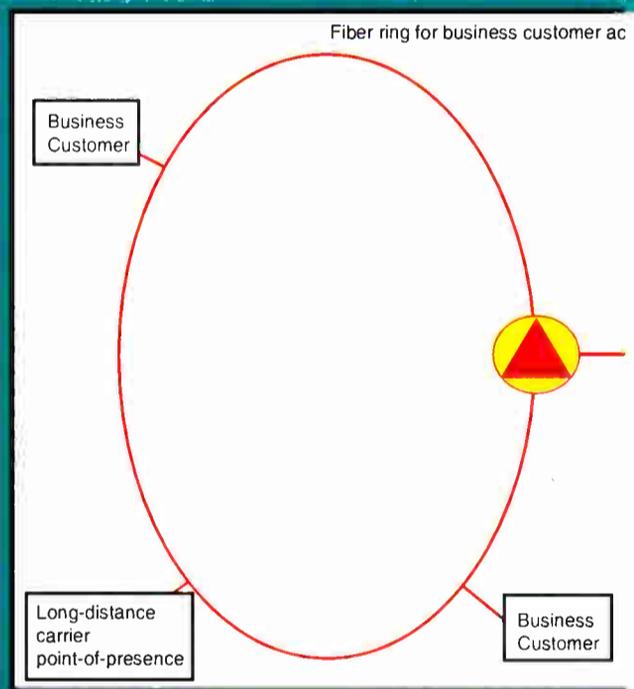
Inte
tele
indt

Systems carry between 130 and 150 channels. Voice and data delivery via cable more common. Outages cease to be an issue in most large systems

platforms

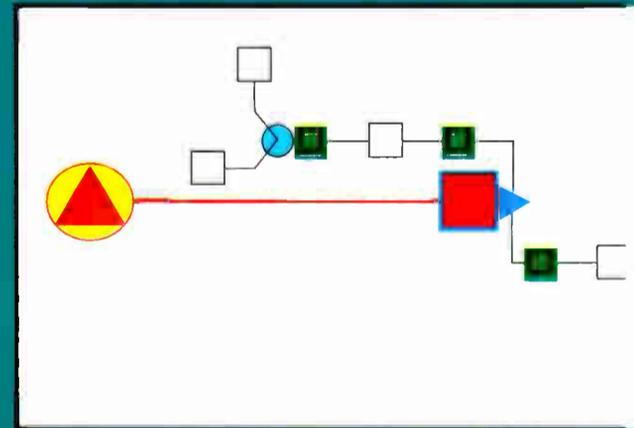
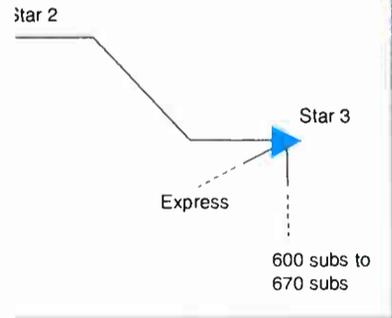


le engineers to provide redundant coaxial route to
oute serves as a back-up in the event of a fiber outage.
r housing senses loss of signal on fiber, switches to
us monitoring alarm. Route diversity (between fiber
ability advantage which this topology offers.



Fiber to the serving area

A Scientific-Atlanta design concept that enlarges the area serviced by fiber optics by utilizing "express" or untapped feeder lines. Encompasses FTF and backbone topologies.



optical options.

Greater
Spectral
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HDTV

FTF

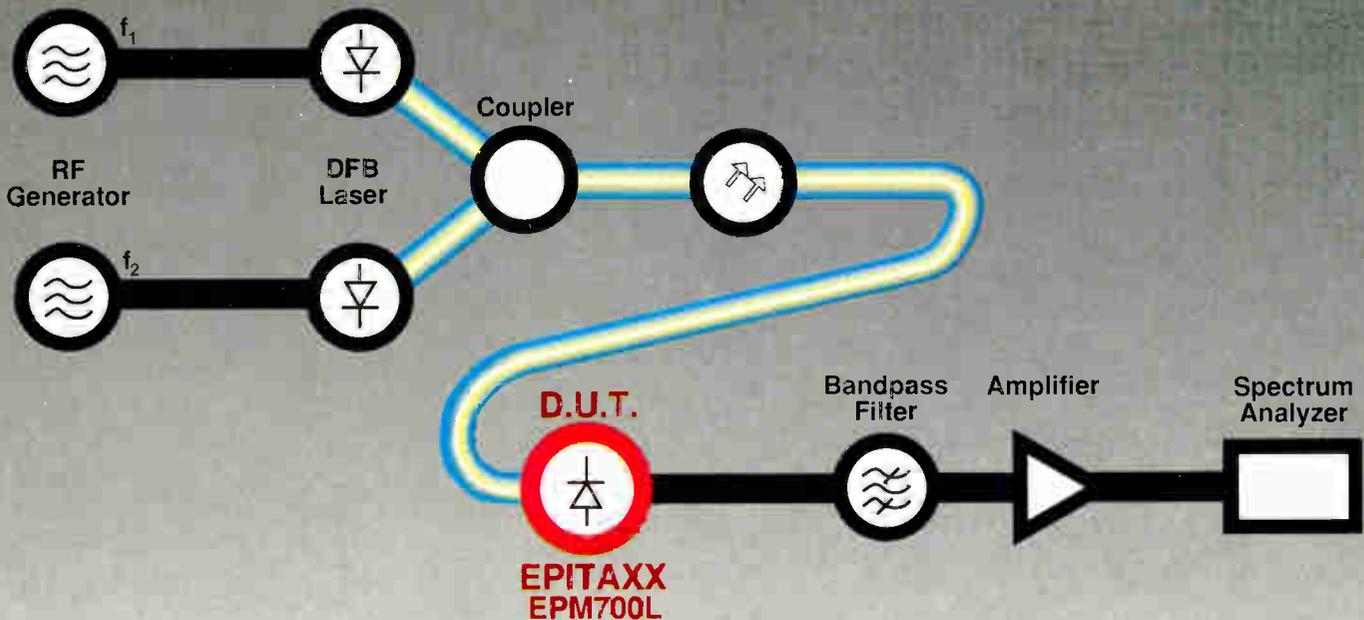
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sider in order to determine the value of improved cable system reliability. The first is the maintenance cost savings to the cable operator resulting from lowering the system failure rate, which is tied to system reliability. The second is the indirect savings resulting from reduced churn in the subscriber base, which is tied to subscriber reliability. In evaluating the need for redundancy in an FSA system, the key factor to consider is the potential benefit from increased subscriber reliability.

Because of the lack of detailed data, it is not possible to determine with precision the exact relationship between reliability and subscriber retention. It is possible, however, to arrive at some general conclusions. It is fair to assume that as reliability increases, the number of subscriber disconnects will decrease, thus improving retention.

The value of improved retention can be derived by calculating the cost penalty for each subscriber lost. The cost of a lost subscriber consists of two components: The opportunity cost of the lost revenue, and the cost of replacing or recapturing the lost subscriber.

Lost subscriber cost

The opportunity cost of lost revenue can be calculated by taking the monthly revenue per subscriber and multiplying by a specified number of months. The number of months used will depend on how long it is expected to take

Annual Reduction in Lost Subscribers
50,000 Subscriber System

Monthly Disconnect Rate	Reduction in Disconnect Rate				
	1%	3%	5%	10%	15%
1.0%	60	180	300	600	900
2.0%	120	360	600	1200	1800
3.0%	180	540	900	1800	2700

Table 2

to regain the lost subscriber.

The cost of adding a new subscriber can vary over a wide range, depending on the existing penetration and the type of marketing used to add subscri-

rate. The number of reduced disconnects can then be multiplied by the appropriate cost per lost subscriber from Table 1 to arrive at the expected annual savings from improved reliability.

Table 3 summarizes the average savings that might be expected, based on a typical cost per lost subscriber. For example, in a 50,000 subscriber system with a two percent disconnect rate, a five percent reduction in the disconnect rate will result in 50 fewer disconnects per month, or 600 per year, which translates to an average annual savings of \$165,000.

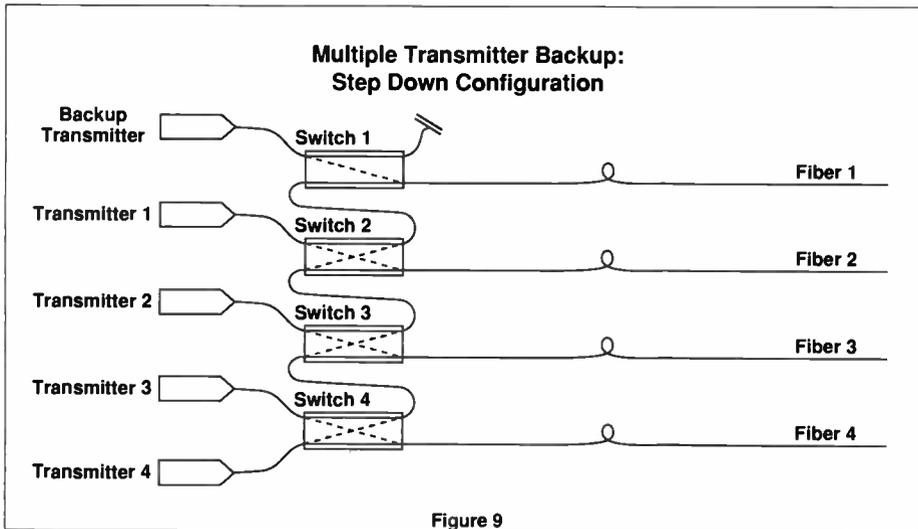


Figure 9

ers. The specific costs involved will vary from one cable operation and location to another. For the purposes of this discussion, a range of values has been used which should be representative of the industry as a whole. An individual operator can insert his own numbers and perform the same calculations. The range of possibilities is illustrated in Table 1 from which it can be determined that the total annual cost of each lost subscriber ranges from \$50 to \$550.

The total dollar value of improved reliability will depend on the cost of a lost subscriber, the number of subscribers in the system, the current disconnect rate and the percent

Improving reliability

There are a number of ways to improve the reliability of the coaxial portion of a cable television plant. However, this discussion is limited to the optical portion of the CATV plant, which contains three basic elements: A transmitter, a receiver and the optical cable and connections which tie the transmitters and receivers together.

There are a number of essential steps which can be taken to ensure that failures are minimized. When selecting the transmitters, receivers, splices and/or connectors and cable to be used in a fiber project, the vendor's product reliability record and support capability should be considered carefully.

Likewise, potential contractors should be held to the highest standards for quality workmanship, and all equipment should be installed in accordance with manufacturer's recommendations.

Value of Improved Reliability

50,000 Subscriber System

Average Savings
(assumes 6 months to recapture)

Monthly Disconnect Rate	Reduction in Disconnect Rate				
	1%	3%	5%	10%	15%
1.0%	\$16,500	\$49,500	\$82,500	\$165,000	\$247,500
2.0%	\$33,000	\$99,000	\$165,000	\$330,000	\$495,000
3.0%	\$49,500	\$148,500	\$247,500	\$495,000	\$742,500

Table 3

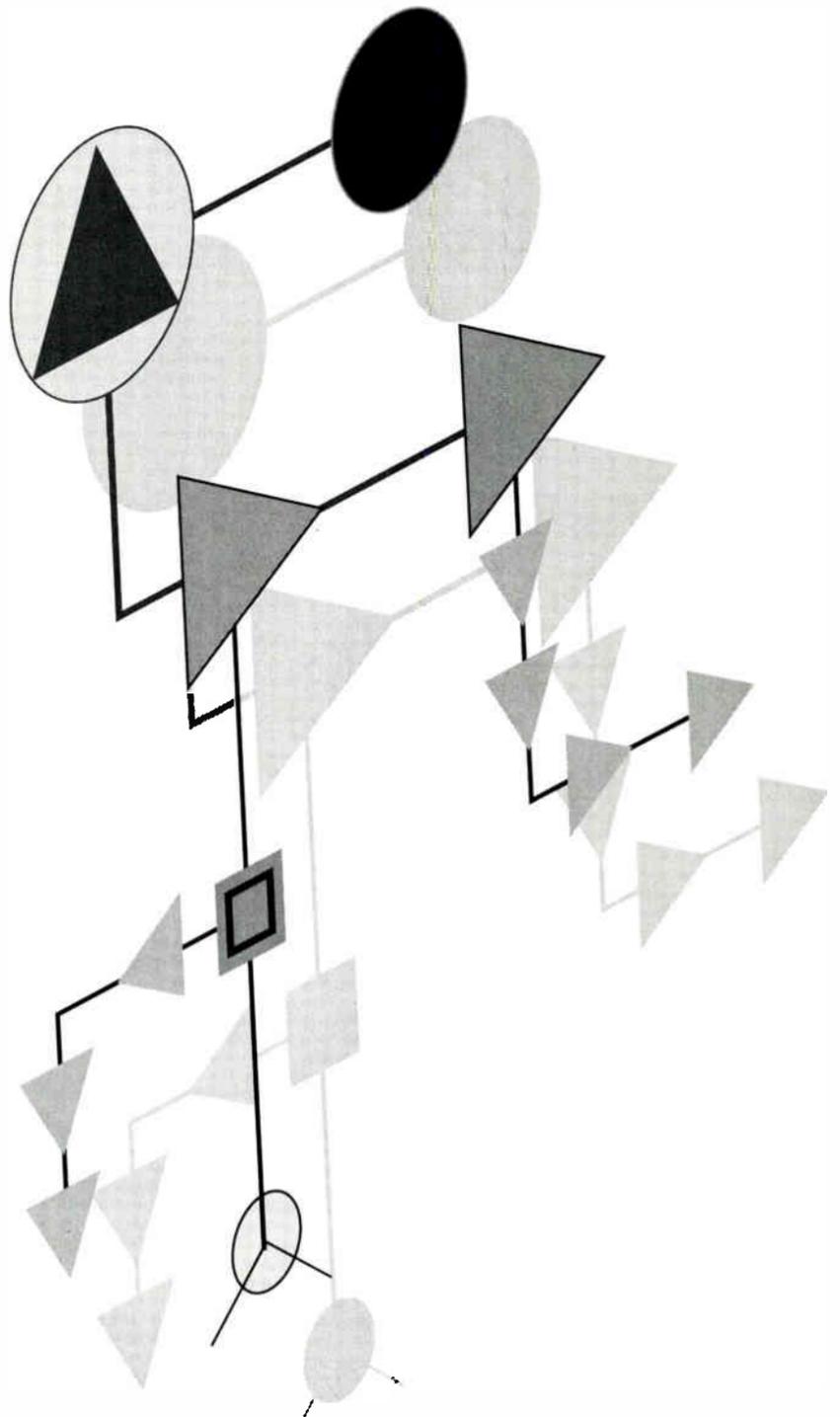
The Evolution of Fiber-to-the-Customer

A pull-out wall chart

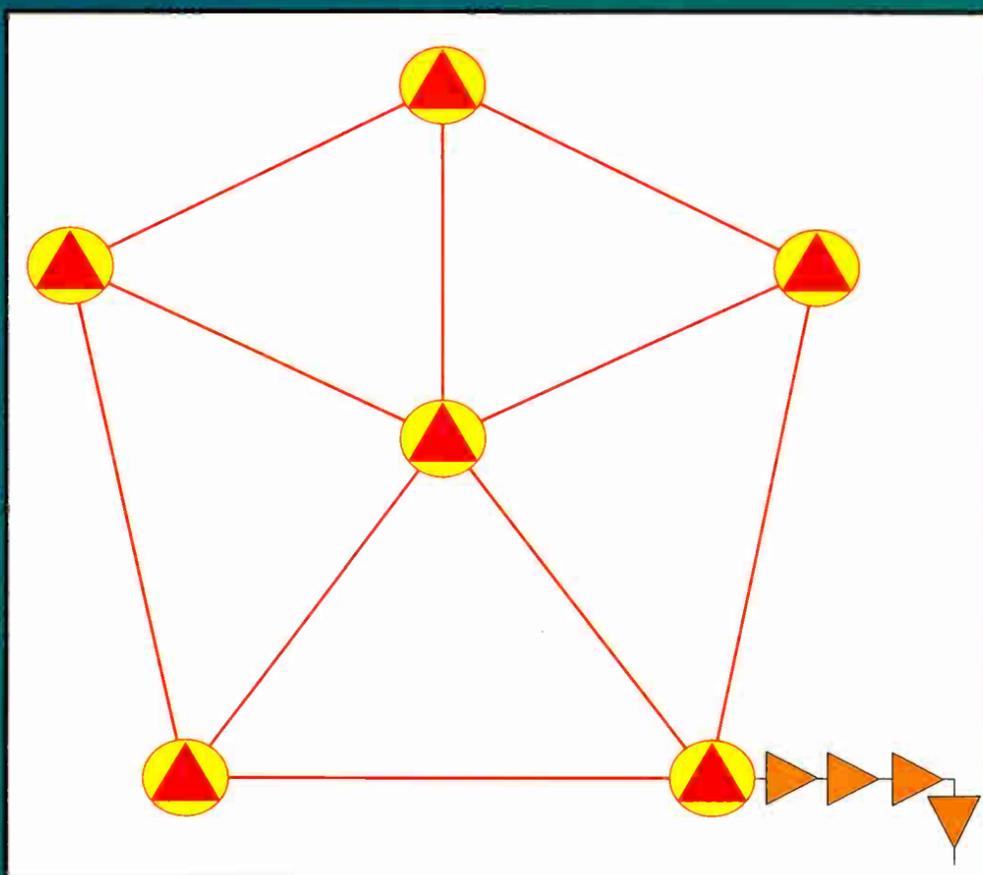
As recently as five years ago, no one would have believed fiber would be so universally embraced by cable television. But in a few short years, applications have moved from simple FM point-to-point supertrunks to a complete replacement of the coaxial trunk, and the electronics associated with it. Some are already preparing for a passive optical network that delivers a nearly unlimited amount of bandwidth to subscribers located miles away.

To help keep track of the developments and the options available to system designers, CED has published this wall chart. It displays the four fundamental fiber optic topologies as well as a host of other designs created either for special applications or with more than video delivery in mind.

As an added bonus, a timeline full of prognostications is included. The information there is taken from a variety of sources and represents some guesses about what the future will bring.



The Evol



Supertrunk interconnects

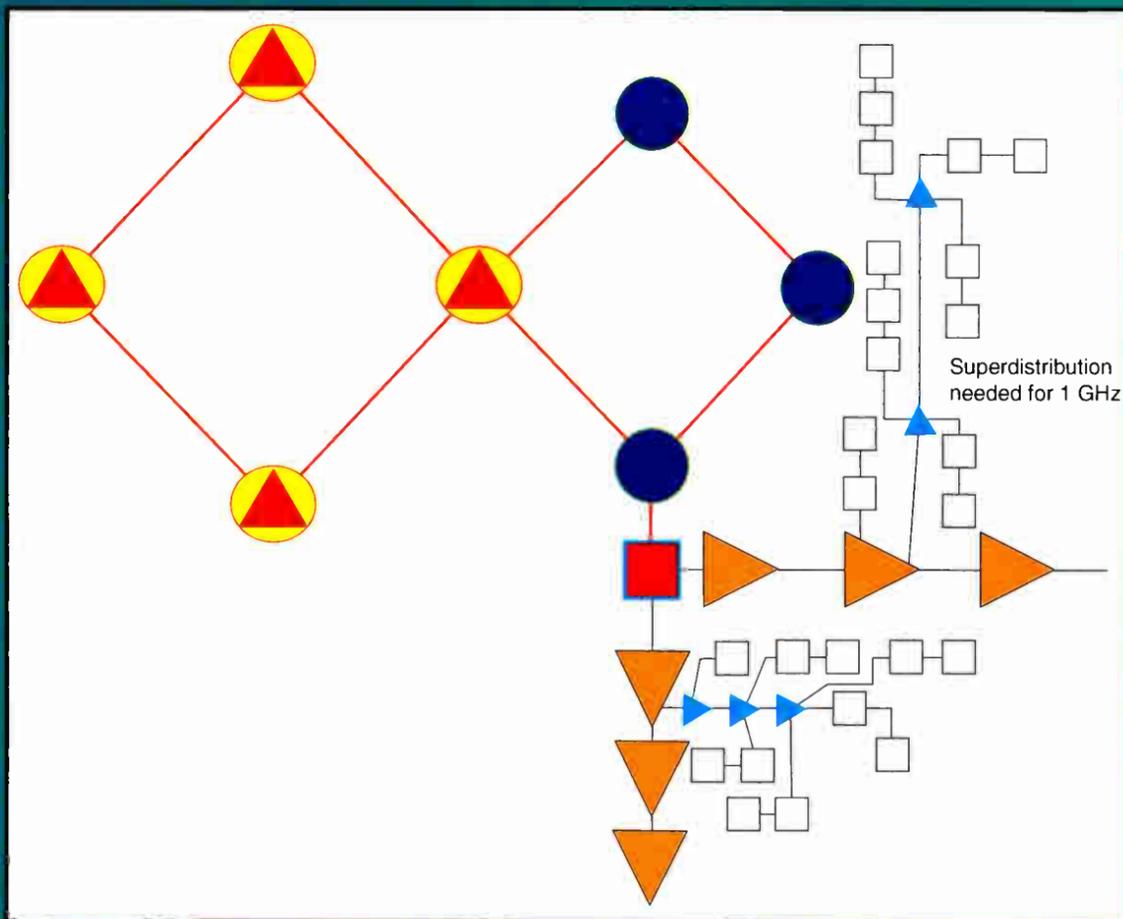
Interconnects multiple headends (and perhaps multiple systems) on regional basis. Provides signal path redundancy in case of local outage. Allows interconnection and sharing of programming on regional basis as well as route diversity for data, personal communications, alternate access, etc.

Fiber su

Supplants co...
point-to-poi...
delivers sign...
headend. Pro...
carrier-to-no...
coaxial coun...
having trunk...
amplifiers in

Network Evolution Timelin

S



Rogers Cablesystems architecture

Multi-service platform relying heavily on route diversity for provision of video, voice and data. Consists of FM or digital primary hubs (serving 100,000 subs) served by fiber backbone network with "ring" path routing for redundancy. These serve AM secondary hubs (which in turn deliver signals to 10,000 homes each). Designed to deliver up to 150 channels of video with use of superdistribution. Long-term objective is to replace trunk stations with optical bridgers.

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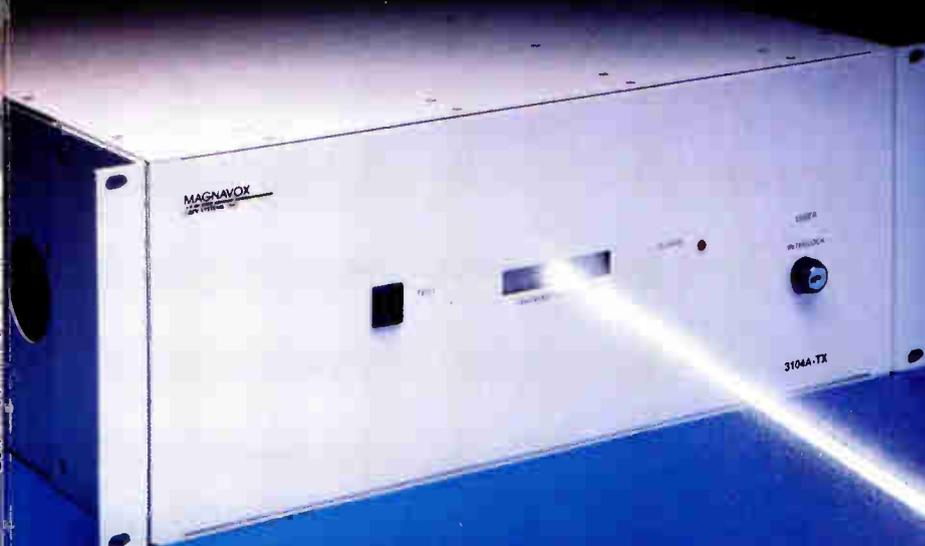
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Multifaceted.
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Continued from page 26

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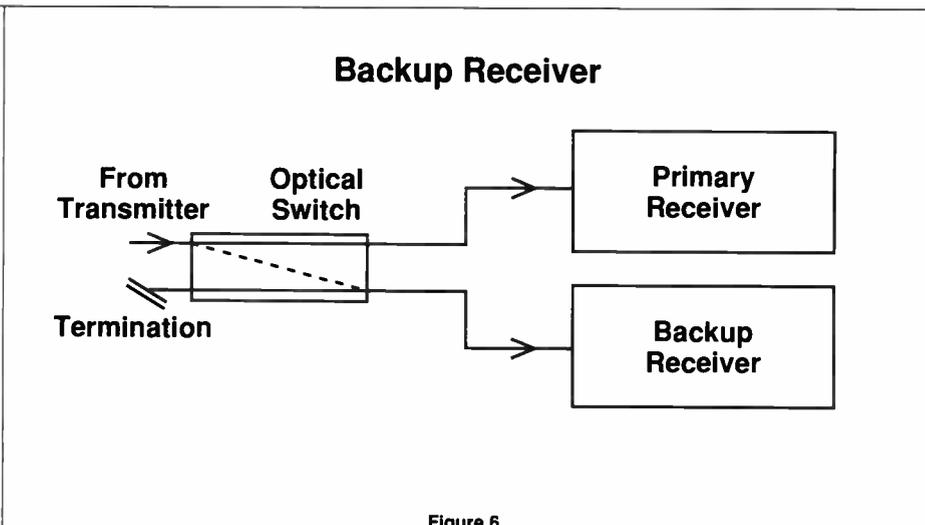


Figure 6

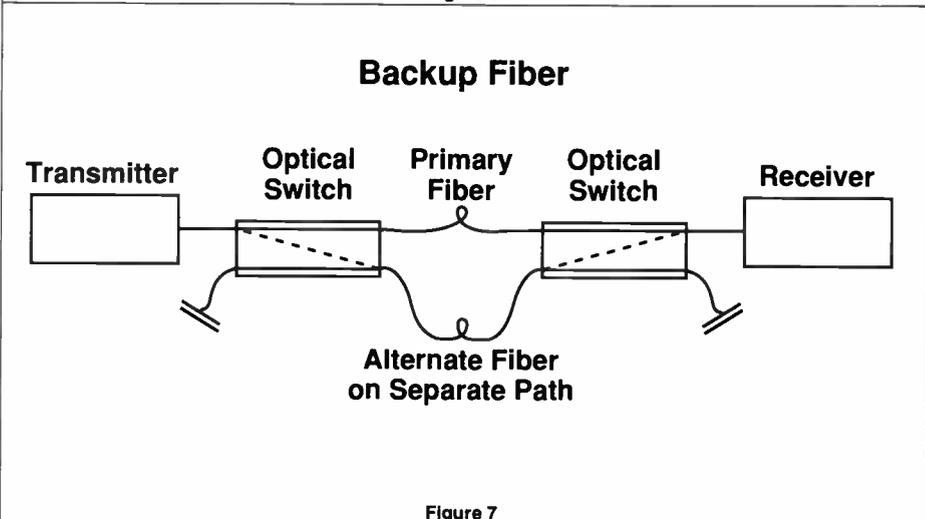


Figure 7

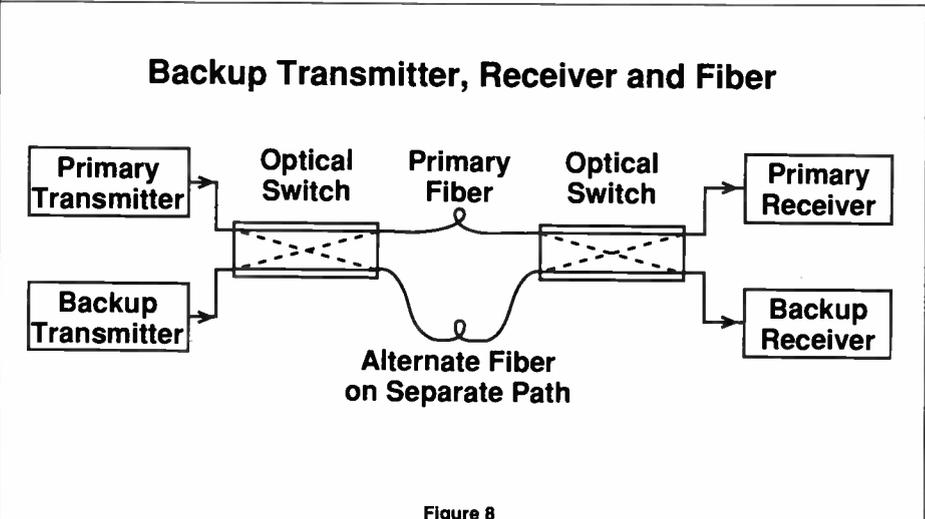


Figure 8

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As a result, an operator will want to consider adding redundant elements

to an FSA plant design. The decision will depend on a comparison of the cost of redundancy to the value of improved reliability.

Reliability's worth

There are two components to con-

Once the equipment is installed, it should be maintained and operated within its specified ranges and tolerances. Access to the system should be restricted, and adjustments should be minimized to prevent exposure to tampering, misuse or to the elements.

If optical connectors are used, special care should be taken to avoid scratches or dirt, which can degrade the quality of the signal severely. Finally, optical time domain reflectometer (OTDR) readings should be taken periodically to ensure the integrity of the optical path.

Redundancy and optical switching

In addition to these preventive steps, it is possible to dramatically improve the reliability of optical links and thus the entire CATV plant by adding redundant elements to the system. This can be accomplished with the use of optical switching. Optical switches allow the incorporation of a redundant transmitter, receiver or optical path into the system. Each of these redundant components can be set up to operate in a "hot standby" mode such that if the primary unit fails, the backup unit can be switched in automatically and instantly.

A cable operator may choose to back up only those elements of the system which were deemed most likely to fail, or those which might cause the most damage if they fail. Alternatively, by backing up all of the system components, a virtually failure-proof, "self-healing" network can be established.

The basic operation of a two-by-two optical switch is illustrated in Figure 3. Each of two inputs on the left side, I1 and I2, feeds the corresponding outputs on the right side, O1 and O2. When switching occurs, the two paths move from the bar to the cross state, and now I1 feeds O2 and visa versa.

In order to be effectively used in an AM fiber system, the optical switch must not introduce reflections or crosstalk, and should have a low insertion loss. In general, insertion loss of 0.5 dB or less is acceptable, although a loss of 0.2 dB is preferred.

In the relatively simple scenario

shown in Figure 4, an optical switch is used to incorporate a backup transmitter. This configuration also enables the use of an OTDR from the backup input, as shown in Figure 5, which eliminates the necessity of breaking a connection to test the optical path. Optical switches can also be used to provide a backup receiver as shown in Figure 6, or an alternate fiber path, shown in Figure 7.

Finally, all three elements can be combined for a completely redundant system, as illustrated in Figure 8.

Minimizing cost

Of course, in considering the benefits of the improved reliability provided by using redundant components and opti-

switches.

If an operator plans to do any narrowcasting of alternate programming to different points in the system, the step-down approach may not be appropriate. An alternative would be to set up a series of smaller step-down networks, each served by its own backup transmitter. As shown in Figure 10, it is also possible to set up optical switches in a "daisy chain" configuration.

Using this method, the output of the backup transmitter is routed through a chain of optical switches until the failed transmitter is reached. Because of the accumulation of insertion loss through the chain of switches, a "hot standby" transmitter can back up a

limited number of primary transmitters, depending on the optical loss budget of the system. Regardless of which configuration is chosen, it is clear that a full complement of transmitters can be backed up with a small number of redundant transmitters.

The bottom line

In the final analysis, the cable operator must

consider whether the benefits of improved reliability are worth the incremental cost of optical switches and backup components. If we consider the example used in Table 3, the annual savings from improved reliability would pay for the optical switches and redundant components several times over.

In addition, cable operators who plan to broaden their business beyond broadcast television may need to elevate their standards of reliability. The types of services that are being envisioned today, including video-on-demand, alternate access to long distance carriers and personal communications networks, will probably require a much more robust network than most cable operators are accustomed to providing.

As a result, now is the time for the cable industry to reassess the value of improved reliability and to establish a new vision of a cable system as not just a broadband video pipeline, but as an integrated voice, data and video communications network. ■

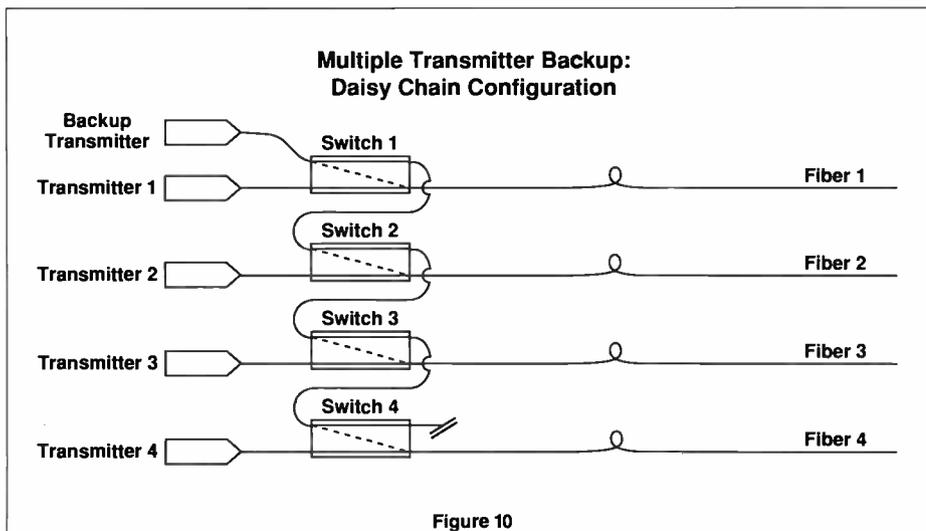


Figure 10

cal switches, a cable operator must also evaluate the incremental cost involved. While the cost of the optical switch itself is but a fraction of the cost of a typical transmitter, most operators would not even consider installing a backup transmitter for each of their primary transmitters.

Fortunately, it is possible to configure the switches in such a way that only one backup transmitter can support a large number of primary transmitters. In fact, depending on the technique used, it is possible for one "hot standby" transmitter to back up all of the primary transmitters, as depicted in Figure 9.

In this approach, called the "step down" configuration, if a transmitter fails, every transmitter prior to the failed unit will be switched to the next adjacent fiber, and the backup will be switched onto the first fiber. One advantage to this technique is that each transmitter is always routed through a maximum of two optical

Reducing power related outages in fiber optic systems

Most cable system operators have identified outage reduction as an important issue. The emphasis placed on higher system performance and reliability has developed steadily over the last few years due to several well-known issues facing the cable television industry. Potential competition from fiber-based telcos, government scrutiny of cable system rate structures and customer service, and ever increasing customer demand for higher performance and network reliability have resulted in system operator identification of reliability as a high priority.

System reliability is not only important to retain existing customers, but it is an absolute necessity to obtain new customers and maintain growth in the face of competition and government regulation.

Recently, Cable Television Laboratories (CableLabs) has sponsored an Outage Reduction Task Force. The outage reduction working groups have divided the responsibilities for research into the different areas of focus for providing "hands on" suggestions to the industry for improvement in the performance and reliability of the network.

ID outage history

Most systems perform some sort of tracking of system outages to help identify trends of reliability in certain areas as well as serve as an indicator of improvement when steps are taken to increase the reliability of the system. Most operators have arrived at their own definition of an outage and how outages are tracked over time. Comparison of system to system data and monthly reports of each system helps to quantify progress in increasing plant reliability.

Outage reduction will increase in priority in the future as the cable industry continues to diversify its revenue sources. PCNs, data networking, alarm monitoring, local origination and other non-entertainment services require a reliable network in order to

By Tom Osterman, Director of Research and Development, Alpha Technologies

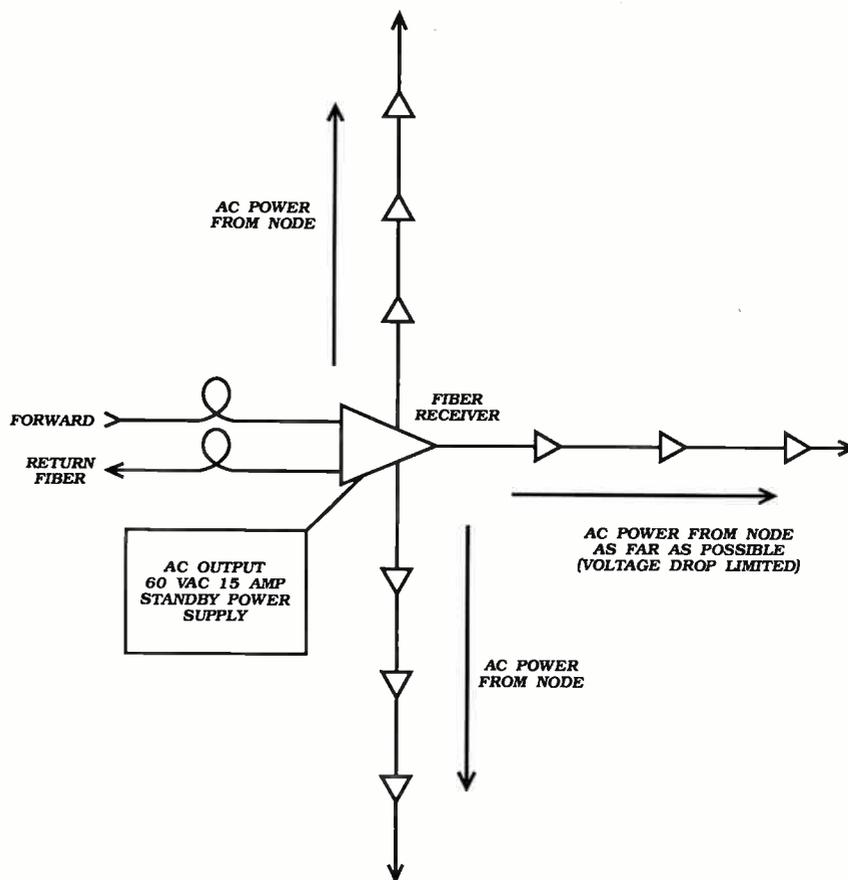
compete.

Fiber optics

With the rapid deployment of fiber in cable systems over the last several years, there has been a substantial gain in the performance and reliability of the signal delivery system. Long trunk cascades have been reduced or eliminated, microwave links have been replaced, headends have been consolidated and power supplies have been reduced in number. In spite of all these

improvements, the system is still vulnerable to outages due to a number of causes.

Power fluctuations and outages, transients, surges and premature equipment failure all continue to plague even the higher reliability, fiber-based systems. Power reliability in the United States is decreasing in a number of regions. CATV system reliability is directly affected by the characteristics of the local power grid. It is imperative that system designers and operators understand the local utility power



"WIDE AREA POWERING"

Use large AC standby supply to power the node and as much of the service area as possible. Note that the distance fed from the node will usually be determined by the end of line voltage limitations, not output current limitations of the AC power supply.

Figure 1

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distribution network and plan the system powering based upon this information.

Traditionally, the powering locations were determined by cascade voltage drop calculations. Power supplies were somewhat haphazardly located in the system over time with expansion of the plant. For maximum powering reliability, follow the traditional design approach for power supply locations, but in addition, "overlay" the CATV system map over the utility grid to identify powering locations that may be unreliable in the future.

Plant powering changes

With the implementation of fiber optics, the powering requirements have changed in a number of ways. There has been a reduction in the power requirements for the fiber trunk in comparison to the coaxial predecessor due to the reduction or elimination of the power-hungry trunk amplifiers. Power is required at the fiber receiver locations (nodes), and, of course, is required for the distribution actives as usual. What has changed is the configuration of the powering of the distribution area; with fiber signal delivery getting closer and closer to the subscriber service area, the requirements of power supplies have evolved and are still being re-thought by a number of system operators. (See Figure 1.)

What has changed?

In the coaxial trunk architecture, the total power requirements were defined by the power consumption of the actives, the loop resistance of the cable (I^2R drop transmission losses), the end-of-line voltage for the last active in the cascade and a certain amount of headroom left to allow for temperature related changes in loop resistance, future expansion and the effects of transient events such as longitudinal sheath currents, etc.

With fiber signal delivery to the distribution area, the power requirements have been reduced in most cases for the trunk function, but it can be argued that there is an increased requirement for more powering locations in the distribution area. Depending on the architecture implemented (FTF, fiber backbone, fiber to the service area or any similar hybrid), the powering configuration is being reconsidered to optimize reliability and economy of operation.

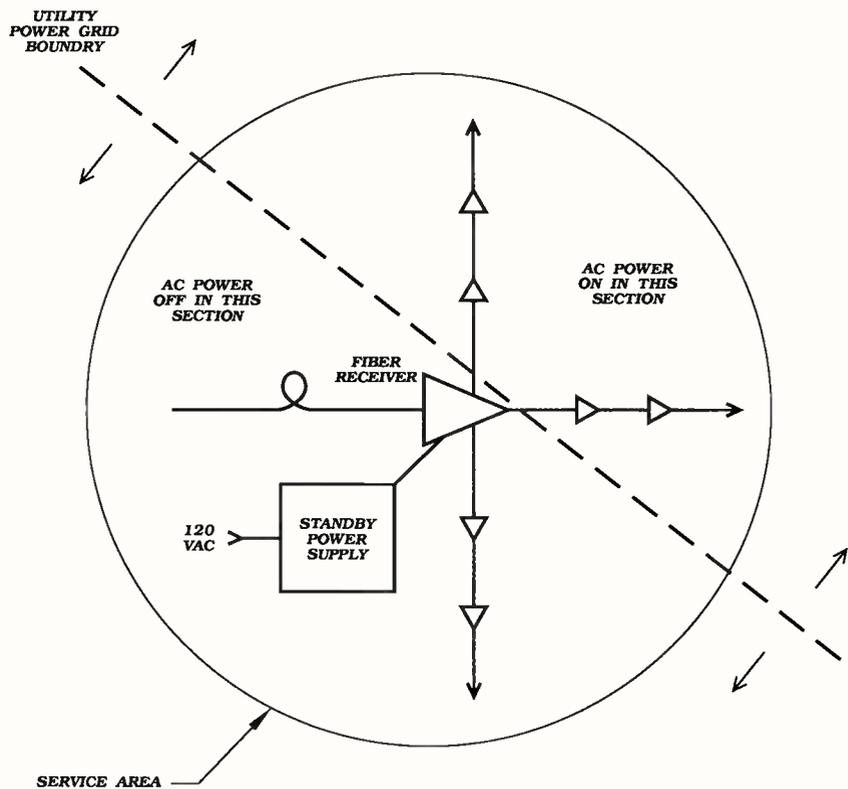


Figure 2

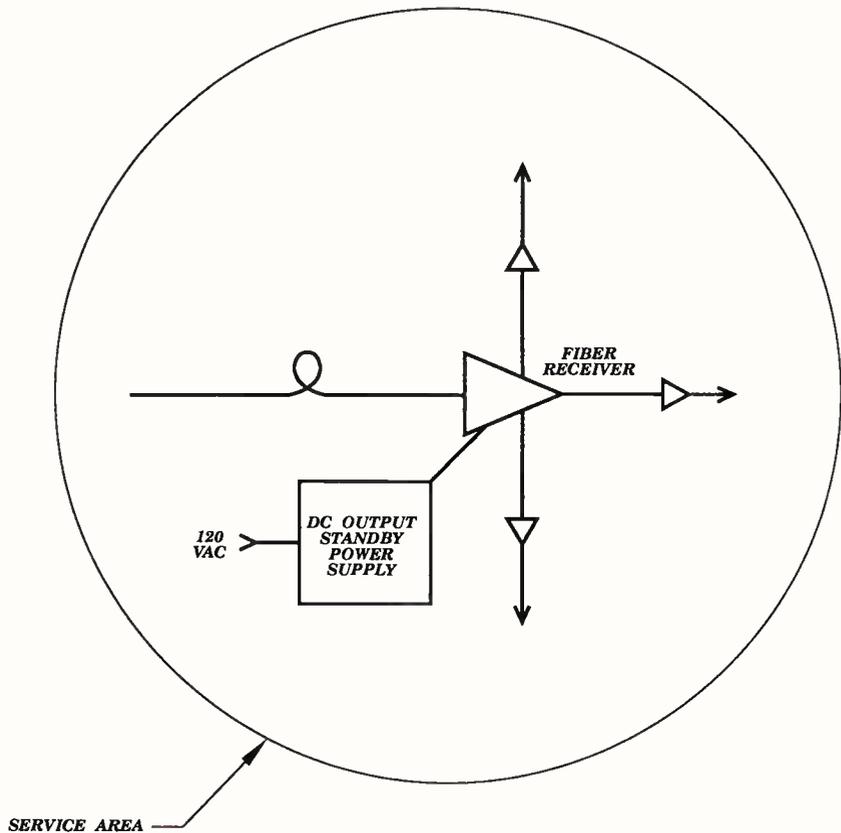


Figure 3

FTF node power

In this architecture the fiber node is a critical point for power reliability; if utility power were to fail in this area, all of the distribution fed by the node would be without signal. It is often argued that this is academic; the subscribers would most likely be fed from the same utility grid as the node, and thus would not have AC power to operate their televisions anyway, so standby power is not justified at this location.

In this example it would make sense to install non-standby power supplies at the node and in the distribution area. While this theory of the service area contained in the same utility grid may hold true for high density urban builds, it may not be the case in the typical system. The utility grid network is segmented; the utility companies have a fairly sophisticated system for overvoltage and overcurrent protection in their power distribution system.

There are automatic circuit breakers intended to isolate power feeds when an overcurrent condition exists, such as a tree over the lines or a line down. They have the similar objective of the cable operator in isolating a fault to an area that affects the least number of customers. The utility companies also have the ability to remotely shut down all or segments of the grid when an overcurrent condition is detected. The importance of awareness of the utility grid configuration and how it will affect the reliability of the powering of the cable system cannot be overstated.

In the previous example of the power outage affecting the fiber node, it is more likely that the outage only will affect sections of the service area fed by the node. In this case it would make sense to install a standby power supply to power the node and even the first actives fed by the node. If a power outage occurred in a small section of the service area including the node, the standby supply would keep the node operational and provide signal to the remainder of the service area that still has utility power. In this example a small standby supply would provide power for the fiber node, and non-standby power supplies would power the remainder of the distribution equipment in the service area.

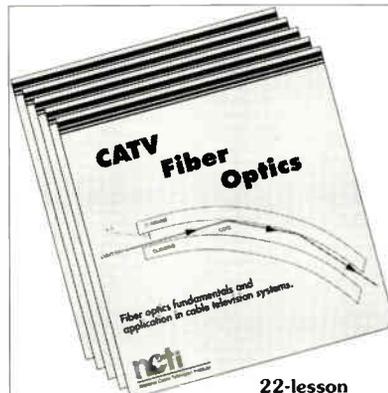
The use on non-standby supplies for powering the feeder legs is cost effective; standby powering into the neighborhood areas is not necessary because of the previous discussion about local

power outages affecting the subscriber home (small section of the node service area). (See Figure 2.)

In the fiber backbone architecture, the use of a small size standby power supply works well. The fiber receiver, distribution amps and line extenders can be powered from the node and as far out in either direction as allowed by voltage drop limitations. In a fiber backbone retrofit installation, a small physical size standby supply providing

up to 8 amps output current can be pole mounted at the node. In many cases, because of its compact physical size, the power supply can be pole mounted even if the utility company has prohibited any new installations.

The smaller unit has a minimal interference with climbing space requirements in comparison to the large 15 amp standby power supply enclosures currently used. It is possible to negotiate a waiver of the pole mount-



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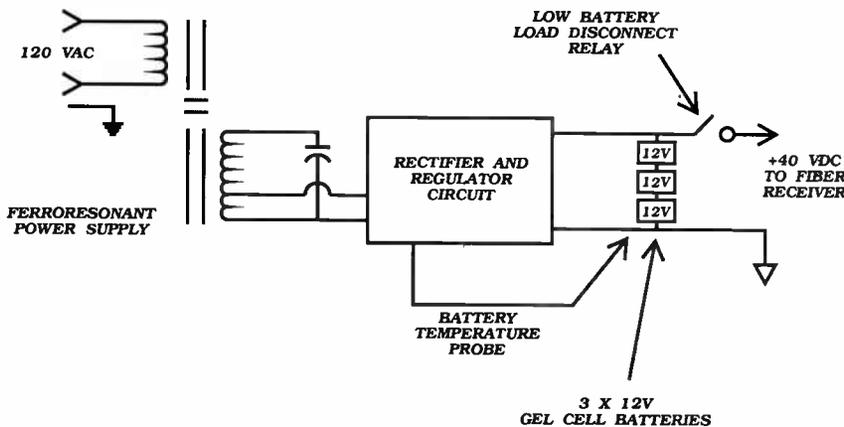


Figure 4

ingress.

Increase powering efficiency

If a system designer chose to standby-power the node only to ensure operation during power outages, especially to protect return data (status monitoring), PCN interconnection, PPV, and to provide signal to the service area, a dedicated AC output standby supply for each node only would be costly and inefficient.

In a typical AC standby supply, to provide AC voltage during a power outage, an inverter is needed to convert the battery DC voltage to 60 volts AC. Inside the fiber receiver power pack the 60 volts AC is rectified back to DC and then converted to regulated 24 VDC as well as other voltages required by the amplifier and receiver circuitry. All of these conversion steps are inefficient, and the extra components utilized contribute to lower reliability.

The DC standby supply provides a nominal 40 volts DC to the input of the fiber receiver power supply, where it is regulated down to the required internal voltages by an efficient DC to DC converter; no rectification, preregulation or other failure prone components are required.

The DC standby supply is essentially a battery charger that provides the correct float voltage to maintain charge of the internal batteries and to provide

ing restriction because the enclosure is as small as the existing non-standby power supplies.

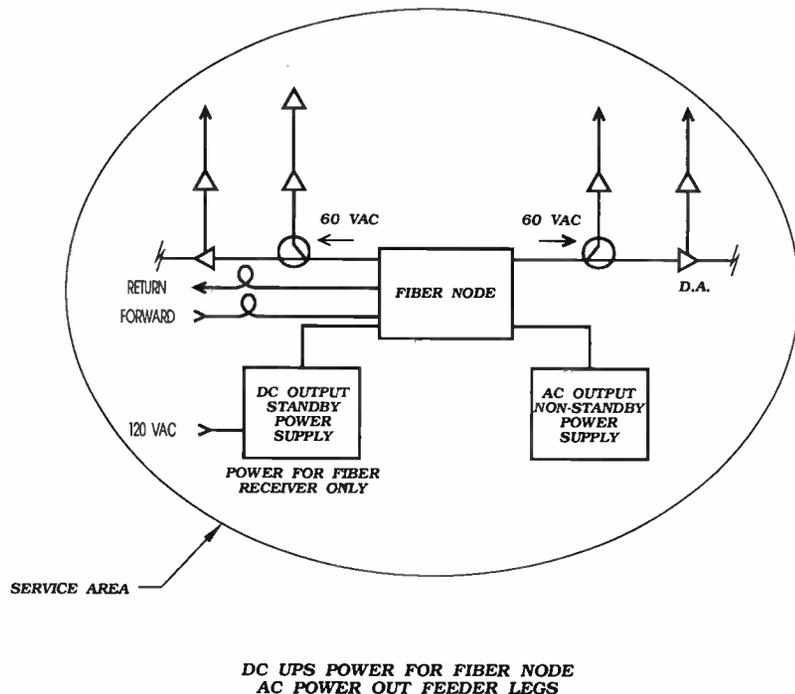
The smaller standby supply utilizes small, low capacity batteries, which can provide over an hour of standby time at 8 amps load. This is a reduction of standby capacity in comparison to the existing 15 amp units, which can operate over two hours at full load. It can be argued that standby capacity can be safely reduced if status monitoring is implemented via the return fiber. In long outage events, generator trucks can be dispatched to the appropriate nodes for extended operation prior to complete discharge of the standby power supply batteries.

Second is the safety issue; 20 higher voltage, direct current is more dangerous to personnel because contact with DC causes muscle contraction—there is no zero voltage point, as there is in the traditional 60 volts AC system, to allow the technician to let go when shocked. The application for DC powering that avoids these pitfalls is the dedicated powering of the fiber node only with a compact, low cost and efficient DC standby supply. The powering interconnection is separated from coax; all electrical connections are isolated and protected from moisture

DC powering

Another new option for fiber powering that has recently been introduced is direct DC powering of the fiber receiver by a DC output standby power supply. If, in the system design process, it has been decided to provide standby powering at all node locations only and to use non-standby supplies for everything else, the DC powering option offers several advantages. (See Figure 3.)

Use of DC powering of cable TV equipment has been investigated in years past, but has not been implemented because of several technical limitations. First is the electrolysis problem. With moisture present and direct current flow through dissimilar metals, such as coax connectors, passives, amp chassis, etc., galvanic corrosion will occur and will eventually deteriorate all of the electrical connection points.



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Figure 5

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up to 5 amps of output current to operate the fiber receiver. The DC voltage must be well regulated and adjusted for changes in battery temperature to always provide the correct charge voltage for maximum battery service life.

When a brownout or complete blackout of utility power occurs, there is immediate "transfer" to standby. Because the batteries are in parallel with the load, there is no transfer relay or inverter circuitry required and the output is truly uninterrupted. (See Figure 4.)

Because of the high efficiency and low output current draw (less than 5 amps) even with small 15 ampere hour batteries, the standby time can be as much as 12 hours! Depending on the current draw of the fiber receiver, with this amount of standby time possible at least 99 percent of all utility outages would not result in a service outage at the node.

Another advantage with this concept is that the batteries can be directly connected to the power supply output during maintenance or complete removal of the power module for service. This eliminates outages caused by maintenance or repair; no auxiliary

power supply or generator is necessary for service.

DC supply technical features

The output of the DC standby supply is two-conductor jacketed cable that is connected to a terminal block inside of the supply to eliminate the galvanic corrosion problem. The other end of the cable is fed through a water-tight strain relief fitting that installs in the standard 5/8-inch threaded entry in the receiver housing. No coax is used for

The objective of the DC powering concept is to provide a small, reliable and cost effective standby power to the critical fiber node.

the DC power and no external connectors that are exposed to moisture are used.

Inside of the fiber receiver is a quick connect terminal block for connection of the two conductors to the internal power pack. With DC powering, the efficiency of the receiver power supply is increased; it no longer has to regulate over a wide range of AC voltage and its losses are decreased, resulting in less heat dissipation inside the receiver chassis. This translates into longer life of the electronic equipment.

The amplifier manufacturers are considering the auxiliary DC input connection in addition to the existing coax 60 VAC input and output power distribution capability. The DC standby supply operates the fiber receiver and amplifier internal circuitry only; 60 volts AC from a local or remote non-standby supply can still be fed into the node and out through the feeder ports to power line extenders, etc. on the coax distribution side.

The objective of the DC powering concept is to provide a small, reliable and cost effective standby power to the critical fiber node as used in any fiber architecture. With the return fiber installed to most nodes, status monitor-

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ing of the power supply (and status of utility power at each node and service area) can be reliably provided back to the headend for real-time status of the entire system. If the return transmitter is installed for local origination, PPV, data networking, PCNs or any other revenue source, the power supply status monitoring is now essentially "free."

The problem with past status monitoring systems is that they were costly to maintain and were less reliable than the cable system they were designed to monitor. With the vast improvement in reliability offered by return fiber, status monitoring will be used much more often and more effectively in the future.

For full benefits, though, there must be standby power at each of the nodes to continue to operate the return data during utility power outages. (See Figure 5.)

The DC standby concept is currently being reviewed by the amplifier manufacturers and several MSOs for feasibility and system trials. As with all new technology, there will be issues to resolve in order to effectively implement the concept in future systems.

Conclusion

Power related outages can be significantly reduced by implementing standby power in critical locations. In fiber based cable systems, the fiber node is a critical point for signal delivery to the distribution service area as well as the critical point for return data, video, PCN interconnect and status monitoring data.

In fiber backbone, FTF and other architectures, the fiber optic receiver is the most important device to maintain during utility power outages. With these new system architectures it is important to provide standby power to the node whether it be existing technology 60 VAC or the direct DC powering concept. The distribution devices can be powered by small, low cost non-standby supplies if the utility grid contains both the distribution feeder and most or all of the subscribers fed by that feeder so that a localized power outage does not contribute to a signal outage to subscribers that continue to have utility power.

For longer runs that may cross several utility powering sectors, AC standby supplies should be considered for the amplifiers in cascade to the service area if it has enough subscribers to justify the added cost and long term maintenance liability, or if future

expansion of the subscriber area is anticipated.

Fiber provides a significant improvement in overall system reliability. However, utility power outages can negate the reliability gained by fiber implementation. By installing standby power at each node and at selected distribution areas, the overall system reliability can be maintained at a high level. Attention to this issue will go a long way toward increasing and main-

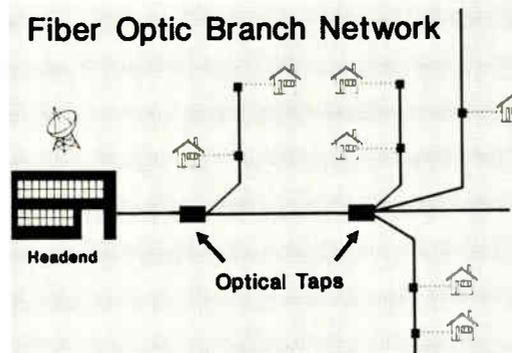
taining customer satisfaction and to provide a competitive advantage to the system operator. ■

The author wishes to acknowledge the following people for their contribution to this article: Del Heller, vice president of engineering, Viacom Cable; Mark Bowers, president, CableSoft Engineering Services; and Roy Ehman, director of engineering, Jones Inter-cable.

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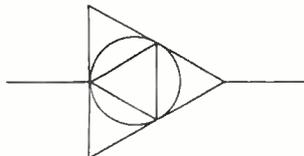
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DESCRIPTION: Midwest C.A.T.V. is a full line supplier of fiber optic equipment from several manufacturers. Products include head-end laser transmitters, fiber optic cable, receiver detectors, fusion splicing equipment, splice trays and organizing systems, fiber stripping and cleaving tools. A complete line of test equipment to include laser sources, power meters, and O.T.D.R.s are available for immediate delivery. In addition to being an equipment supplier, Midwest offers engineering resources to assist in loss budget calculations, system design, and performance verification.

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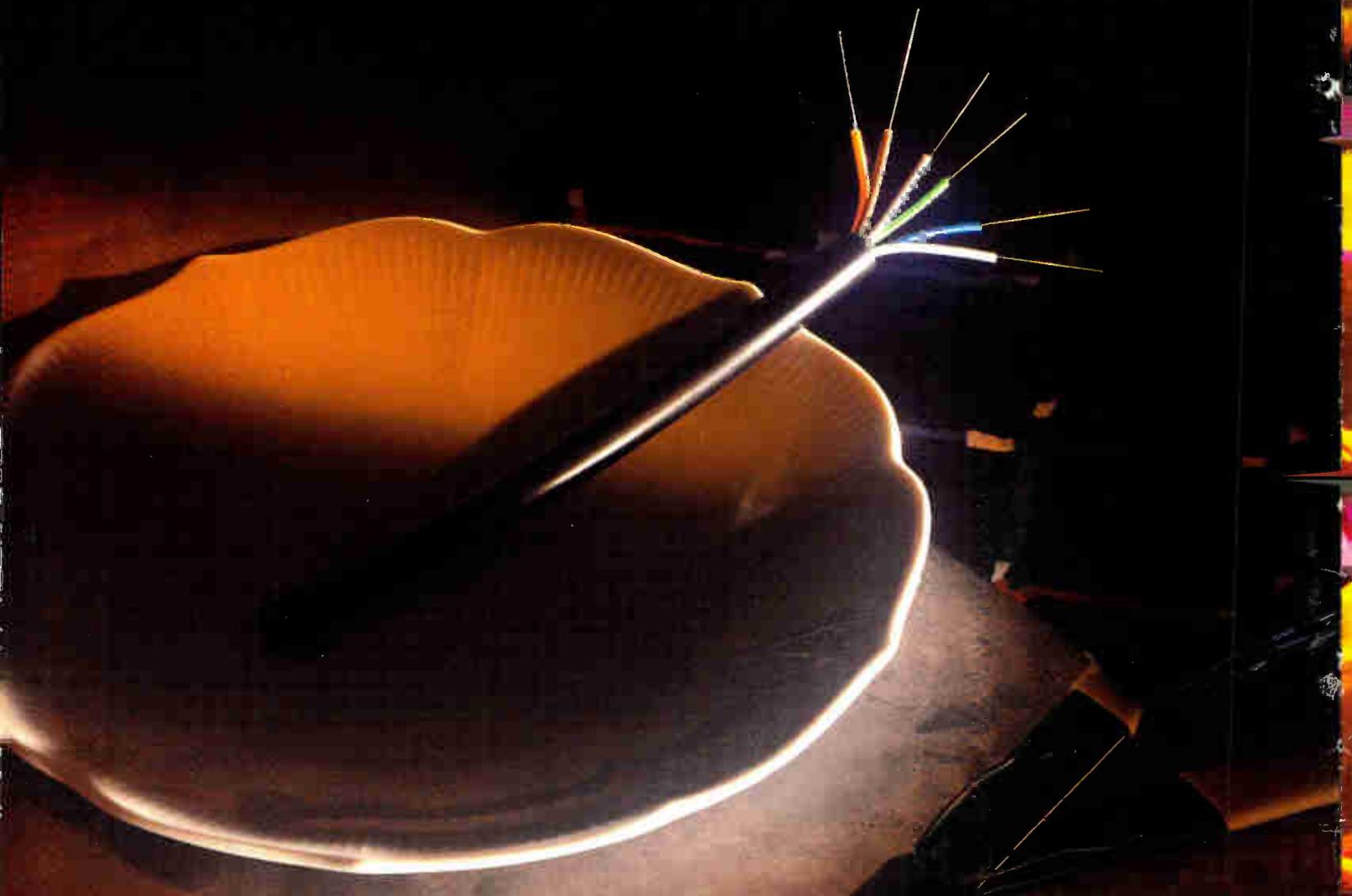


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