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# BOOK VIII A TELEVISION RECEIVER DESIGN

I. F. STAGES

# TELEVISION RECEIVER DESIGN

Monograph 1

# I. F. STAGES

U.D.C. 621.397.62

by A. G. W. UITJENS

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## FOREWORD

Parts IV, V and VI \*) of this series of books deal with all those problems which relate to the application of the electronic value in radio receivers and amplifiers. As explained in their prefaces, the material has been based mainly on Philips' publications, but the articles have been arranged in a logical sequence and, where necessary, revised and supplemented so as to bring the subject matter up to date.

In this manner a work has been compiled which, as a guide and source of information, is indispensable to set-makers and at the same time is greatly valued as material for practical study in secondary and higher technical training institutions.

It was, therefore, not surprising that suggestions have been received from various quarters that a similar work on the problems encountered in the design and construction of television receivers should be published. Although the technique of television reception is still in its infancy and has by no means reached a stabilized state, it has been decided to publish such a work under the title of Television Receiver Design, but in a slightly different format. In the work on radio receivers, chapters dealing with the many different aspects of the subject are printed in three bound volumes, but Television Receiver Design will comprise a series of 6 to 8 parts, each dealing with a specific aspect of television receiver design, and the whole forming a complete and comprehensive treatise.

The first part, entitled "I.F. Stages", deals with the application of the pentode in the intermediate frequency section of a superheterodyne receiver and the high frequency stages of a T.R.F. receiver. The second part, now at press, treats of flywheel circuits and synchronization. Other parts will cover such subjects as deflection circuits, problems related to the high-frequency stages, etc.

It is hoped that this work will prove to be just as valuable in its particular sphere as are the series of books on the construction of radio receivers.

J. HAANTJES PHILIPS ELECTRONIC TUBE DIVISION

\* Part VI is now in course of preparation.

# PREFACE

This monograph deals particularly with pentode amplifiers operating in a frequency range lying roughly between 10 Mc/s and over 100 Mc/s. The high-frequency amplifiers of T.R.F. receivers (40 Mc/s to 70 Mc/s) also come under this definition, and thus, while not strictly coming within the scope of this book, have had to be included for the sake of completeness. On the other hand, the choice of the intermediate frequency has not been touched upon because this is so closely related to the problem of high-frequency amplification and frequency changing that it is considered better to deal with this subject in a separate book.

In order that the exposition may be quite clear to the reader, it has been necessary to use in some parts of the text formulae which do not directly follow from the preceding comments. The derivations of these formulae are to be found in the appendices at the end of the book.

Finally, a word of recognition is to be added, not only for the use that has been made of the literature quoted, but also for the support given by many colleagues, among whom I am particularly indebted to Jhr. Ir. H. van Suchtelen for many discussions and valuable advice, to Mr. H. Kater for editing the manuscript and to Mr. Harley Carter A.M.I.E.E. of Mullard Ltd., London, who scrutinized the manuscript.

The Author

Eindhoven, October 1952.

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# 1 GAIN AND BANDWIDTH WITH TWO-TERMINAL COUPLING NETWORKS

# 1.1 THE (GB) PRODUCT

An essential difference between H.F. or I.F. amplifiers in sound receivers, whether for F.M. or A.M. signals, and the corresponding amplifiers in the video section of television receivers is that the latter have to pass a much wider frequency band. This means that amplifiers for the video section must include circuits in which the ratio of bandwidth to resonant frequency is comparatively high. Such circuits are said to have a low quality factor Q and, as is well known, they have a lower impedance than circuits with a high Q value.

Now the gain obtainable with a pentode is proportional to the anode impedance, so that the gain of an H.F. or I.F. stage in the video section may be expected to be lower than that of the corresponding stage in a sound receiver. It is therefore of prime importance so to design the circuits that the maximum gain is obtained for a given Q, and to ensure this the circuit capacitances must be reduced to the lowest practicable values.



Fig. 1. Block diagram of an amplifier each stage of which comprises a value with a mutual conductance S and an anode load Z.

This becomes clear when the gain and bandwidth of an amplifying stage forming part of the amplifier depicted in fig. 1 are calculated. The valve of this stage may be regarded as a current source  $V_i \cdot S_{\text{eff}}$  shunted by an impedance Z, i.e. the internal resistance of the valve and the anode load connected in parallel. Hence:

$$V_o = V_i S_{\text{eff}} Z_i$$

or, expressing Z in terms of admittance:

$$V_o = \frac{V_i S_{\text{eff}}}{Y},$$

giving for the gain of stage h:

$$G_{h} = \left(\frac{V_{o}}{V_{i}}\right)_{h} = \left(\frac{S_{\text{eff}}}{Y}\right)_{h}, \qquad (1)$$

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where  $S_{\text{eff}}$  is the dynamic mutual conductance of the valve, which may be taken to be equal to the static mutual conductance provided the cathode impedance is zero. At the frequencies considered, transit time effects do not influence the mutual conductance to any appreciable extent.

In investigating stage h more closely, the circuit of fig. 2, consisting of two amplifying values and an interstage coupling formed by a parallel tuned circuit, will be considered. Here:

- $g_a =$  anode conductance of value h,
- $r_a$  = internal resistance of value h,
- $C_o =$  output capacitance of value h,
- $R_a$  = anode load of value h,
- $L_c$  = self-inductance of the interstage circuit,
- $R_c$  = shunt resistance of the interstage circuit,
- $C_c$  = capacitance of the interstage circuit,
- $R_g =$ grid leak of value h + 1,
- $g_i$  = input conductance of value h + 1,
- $C_i$  = input capacitance of value h + I,

The anode-to-grid capacitances  $C_{ag}$  will be disregarded.



Fig. 2. Interstage coupling in which the various symbols are indicated.

If the two valves are coupled via an anode resistor and a tuned grid circuit,  $1/R_g = 0$ ; if, on the other hand, the anode circuit is tuned and the following valve is coupled via a grid capacitor and a grid leak,  $1/R_a$ may be set equal to zero (see fig. 2).

In what follows  $g_a + 1/r_a$ will be termed the output con-

ductance  $g_o$ , while  $1/R_a + 1/R_c + 1/R_g$  will be called the circuit conductance  $g_c^{1}$ . The admittance Y of eq. (1) can now be expressed by:

$$Y_h = g_i + g_o + g_c + j\omega C_c + j\omega C_o + j\omega C_i + \frac{1}{j\omega L_c}.$$
 (2)

At correct tuning eq. (2) becomes:

$$Y_h = g_i + g_o + g_c \,, \tag{3}$$

<sup>&</sup>lt;sup>1</sup>) The symbol  $g_c$  has been introduced here as a logical corollary to the use of  $L_c$ ,  $C_c$ , etc. in fig. 2, although it is recognized that this symbol is also used occasionally for the conversion conductance of frequency changers.

#### 1.2 The gain reference frequency

while the gain G per stage is:

$$G = \frac{S_{\text{eff}}}{g_i + g_o + g_o}.$$
 (4)

Considering an amplifier with n identical stages and disregarding differences caused by different input and output circuits of the amplifier, the total gain  $G_{tot}$  is:

$$G_{\text{tot}} = \left(\frac{S_{\text{eff}}}{g_i + g_o + g_c}\right)^n.$$
 (5)

Denoting by B the bandwidth within which the circuit impedance varies 3 db, the conductance of the intervalve circuit of valves h and h + 1 may be expressed by:

$$g = g_i + g_o + g_e = 2\pi BC = 2\pi B (C_i + C_o + C_c).$$
(6)  
(see Appendix Ia)

Substitution of this expression in eq. (4) gives:

$$G \cdot B = \frac{S_{\text{eff}}}{2\pi \left(C_i + C_o + C_c\right)}.$$
(7)

This equation shows that the quantities which determine the gain and bandwidth are so related that a high gain can be obtained only at the cost of the bandwidth. The product  $G \cdot B$  reaches the maximum when the circuit capacitance  $C_c$  (including the stray capacitances) is zero and when the dynamic mutual conductance  $S_{\text{eff}}$  is equal to the static mutual conductance S. In that case this product is entirely determined by the valve characteristics and will be denoted as:

$$(GB) = \frac{S}{2\pi (C_i + C_o)}.$$
 (8)

Eq. (8) shows that this (GB) product merely depends on the ratio of valve characteristics. If, for a given (GB) product, one of the quantities G or B is given, the maximum value of the other is fixed. It is, however, impossible to increase the gain G beyond a certain value by decreasing the bandwidth B. This is explained by eq. (3) in which the value of  $g_i + g_o + g_c$  cannot be decreased indefinitely.

So long as  $g_i + g_o$  is smaller than  $2\pi B(C_i + C_o + C_c)$  (cf. eq. (6)) the bandwidth can be adjusted to the desired value by suitable choice of  $g_c$ until  $g_c$  has reached the minimum value obtainable. Beyond this limit it is impossible to decrease B by decreasing  $g_c$ . (For the present it will be assumed that it is possible to reduce  $g_c$  to zero.)

# **1.2 THE GAIN REFERENCE FREQUENCY**

Another important difference between amplifiers for television and for broadcast receivers is that the television signal frequencies (for both picture and sound) are very much higher than for normal broadcasting, being about 40 Mc/s to 200 Mc/s, and that intermediate frequencies in the order of 20 Mc/s to 50 Mc/s are employed.

At these high frequencies damping due to the valve conductances contributes largely to the total circuit damping. Now the input and output conductances of a valve,  $g_i$  and  $g_o$ , depend on the frequency which is to be amplified. The higher the frequency, the greater will be the damping, and the lower will be the maximum gain, until, at a certain frequency, the gain becomes unity, in other words the valve no longer amplifies. At still higher frequencies, the signal is even attenuated <sup>1</sup>). The frequency at which the maximum gain is unity is called the **gain reference frequency** (symbol  $f_1$ ).

It will be shown in Section 6 that the relationship between the conductances and frequency in the very-high frequency range (metric waves) is practically the same for all valves, and follows a square law, viz.:

$$g_i + g_o = \text{const.} \times f^2. \tag{9}$$

The magnitude of the constant in this expression depends on the valve characteristics and differs for each type of valve.

This implies that the maximum gain which can be obtained with a given value is determined by the frequency and by a value constant, for if  $g_c$  is put equal to zero to obtain the maximum gain, then, according to eqs (1) and (3), the gain is determined by the dynamic mutual conductance  $S_{\text{eff}}$  and  $g_i + g_o$ . The maximum value of  $S_{\text{eff}}$  is equal to the static mutual conductance S. Since at the frequencies under consideration there is little difference between the dynamic and static mutual conductances provided no additional impedances are included in the cathode lead, the maximum gain may be expressed by:

$$G_{\max} = \text{const.} \times f^{-2}.$$

At the gain reference frequency  $f_1$  the maximum gain  $G_{max} = 1$ , so that this expression may also be written as:

$$G_{\rm max} = \left(\frac{f_1}{f}\right)^2. \tag{10}$$

The possibilities of an amplifier can now be investigated more closely. In practice the design of the amplifier is always based on the required bandwidth  $B_0$ . It is obvious that this governs the gain obtainable,  $G_0$ , and the required value of  $g_i + g_o + g_c$ . Considering the possibilities at in-

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<sup>&</sup>lt;sup>1</sup>) M. J. O. Strutt, Gain and Noise Figures at V.H.F. and U.H.F., Wireless Eng. XXV, p. 21, 1948 (No. 292).

creasing frequency,  $g_i + g_o$  will obviously increase, and this could be compensated up to a certain limit by decreasing  $g_c$ . At a particular frequency the circuit conductance  $g_c$  would have to be reduced to zero, and this frequency is denoted by  $f_0$ . Beyond  $f_0$  the gain must necessarily



Fig. 3. Diagram representing log G as a function of log f in the case of  $R_c$  being so adjusted that the minimum bandwith is  $B_0$ . Three different values  $B_0$  are shown.

decrease and the bandwidth will then increase, provided the circuit capacitance remains unchanged. The conductance of the circuit will be equal to  $g_i + g_o$ , both of which vary according to eq. (9).

The variation of B and G has been plotted in figs 3 and 4, in which



Fig. 4. Diagram representing  $\log B$  as a function of  $\log /$ .

 $S_{\text{eff}}$  is considered constant and equal to S over the frequency range considered.

The graph in fig. 3 shows log G as a function of log f and consists of two linear parts — the horizontal part AE, the position of which depends on the chosen value of B, and the descending part ED, the position of

which is determined by the characteristic quantity  $f_1$ . The slope of the latter part is determined by the square-law relation (9) existing between f and G.

A similar graph showing log B as a function of log f has been plotted in fig. 4. The slope of the ascending part is also determined by a squarelaw relation and the characteristic goes through the point  $f = f_1$ , B =(GB).

It is obvious that the graph shown in fig. 4 can be made to coincide with that in fig. 3 by using the same unit for plotting  $-\log B$  as that for plotting  $\log G$  and making the point B = (GB) coincide with G = 1.

The log B/log f diagram can be made to be horizontal beyond  $f_0$  by reducing the product  $G \cdot B$  at frequencies exceeding  $f_0$ . As shown by eq. (7), this may be achieved by increasing the circuit capacitance  $C_c$ .

For this reason the design of amplifying stages for frequencies exceeding fo must be based upon considerations differing from those governing the design of amplifying stages for lower frequencies. It is, therefore, of interest to know the position of point E in figs 3 and 4.

The position of point E depends on the choice of  $B_0$  (and therefore of  $G_0$  and on the value of  $f_1$ . Since the point E must be situated both on the line AE and on the line ED, it is possible to calculate  $f_0$  from eq. (10) by setting  $f = f_0$  and  $G_{max} = G_0$ . This gives:

$$f_0 = \frac{f_1}{\sqrt{G_0}},$$
 (11)

OL

$$f_0 = f_1 \sqrt{\frac{B_0}{(GB)}}.$$
 (12)

#### Example

As indicated in Table I (see p. 168) the (GB) product of the EF 42 value is 100 Mc/s and its gain reference frequency  $f_1$  is 325 Mc/s. According to eq. (12), for a bandwidth of 5 Mc/s:

$$f_0 = f_1 \sqrt{\frac{B_0}{(GB)}} = 325 \sqrt{\frac{5}{100}} = 73 \text{ Mc/s}.$$

At 50 Mc/s, i.e. at a frequency below for eqs (7) and (8) apply, giving:

$$G = G_0 = \frac{(GB)}{B_0} = \frac{100}{5} = 20.$$

At 100 Mc/s, i.e. at a frequency above 
$$f_0$$
, eq. (10) applies, giving:

$$G = \left(\frac{f_1}{f}\right)^{2} = \left(\frac{320}{100}\right)^{2} = 10;$$
$$B = \frac{(GB)}{G} = \frac{100}{10} = 10 \text{ Mc/s}$$

hence

$$B = \frac{(GB)}{G} = \frac{100}{10} = 10 \text{ Mc/s}$$

#### 1.3 Corrected equations

# **1.3 CORRECTED EQUATIONS**

This simple method of calculation has been made possible only by a very idealized representation of the amplifier and it is now necessary to investigate what corrections are required to render the results more accurate and to extend their validity.

The two simplifications made in the preceding calculations were:

- (1) It was assumed that the total circuit capacitance is equal to  $C_i + C_o$ and that the dynamic mutual conductance  $S_{\text{eff}}$  is equal to the static mutual conductance S.
- (2) The calculations were based on the assumption that the amplifying stage is preceded and followed by an identical stage.

## 1.3.1 Consequences of stray capacitances, etc.

The extent to which it is permissible to ignore the circuit capacitances  $C_c$  will first be investigated.

In broadcast receivers the choice of the circuit capacitance  $C_c$  is often determined by the desire to obtain a high value of Q; but, as explained above, a high Q is not possible in T.V. receivers. In a receiver containing circuits with a high Q value tuning must be very accurate because a small relative detuning corresponds to a large proportion of the bandwidth. A small fractional shift of the resonant frequency of one of the circuits therefore results in quite a considerable modification of the response curve.

Now the value capacitances are not entirely constant (for example the variation  $\Delta C_i$  in the case of gain control being applied, see also Section 6), and it is therefore desirable to minimize the effect of these variations by ensuring that the value capacitances are small compared with the total circuit capacitance.

This argument does no apply in T.V. receivers in view of the very low quality factors of the circuits. It is nevertheless desirable to keep these variations within reasonable limits; the circuit capacitance can then be reduced to the minimum. This is advantageous because the gain at a given bandwidth can then be increased to its maximum value.

It is of course not practicable to reduce the total circuit capacitance to  $C_i + C_o$ , owing to the existence of unavoidable stray capacitances of the wiring, the coils, etc. and "electronic" capacitance of the valve. These stray capacitances are represented by an additional term  $C_x$ , which is added to the circuit capacitance.

It is, therefore, no longer permissible to replace  $G \cdot B$  simply by the

Gain and bandwidth with two-terminal coupling networks

(GB) product, but it should be written:

$$G \cdot B = F_x (GB), \tag{13}$$

in which

$$F_x = \frac{C_i + C_o}{C_i + C_o + C_x}.$$
 (14)

This becomes obvious when eq. (7), in which  $C_c$  is put equal to  $C_r$ , is compared with eq. (8). It is moreover seen that in eq. (8) the term S occurs instead of the term  $S_{\text{eff}}$  in eq. (7). To remove this discrepancy, eq. (13) will be rewritten as:

$$G \cdot B = F_s F_x (GB), \tag{13a}$$

in which

$$F_s = \frac{S_{\text{eff}}}{S}.$$
 (15)

If, for example, a non-bypassed cathode resistor  $R_k$  is used, then:

$$F_s = \frac{1}{1 + S_k R_k},$$

where  $S_k$  denotes the cathode transconductance  $\partial I_k / \partial V_g$ .

Not only is it necessary to revise the calculation of  $G \cdot B$  as shown above, but that of  $G_{\max}$  must also be corrected. Eq. (10) for this quantity includes only terms depending on the mutual conductance and no term related to the circuit capacitances. The corrected equation, therefore, contains no term  $F_{\tau}$  and becomes:

$$G_{\max} = F_{\delta} \left(\frac{f_1}{f}\right)^2.$$
(10a)

The calculation of  $f_0$  can now easily be corrected, eqs (11) and (12) becoming:

$$f_0 = f_1 \sqrt{\frac{F_s}{G_0}},\tag{11a}$$

and

$$f_0 = f_1 \sqrt{\frac{B_0}{F_x \cdot (GB)}}.$$
 (12a)

### 1.3.2 Input stage of the amplifier

The assumption that an identical stage precedes the stage of which the gain is to be calculated does not hold for the first stage of the amplifier, and the necessary correction for this stage will now be investigated for the case of a "straight" (T.R.F.) receiver.

The gain of the first valve, between control grid and anode, can be calculated as before. The valve is, however, preceded by a transformer for matching the input impedance of the amplifier to the aerial. Correct matching is particularly important in T.V. receivers in order to avoid

#### 1.3 Corrected equations

reflections in the aerial cable, the effects of which are commonly termed "ghosts".

The matching transformer contributes to the voltage gain of the receiver. This additional gain is partly determined by the characteristics of the first valve in very much the same way as are the stage gain and bandwidth. In this case, too, there is a frequency range over which the gain is determined only by the bandwidth and a range over which the bandwidth and gain are determined by the frequency (see fig. 5).



Fig. 5. The logarithm of the aerial gain G' as a function of log f,  $R_c$  being so adjusted that the minimum bandwidth is  $B_0$  and the value of w being such as to give correct matching.

This will now be investigated quantitatively. It is obvious that the largest possible proportion of the available power in the aerial will be transferred to the grid circuit if the load resistance is equal to the internal resistance of the source, i.e. when:

$$w^2 R_{\rm ant} = \frac{1}{g_i + g_c},\tag{16}$$

in which w is the transformer ratio and  $R_{ant}$  is the aerial resistance.

Under these conditions the output voltage  $V_{ant}$  of the source is equal to half the no-load voltage  $V_{rad}$ . For a normal dipole aerial and a given peak value of the field strength H:

$$V_{\rm rad}=\frac{H\lambda}{\pi},$$

from which it can easily be calculated that:

$$V_i = \frac{V_{\rm rad}}{2} w = \frac{H\lambda}{2\pi} w. \tag{17}$$

For a normal dipole aerial the value of  $R_{ant}$  depends not only on the characteristic aerial impedance

$$Z_{\rm ant} = K' \log_{\rm e} l/d - K'',$$

but also on the ratio  $l/\lambda$  (see fig. 6).

Since the reactive component  $X_{ant}$  of the aerial impedance also depends on  $l/\lambda$ , in practice this ratio must necessarily be made 0.5 or 1.0. The disadvantage of choosing  $l/\lambda = 1.0$  is that  $R_{ant}$  then largely depends on  $Z_{ant}$ . It is true that the dependence of  $R_{ant}$  on  $l/\lambda$  is somewhat greater when the ratio  $l/\lambda$  is made 0.5 instead of 1.0, but the dependence on l/d is greatly diminished.

The aerial resistance  $R_{nut}$  is about 75  $\Omega$  for a normal dipole aerial and about 300  $\Omega$  for a folded dipole aerial. For correct matching of a normal dipole to an amplifier the condition:

$$w=\frac{1}{\sqrt{75}\left(g_i+g_c\right)}$$

must therefore be satisfied.

The total voltage gain will now be taken as the ratio of the output



Fig. 6. *a* Dipole aerial having a length l and a diameter d. *b* The aerial reactance  $X_{ant}$  (broken line), which may be either capacitive or inductive, and the aerial resistance  $R_{ant}$  (full lines) as functions of the ratio  $l/\lambda$  for two different values of  $Z_{ant}$ .

voltage  $V_o$  to the no-load voltage  $V_{rad}$  of the aerial. This gain is equal to the product of the gain of all stages multiplied by the gain of the aerial circuit. At correct matching the gain G' of the aerial circuit is:

$$G' = \frac{V_i}{V_{\rm rad}} = \frac{w}{2}.$$

It should be realized that in this equation w must not be considered as a constant quantity. If  $g_i$  is changed for choice of the bandwidth or frequency dependence, it is also necessary to change w to satisfy the condition given by eq. (13). Hence:

$$G' = \frac{w}{2} = \frac{1}{\sqrt{4R_{\text{ant}}(g_i + g_c)}}.$$
 (18)

At correct matching the transformed aerial resistance  $R_{ant}$  is just equal to  $1/(g_i + g_c)$ , so that the bandwidth of the aerial circuit is doubled when the aerial is connected. The bandwidth is therefore given by:

$$2(g_i + g_c) = 2\pi B' (C_i + C_z).$$
(19)

#### 1.3 Corrected equations

It is now clear, for the input stage, that it is not the product  $G' \cdot B$  but the product  $G' \cdot \sqrt{B'}$  which is constant. Eq. (13) of Section 1.3.1 now becomes:

$$G'\sqrt{B'} = F_{x'}(GB)'^{-1}$$
, (13b)

in which

$$(GB)' = \frac{1}{\sqrt{4\pi R_{\text{ant}} \overline{C_i}}} = \frac{32.5}{\sqrt{\overline{C_i}}},$$
(7a)

and

$$F_{\mathbf{z}'} = \sqrt[]{\frac{C_i}{C_i + C_{\mathbf{z}'}}}.$$
 (14a)

The maximum gain of the aerial circuit can be calculated by putting  $g_c$  in eq. (18) equal to zero, which gives:

$$G_0' = \frac{1}{\sqrt{4 R_{\rm ant} g_i}},$$

where 
$$g_i$$
 is again const.  $\times f^2$ , so that:

$$G_0' = \frac{f_1'}{f}.$$
 (10b)

The position of the transitional point at  $f_0$  is now given by:

$$f_0' = \frac{f_1'}{G_0''}$$
(11b)

or

$$f_0' = f_1' \frac{\sqrt{B_0'}}{F_{x'}(GB)'}.$$
 (12b)

#### Example

A normal dipole aerial is tuned to exactly 60 Mc/s and correctly matched to the input circuit preceding an EF 42 value. The wiring capacitances are 3 pF. What is G' if  $B_0' = 5$  Mc/s?

According to Table 1 (p. 168), the gain reference frequency  $f_1' = 190$  Mc/s and the figure of merit (GB)' = 10 (B in Mc/s). Since  $C_i = 9.5$  pF:

$$F_{x'} = \sqrt{\frac{C_i}{C_i + C_x}} = \sqrt{\frac{9.5}{9.5 + 3}} = 0.87$$

From eq. (12b):

$$f_0' = \frac{f_1' \sqrt{B_0'}}{F_{x'}(GB)'} = \frac{190 \sqrt{5}}{0.87 \times 10} \approx 50 \text{ Mc/s.}$$

Since f is higher than  $f_0'$ , eq. (10b) applies:

$$G' = \frac{f_1'}{f} = \frac{190}{60} = 3.2,$$

1) Here it would in fact be more correct to write  $(G \sqrt{B})'$  instead of (GB)'.

Gain and bandwidth with two-terminal coupling networks

giving, according to eq. (13b):

$$B' = \left\{ \frac{F_{x'} (GB)'}{G'} \right\}^2 = \left( \frac{0.87 \times 10.5}{3.8} \right)^2 = 5.8 \text{ Mc/s.}$$

1

According to whether the receiver operates at a frequency above or below  $f_0$  and  $f_0'$ , four cases arise:

- (1) the frequency lies below both  $f_0$  and  $f_0'$ ;
- (2) the frequency lies below  $f_0$  but above  $f_0'$ ;
- (3) the frequency lies above both  $f_0$  and  $f_0'$ ;
- (4) the frequency lies above  $f_0$  but below  $f_0'$ .

It will be seen later (Section 7) that in order to decide upon the most favourable design of the amplifier and input transformer it is of interest to know which of these cases applies.

T.R.F. receivers will usually be so designed that case (1) applies, but at higher frequencies (about 70 Mc/s) case (2) may occur. In superheterodynes, at the high T.V. band (about 200 Mc/s) case (2) always applies.

In view of the low gain per stage obtainable in case (3) this case will be avoided wherever possible. Case (4) does not occur in practice,  $f_0$  hardly ever being lower than  $f_0'$ . This becomes obvious when  $f_0$  and  $f_0'$  are calculated at  $B_0 = B_0'$ . From eqs (12*a*) and (12*b*):

$$\frac{f_0'}{f_0} = \frac{f_1'}{f_1} \cdot \frac{\sqrt{F_x(GB)}}{F_{x'}(GB)'} = \frac{\sqrt{F_x}}{F_{x'}\sqrt{2}} \cdot \sqrt{\frac{g_i + g_o}{g_i}} \cdot \sqrt{\frac{C_i}{C_i + C_o}} \approx \frac{1}{\sqrt{2}}.$$

It should be understood that the above considerations are based on the assumption that  $g_c$  can always be made negligible with respect to  $g_i + g_o$ . In practice, however, the minimum value of  $g_c$  may be quite considerable, especially in the aerial circuit. The implications of this in the above theory are dealt with in Section 7.

### 1.3.3 Output stage of the amplifier

In calculating the gain of the last valve of the amplifier it should be borne in mind that this stage is not followed by an identical amplifying stage but by a detector, so that a correction must be made also for this stage.

The two principal types of detector used in T.V. receivers are the anodebend detector (pentode) and the diode detector.

For the anode-bend detector, which is not often employed, the valve is usually of the same type as that in the preceding amplifier. In this case the last I.F. stage can be considered as being followed by an "identical

#### 1.3 Corrected equations

stage", at any rate from the point of view of I.F. gain, so that the gain of the last stage is then equal to that of the preceding stages.

If, however, the valve used as an anode-bend detector is of a type suitable for use also as a video output valve, its input capacitance will usually be somewhat greater than that of a normal H.F. or I.F. pentode. As a result  $F_x$  will have a slightly lower value for the last stage, viz.:

$$F_x = \frac{C_i + C_o}{C_{id} + C_o + C_x},\tag{14b}$$

in which  $C_{id}$  is the input capacitance of the detector value.

In principle, the same argument applies to the use of a diode detector. In that case, however, it is not permissible to put  $C_{id}$  equal to the diode capacitance, since the diode forms an additional load owing to the current flowing at the peaks of the signal voltage. This is equivalent to a resistance of approximately

$$R_d = \frac{R_1}{2\eta_D},$$

in which  $R_1$  is the load resistance of the detector value and  $\eta_D$  is the efficiency of the detector. Moreover, when the smoothing capacitor has a fairly low value, as is usually the case with video detectors,  $R_d$  is shunted by a capacitance  $C_d$ , which also depends on  $\eta_D$ .

At first sight it might be supposed that the original circuit impedance could be restored by increasing the damping resistor across the last I.F. stage. This, however, is undesirable since, in a diode detector,  $\eta_D$  and therefore  $R_d$  depends on the signal amplitude. With very small signals (for example 0.1 V)  $\eta_D$  is very low, so that  $R_d$  may be high, but with large signals (for example > 5 V)  $\eta_D$  approaches its maximum limit, which is determined by the magnitude of  $R_1$ , the smoothing capacitor and the internal resistance  $R_i$ . Since the value of  $R_d$  depends on the signal strength, a response curve would then be obtained which varies with the signal amplitude <sup>1</sup>).

These effects can be minimized by ensuring that  $R_p$  represents only a small proportion of the total circuit damping. Additional damping of the circuit by means of a fixed resistor may therefore be necessary, whilst — to ensure that the band width has the required value — some extra capacitance may have to be added. This obviously results in a lower value of  $F_x$ .

With a carefully designed detector and I.F. amplifier, however, the value of  $F_x$  for the last stage need not differ appreciably from that of the other stages.

<sup>1)</sup> See also p. 129.

#### Example

Assume the maximum efficiency of a crystal diode detector, of which  $R_1 = 4 k\Omega$ , to be  $\eta_D = 0.65$ . This gives:

$$R_d = \frac{R_1}{2\eta_D} = 3 \text{ k}\Omega.$$

When the last I.F. circuit is damped by a resistance of  $2 k\Omega$  the theoretical variation of the circuit impedance with signal amplitude will be between the limits of  $2 k\Omega$  and  $1.2 k\Omega$ . In practice, however, these limits will not exceed  $1.5 k\Omega$  and  $1.2 k\Omega$ , which may be considered acceptable.

It will further be assumed that  $C_{id} + C_o + C_x$  has a normal value of 20 pF, which gives for the bandwidth:

$$B = \frac{g}{2\pi (C_{id} + C_o + C_x)} = \frac{10^6}{6.3 \times 20 \times 1.2} \approx 6.5 \text{ Mc/s}.$$

For television receivers this is quite a reasonable value, so that it is unlikely that much additional capacitance will have to be added.

# 2 RESPONSE CURVE OF THE COMPLETE AMPLIFIER

For calculating the gain it was assumed in Section 1 that the circuits were identical and that their bandwidths were known. It is true that the bandwidth of an amplifier is determined by the bandwidths of the circuits of which it is composed, but it is by no means identical to the circuit bandwidth. It will therefore be necessary to investigate how the total gain  $G_{tot}$  and the total bandwidth  $B_{tot}$  of the complete amplifier can be derived from the results calculated for one stage (G and B).

The total gain is obviously equal to the product of the gain of the separate stages, and the bandwidth of the complete amplifier will correspond approximately to the bandwidth of each of the tuned circuits.

The top of the response curve of single tuned circuits is, however, by no means flat, so that the attenuation of frequencies adjacent to the resonance peak with respect to the resonant frequency increases with the number of synchronous circuits connected in cascade. In other words, the flanks of the overall response curve become steeper and steeper and the 3 db points become closer to each other as the number of circuits is increased.

When a large number of amplifying stages is used, such as is required in T.V. receivers owing to the limited gain per stage, the total bandwidth may therefore become considerably smaller than that of the individual circuits.

Means of avoiding this without decreasing the gain are now discussed.

# 2.1 TOTAL GAIN AND TOTAL BANDWIDTH WITH SYNCHRONOUS CIRCUITS

The total bandwidth, Btot, may be expressed as:

$$B_{\rm tot} = F_B \cdot B, \qquad (20)$$

where  $F_B$  is called the **bandwidth factor**. The value of  $F_B$  for various kinds of amplifier circuits will be briefly investigated.

The additional stray capacitance  $C_x$  will again be assumed to be zero. If it is desired to take the stray capacitances, etc., into account it suffices to introduce a factor:

$$F_{x} = \frac{C_{i} + C_{o}}{C_{i} + C_{o} + C_{x}}.$$
 (14c)

Using the following symbols:

$$\frac{2\Delta\omega}{\omega_0} = \beta,$$
$$\frac{R}{\omega_0 L} = \omega_0 CR = Q,$$

and

$$Q\beta = x$$
 (see Appendix Ia),

the function:

$$\left| \frac{Z}{Z_o} \right| = \left| \frac{1}{1+jx} \right| = \frac{1}{\sqrt{1+x^2}}$$
(21)

may be considered as the response curve of one circuit.

The response curve of an amplifier with t identical stages tuned to the same frequency may then be represented by:

$$\frac{h=t}{\prod_{h=1}^{h}} \left| \frac{Z}{Z_o} \right|_h = \left( \frac{1}{\sqrt{1+x^2}} \right)^t.$$
(22)

 $B_{\text{tot}}$  can now be calculated from the value of x corresponding to the 3 db points of this response curve. Denoting this value of x for an amplifier with t synchronous circuits by  $x_t$ , and taking into consideration that for  $x = x_t$  the left-hand side of eq. (22) is  $1/\sqrt{2}$ , it follows that:

$$1 + x_{\ell}^2 = 2^{1/\ell}$$
.

The total bandwidth is now derived from  $x_t$  on the basis that, for  $x = x_t$ ,  $2\Delta \omega = 2\pi B_{tot}$ , so that:

$$2 \pi B_{\text{tot}} = \beta \omega_0 = \frac{x \omega_0}{Q},$$
$$B_{\text{tot}} = \frac{x \iota \cdot f}{Q}.$$
(23)

which gives:

When the above equations are applied to an amplifier which contains only one tuned circuit, so that t = 1 and  $x_t = x_1$ , it is obvious that  $x_1 = 1$  and B = f/Q. The formula for the bandwidth factor  $F_B$  of a receiver with t synchronous circuits is therefore:

$$F_B = \frac{B_{\text{tot}}}{B} = x_t = \sqrt{2^{1/t} - 1}.$$
 (24)

### 2.2 STAGGERED TUNING

At high values of t the bandwidth factor  $F_B$  becomes inconveniently small, but it can be increased by applying staggered tuning, i.e. by tuning the circuits to slightly different frequencies.

For this purpose certain rules must be adhered to in order to obtain a

favourable response curve and a high gain. If the circuits were arbitrarily detuned a peculiarly shaped response curve would be obtained and the gain would not be optimum.

A simple and logical method of obtaining staggered tuning consists in dividing the amplifier into identical groups, each group consisting of a number of mutually detuned circuits. A five-stage amplifier (containing 6 circuits) may, for example, be composed of three pairs  $(t_1, t_2, t_3)$  in fig. 7*a*), of two triplets  $(t_1, t_2)$  in fig. 7*b*) or of one sextuple.





Fig. 7. Five-stage amplifier (containing 6 circuits) consisting (a) of 3 mutually detuned pairs and (b) of 2 mutually detuned triplets.  $f_c$  denotes the central frequency and  $B_{tot}$  the total bandwidth.

In order to obtain for each group an optimum (GB) product and a response curve which is as flat-topped as possible, a special method of detuning and damping is applied, termed "flat staggering". (The damping of the individual circuits also differs in this scheme.)

Assume the amplifier to be subdivided into t/s groups, each having s mutually detuned circuits. In the case of flat staggering it can be shown that the response curve of one group may be expressed by:

$$\frac{h=s}{\prod_{h=l}^{n}} \left| \frac{Z}{Z_{o}} \right|_{h} = \frac{1}{\sqrt{1+x^{2s}}}.$$
(25)

Response curve of the complete amplifier

in which x is the  $\beta Q$  of the "reference circuit" to be defined later.

Such a "flat staggered s-tuple" can be realized as follows. From a semicircle, subdivided into s equal parts, a perpendicular is dropped to the diameter from the centre of each part (see fig. 8). The length of the  $h^{th}$ perpendicular then represents half the required bandwidth:

$$\frac{1}{2} \cdot B_h = \frac{1}{2} \cdot \frac{g_h}{2\pi C_h}$$

of circuit number h, and the distance of its foot to the centre C represents the detuning  $\Delta f_h$  of this circuit with respect to the central frequency of the system <sup>1</sup>).

The scale of fig. 8 should be so chosen that the diameter represents the



Fig. 8. Diagram for designing a flat staggered s-tuple, in which  $B_r$  denotes the bandwidth of the reference circuit and  $\Delta / h$ represents the detuning of the circuit concerned with respect to the central frequency / e of the system. required bandwidth of the group. The dash-dot line represents the so-called central or reference circuit. Such a circuit need not actually be present in the amplifier; neither does it occur in the diagram of fig. 7a.

The gain of, for example, value h-1, i.e. the value preceding the tuned circuit h of the amplifier, can now be calculated as follows:

$$G_{h-1} = \frac{S}{g_h \sqrt{1+x_h^2}},$$

in which for the central frequency  $f_c$ :

$$x_h = Q_h \beta_h = \frac{\omega_0 C}{g_h} \cdot \frac{2\pi \ 2\Delta \ f_h}{\omega_0} = \frac{2\Delta \ f_h}{B_h} = \frac{B_r \cos \alpha}{B_h},$$

where  $B_r$  is the bandwidth of the reference circuit and  $\alpha$  is the angle formed by the diameter and the line interconnecting C and point h of fig. 8. According to this figure:

$$g_h = g_r \sin \alpha$$

Since

$$g_h = 2\pi C_h B_h$$

and the damping of the reference circuit is:

 $g_r = 2\pi C_r B_r$ 

<sup>&</sup>lt;sup>1</sup>) See i.a. George E. Valley Jr. and Henry Wallman, Vacuum Tube Amplifiers, MacGraw Hill (1948).

#### 2.2 Staggered tuning

the value of  $x_h$  may be written:

$$x_h = \frac{g_r \cos \alpha}{g_h} = \frac{\cos \alpha}{\sin \alpha} = \cot \alpha,$$

assuming  $C_h$  to be equal to  $C_r$ . Hence

$$G_{h-1} = \frac{G_r}{\sin \alpha \sqrt{1 + \cot^2 \alpha}} = G_r.$$
 (26)

The gain of each stage is therefore equal to that of a stage in which the reference circuit is connected to the anode of the valve (at least as far as the central frequency is concerned). The total gain can thus again be calculated from the expression:

$$G_{tot} = G_r^n$$
.

At frequencies differing by  $\Delta' f$  from the central frequency:

$$x_h = \frac{2(\Delta f + \Delta' f)}{B_h} = \frac{\cos \alpha + x}{\sin \alpha},$$
 (27)

where  $x = Q\beta$  of the reference circuit. The response curve of circuit *h* is thus given by:

$$\left| \frac{Z}{Z_e} \right|_{h} = \left| \frac{\frac{1}{\sin \alpha}}{1 + j \frac{\cos \alpha + x}{\sin \alpha}} \right| = \frac{1}{\sqrt{\sin^2 \alpha + \cos^2 \alpha + x^2 + 2x \cos \alpha}} = \frac{1}{\sqrt{1 + x^2 + 2x \cos \alpha}}.$$
(28)

where  $Z_o = 1/g$ , is the impedance of the reference circuit at zero detuning.

If, for each of the circuits, the values of  $\cos \alpha$  are chosen according to the construction in fig. 8, then <sup>1</sup>):

$$\prod_{h=1}^{h=s} (1 + x^2 + 2x \cos \alpha_h) = 1 + x^{2s}.$$
 (29)

The response curve thus assumes the form given by eq. (25).

These response curves can easily be plotted on a graph the two axes of which have a logarithmic scale (see fig. 9). The curve thus obtained, which represents only (part of) one half of the response curve tends asymptotically to two straight lines, one of which runs horizontally, the other making an angle with the abscissa the tangent of which is s. The point of intersection of the two lines lies at x = 1, while the trend in the transitional area is determined by the gaussian logarithm of  $x^{2s}$ .

The fact that x in eq. (29) is equal to the x of the reference circuit for the frequency concerned has an important consequence: Substitution

<sup>1)</sup> The deduction of this formula is given in Appendix II, page 152.

#### Response curve of the complete amplifier



Fig. 9. Representation of the response curves according to eq. (25).

It has been shown above that all s-tuples derived from the same reference circuit have the same 3 db bandwidth, the only difference being the slope of the sides of the resonance curves (see fig. 11a).

If identical groups are connected in cascade, such as is the case in amplifiers composed exclusively either of pairs or of triplets, etc., the value of  $F_B$  can be easily calculated. If the amplifier contains for example t/2 pairs:

$$F_B = \sqrt[1]{2^{2/l} - 1} \approx \frac{1}{1.1 \sqrt[1]{l/l}}, \qquad (3)$$

and, if it consists of t/3 triplets:

20

$$F_B = \sqrt[16]{2^{3/t} - 1} \approx \frac{1}{1.06\sqrt[16]{t/3}}.$$
 (30a)

The total bandwidth thus decreases as the

sns, d. s: 0)

> Fig. 10. Diagram showing that the outermost circuits (corresponding to the outermost perpendiculars) would have to have very little damping if the s-tuples were chosen very large.

x = 1 in eqs (21) and (25) shows that the 3 db bandwidths ( $B_r$  of the reference circuit and the total bandwidth  $B_{tot}$  of the flat staggered s-tuple derived therefrom) are identical. For a flat staggered group the bandwidth factor  $F_B$  is therefore equal to unity.

An amplifier consisting of many stages is usually composed of several flat staggered groups, the bandwidth factor  $F_B'$  of each of which is equal to unity; the bandwidth factor  $F_B$  of the total amplifier is then less than unity. The s-tuples are not made excessively large, because this would result in the outermost circuit requiring very little damping, which cannot very well be achieved (cf. fig. 10).

number of groups l/s increases, see fig. 11b. In Table 2 (p. 170) the bandwidth factors  $F_B$  are given for identical groups connected in cascade.



Fig. 11. (a) Response curves of three different s-tuples (s = 1, s = 2, s = 3) derived from the same reference circuit. (b) Response curves showing the decrease in total bandwidth as l/s (i.e. the number of groups) increases.

With a view to avoiding undesirable low values of  $F_B$  as far as possible, the extent to which the value characteristics restrict the possibility of obtaining flat staggered tuning will now be investigated.

The bandwidth of the most selective circuit is given by:

$$B = B_r \sin \alpha = \frac{B_{\text{tot}} \sin \alpha}{F_B},$$
(31)

where  $\alpha$  is the smallest of the angles of the semicircular staggering diagram (see fig. 8). According to eqs (10*a*) and (13*a*) the smallest bandwidth attainable at a given frequency is:

$$B_{\min} = F_x (GB) \left(\frac{f}{f_1}\right)^2.$$

To enable staggering to be carried out, the following condition must therefore be satisfied:

$$B_{\text{tot}} \sin \alpha \geq F_B F_x(GB) \left(\frac{f}{f_1}\right)^2.$$
(32)

#### Response curve of the complete amplifier

#### Example

For a five-stage amplifier with six tuned circuits (GB) = 100,  $F_x = 0.8$ ,  $f_1 = 300$  Mc/s and f = 50 Mc/s.

What is the maximum gain at  $B_{tot} = 5$  Mc/s if the selectivity of the circuits may not be increased by adding extra capacitances?

According to eq. (32) the following condition must be satisfied:

$$\frac{\sin \alpha}{F_B} \geq F_x \cdot \frac{(GB)}{B_{\text{tot}}} \cdot \left(\frac{f}{f_1}\right)^2;$$

therefore:

$$\frac{\sin\alpha}{F_B} \ge 0.8 \cdot \frac{100}{5} \cdot \left(\frac{50}{300}\right)^2 \approx 0.45.$$

In the case of one sextuple (see Table 3):

$$\frac{\sin \alpha}{F_B} = \frac{0.26}{1} = 0.26.$$

which is insufficient. But in the case of two triplets:

$$\frac{\sin \alpha}{F_B} = \frac{0.5}{0.86} = 0.58,$$

which is sufficient, so that two triplets may be chosen, of which:

$$B_r = \frac{B_{\rm tot}}{F_B} = \frac{5}{0.86} = 5.8 \, {\rm Mc/s}$$
 ,

while

$$G = G_0 = \frac{(GB) F_x}{B_r} = \frac{80}{5.8} = 13.8,$$

whence follows from eq. (20):

$$G_{\text{tot}} = G^5 = (13.8)^5 = 450\ 000.$$

# **3 DISTORTION**

# 3.1 DISTORTION IN DOUBLE SIDEBAND SYSTEMS

The method of flat staggering discussed in the previous section avoids decrease of the bandwidth as the number of circuits increases, as shown by fig. 11b, and the response curve assumes a more rectangular form, as depicted in fig. 11a.

In one important respect this is an advantage, since not only does the amplitude characteristic of the frequency range passed become flatter, but the attenuation of undesired signals also increases. For this reason networks having a rectangular response curve are usually aimed at; but it should be recognized that such networks give rise to a fairly great distortion of certain signals.

This is not due to the amplitudes being incorrectly reproduced but to the phase being shifted. The response curve and phase characteristic of the customary networks are in fact so related that a rectangular form of the amplitude characteristic always gives rise to serious non-linearity of the phase characteristic <sup>1</sup>). If the phase characteristic of an H.F. or I.F. amplifier is linear (not necessarily constant) this merely results in the modulation being shifted over a constant time interval without the envelope of the modulated signal being distorted thereby, but if the phase characteristic is not linear the various modulation frequencies are mutually shifted in phase.

Therefore, although the distortion occurring in an amplifier is given in principle by its response curve, it is necessary in practice to know the phase characteristic in order to obtain a clear insight into the nature and seriousness of the phase distortion. The phase characteristic gives this information for sinusoidal signals of various frequencies in a form which does not show the distortion of non-harmonic waveforms and is therefore unsuitable for judging the quality of a video signal.

The common criteria for the reproduction of a video signal are adequate reproduction of the fine details present and the absence of false details. Since fine details are to be considered here as intensity variations of short duration, the reproduction of the high modulation frequencies is of particular importance.

For judging the fidelity with which high modulation frequencies are

<sup>&</sup>lt;sup>1</sup>) Cf. Hendrik W. Bode, Network Analysis and Feedback Amplifier Design, Chapters XIV and XV, van Nostrand, New York.

#### Distortion

reproduced it is necessary to understand how an impulse is reproduced; and in order to investigate the reproduction of false details, the envelope of the H.F. signal is given an easily recognizable form, which preferably contains many frequencies (i.e. the Fourier analysis of the envelope should supply an extensive spectrum). Distortion is then immediately noticed by the changed waveform of the envelope. A suitable form is the so-called unit

or step function, see fig. 12, which is not only easily recognizable, but can also easily be dealt with mathematically.

A further advantage of this method is that an impulse can easily be composed of two opposed steps in rapid succession. The response to high modulation frequencies can thus easily be deduced from the envelope of the reproduced signal: the step function.



Fig. 12. Example of an impulse for measuring the step function of an I.F. amplifier.

A typical form of step functions of H.F. and I.F. amplifiers is given in fig. 13.

In order to judge step function response curves quantitatively, two characteristic quantities indicated in fig. 13 must be considered: firstly the rise time  $\tau$  required by the curve to increase from 1/10 to 9/10 of



secondly, the overshoot  $\delta$ , i.e. the amount by which the ultimate value is exceeded.

the ultimate value and,

These two figures are roughly related to the form of the response curve of the amplifier. In the first place,  $B_{tot} \cdot \tau = 0.7$  to 0.9; and, secondly, the overshoot is more serious

Fig. 13. Example of a step function illustrating the rise time  $\tau$  and the overshoot  $\delta$ .

as the response curve approaches a rectangular form (see Appendix III).

By applying flat staggered tuning, several stages can thus be connected in cascade without causing the rise time  $\tau$  to increase (which would be the case if the stages were synchronous), but this is at the expense of an increase of the overshoot  $\delta$ . The values of  $\delta$  and of the product  $B_{\text{tot}} \cdot \tau$ depend on the connection of the circuits, and their tuning, etc., as indicated in Table 3 (p. 172) where  $\tau$ ,  $B_{tot} \cdot \tau$  and  $B_r \cdot \tau$  are given for several identical groups of various composition connected in cascade.

It should be recognized, however, that the results quoted in this table apply only to double sideband systems; for single sideband reception the form of the step function response curve depends on other factors as well.

# 3.2 DISTORTION IN VESTIGIAL SIDEBAND SYSTEMS

In view of the considerable saving in bandwidth effected, the vestigial sideband system (a form of single sideband transmission) is now commonly used, and it will be useful to investigate the changes to which the step function is subject if, throughout the transmitter and receiver, one sideband is suppressed.

This may be done by considering a carrier with a sinusoidally modulated envelope corresponding to the expression

$$\sin a \sin b = \frac{1}{2} \cos (a - b) - \frac{1}{2} \cos (a + b),$$

where *a* represents the carrier frequency and *b* the modulation frequency. If throughout the whole system one sideband, e.g. the lower one, is suppressed, only the carrier plus the term  $-\frac{1}{2}\cos(a+b)$  representing the upper sideband remains.

The same result would have been obtained by adding:

$$-\frac{1}{2}\left\{\frac{1}{2}\cos\left(a-b\right)+\frac{1}{2}\cos\left(a+b\right)\right\}$$
(m1)

to the expression:

$$\frac{1}{2}\left\{\frac{1}{2}\cos\left(a-b\right)-\frac{1}{2}\cos\left(a+b\right)\right\},$$
 (m2)

giving again:

 $-\frac{1}{2}\cos(a+b)$ .

As follows from the well-known trigonometrical equation, the added expression (m1) is equal to:

 $\frac{1}{2}\cos a\cos b$ .

This manipulation clearly shows that the suppression of one sideband is equivalent to the addition of a fully modulated second carrier which is in quadrature with respect to the original carrier, its modulation also being in quadrature with respect to the original modulation. It is thus obvious that the original signal:

 $A_0 \sin 2\pi f_0 t + A_m \sin 2\pi f_m t \cdot \sin 2\pi f_0 t,$ 

from which one sideband is suppressed, becomes:

 $A_0 \sin 2\pi f_0 t + \frac{1}{2} A_m \sin 2\pi f_m t \cdot \sin 2\pi f_0 t - \frac{1}{2} A_m \cos 2\pi f_m t \cdot \cos 2\pi f_0 t$ 

in which  $f_m$  and  $f_0$  represent the frequency of the modulation and of the carrier, and  $A_m$  and  $A_0$  represent the corresponding amplitudes.

The quadrature component (i.e. the cosine term) thus adds (in quadra-



Fig. 14. a Asymmetrical characteristic of a vestigial sideband system which may be considered as being composed of the sum of an image-symmetrical characteristic (b) and a radial-symmetrical characteristic (c).

ture) to the original signal a modulation component which is out of phase and gives rise to distortion. It should further be noted that the modulation depth is approximately halved.

In practice the suppression of one sideband is achieved by means of a response curve of the form shown in fig. 14a, which <u>b</u> can be considered equivalent to the sum of the curves represented in figs 14b and c. This corresponds to the mathematical analysis given above and, moreover, takes into account the limited frequency range. It should be noted that the signal passed by the response curve of fig. 14c and that passed by the curve of fig. 14b are in quadrature.

The consequences of transmitting a modulated signal

$$A_0 \sin 2\pi f_0 t + A_m \{ \sin 2\pi f_m t \cdot \sin 2\pi f_0 t \} = A_0 \sin 2\pi f_0 t + \frac{1}{2} A_m \{ \cos 2\pi (f_0 - f_m) t - \cos 2\pi (f_0 + f_m) t \}$$

by a system having a response curve similar to fig. 14a will now be investigated more closely.

The image-symmetrical characteristic of fig. 14b gives rise to amplitude distortion only of the sideband beyond the region from  $f_4$  to  $f_3$ . The frequencies within this range correspond to modulation frequencies smaller than

 $f_3-f_0$  as shown by the above expression. The image-symmetrical response curve thus suppresses only modulation components with frequencies exceeding  $f_3-f_0$ . This is the normal phenomenon which also occurs in double sideband systems.

For all modulation components which are passed by the image-symmetrical response curve in the manner described above, the radial-symmetrical characteristic of fig. 14c adds a modulated H.F. signal to the carrier. This H.F. signal has the same frequency as the carrier, but, since it is in quadrature, the square of this signal must be added to the square of the



Fig. 15. Step functions of a single sideband system (full lines) with a modulation factor of 1 and an ideal filter. The total frequency band is 5.25 Mc/s. The broken lines are valid for double sideband systems.

signal originating from the image-symmetricalc haracteristic represented in fig. 14b.

The above considerations apply without restriction to the modulation frequencies between  $f_2 - f_0$  and  $f_3 - f_0$ , but from fig. 14c, demonstrating the identical attenuation of the two sideband components (of the quadrature component), it is clear that the quadrature component is smaller for frequencies below  $f_2 - f_0$  and tends to zero for modulation frequencies also tending to zero. This is emphasized here because the low frequencies form the most important contribution towards the video signal, and this effect renders it possible to reduce the distortion by the quadrature component for these frequencies.

It is clear that this favourable effect increases as the range from  $f_1$  to  $f_2$
becomes greater, because the frequency range which takes advantage of the attenuation of the quadrature component then also increases. The response curve should therefore not be made too steep in the cut-off area on both sides of the carrier frequency.

The effect of the width of the cut-off area on the total bandwidth is illustrated by fig. 15<sup>1</sup>), which shows the distortion of a voltage step (modulated on a carrier) whose components exceeding 4.25 Mc/s have been removed. The ratio  $(f_0-f_1)/(f_3-f_1)$  has been taken as parameter. The broken lines apply to double sideband systems.

The usual characteristics of the single sideband transmission are such that the range from  $f_0$  to  $f_1$  can be given a maximum width of 1 Mc/s, the total bandwidth being approximately 5 Mc/s. The curve  $(f_0 - f_1)/(f_3 - f_1) = 1/4.25$  may thus be considered attainable in practice.

As a result of the square of the quadrature component having to be added to the square of the carrier, the importance of the quadrature component and therefore the distortion of the step function decreases with the modulation depth.



Fig. 16. Step function as shown in fig. 15 for  $(f_0 - f_1)/(f_3 - f_0) = 1/4.25$ , with the modulation factor *m* as parameter.

In fig. 16<sup>1</sup>) the variation of one envelope of fig. 15 has been plotted for four different modulation depths m.

## **3.3 DISTORTION IN PRACTICAL AMPLIFIERS**

If the conditions imposed at the beginning of the above comments,

<sup>&</sup>lt;sup>1</sup>) See Kell and Fredendall, Selective Side Band Transmission in Television, R.C.A. Review, April 1940.

assuming the single sideband system to be ideal, are not satisfied, various consequences will result, as will become clear from Section 3.4.

As to the flatness of the response curve between  $f_2$  and  $f_3$  it can be stated that local deviations will result in incorrect reproduction of the corresponding modulation components. This will generally result in a transient with a frequency corresponding to the incorrectly reproduced modulation frequency, being added to the unit function response curve. Furthermore, the magnitude of the components in quadrature will change, so that too small a response of certain frequencies may be expected to give rise to relatively less distortion than too great a response.

The condition that the response curve of fig. 14*a* should be radial-symmetrical between  $f_1$  and  $f_2$  with respect to point O may be expressed in another way, as follows:

- (1) the amplitude response at the frequency  $f_0$  should be half the value obtained at frequencies between  $f_2$  and  $f_3$ ;
- (2) the sum of the responses of two frequencies between  $f_1$  and  $f_2$  differing from  $f_0$  by an equal amount should be twice the response at  $f_0$ .

If condition (1) is not satisfied this will give rise to an incorrect D.C. component, and the lowest frequencies of the envelope will not be faithfully reproduced. This will result in "inert" distortion of the step function as shown in figs 17a and b.

Such after-effects in the television image thus point to the fact that the carrier is incorrectly situated with respect to the cut-off point of the response curve.

If condition (2) is not satisfied for a given modulation frequency the frequency concerned will not be faithfully reproduced by the image-symmetrical characteristic and neither will this be the case with the quadrature component.



Fig. 17. Step function response curves (full lines) and corresponding amplitude and phase characteristics (dash-dot and broken lines respectively) of two detuned amplifiers. Owing to the carrier of frequency  $f_0$  being incorrectly situated with respect to the cut-off point, a positive after-effect is experienced in (a) and a negative after-effect in (b).

This case is similar to that in which deviations occur between  $f_2$  and  $f_3$ , but the modulation frequencies now concerned are lower.

The condition that the phase shift should be a linear function of the

frequency is difficult to fulfil in practice. The conventional coupling networks used in amplifiers have a given fixed relation between the response curve and phase characteristic, and this relation is such that no linear phase characteristic can be obtained with a response curve of the form shown in fig. 14. The deviations usually occurring in practice are sketched in figs 18*a* and *b*.

Complex theoretical investigations are required to improve the phase



Fig. 18. Deviations occurring in conventional coupling networks. In (a) the amplitude (dash-dot line) and phase shift (broken line) are again plotted as functions of the frequency f, while the step function is plotted in (b) as a function of time (full line).

characteristic by means of special correcting networks, and as a rule a loss of gain will be the result. For this reason every endeavour is made to give the response curve of the receiver the desired form in the simplest way; the resulting phase distortion is then definitely fixed and may be compensated at the transmitting end by suitable networks. This method is still in the development stage and will probably have the following consequences:

(1) care will have to be taken that the receivers have the prescribed response curve, particularly as far as the low frequencies are concerned;

(2) experimental testing of the unit function response curve of transmitters will have to be carried out with a receiver having an accurately prescribed response curve, instead of with a special wide-band receiver.

# 3.4 GRAPHICAL DETERMINATION OF THE STEP FUNCTION

For the performance of an I.F. amplifier to be judged from its step function it is useful to have available a simple and quick method for deriving this curve from the frequency response curve.

The method described below is based on the approximation of the frequency response curve by straight line segments. This method is therefore not exact, but the accuracy of the approximation may be improved *ad libitum* by increasing the number of segments.

The method offers the particular advantage of being not merely a

mathematical analysis but of giving also a clear insight into the relationship between the forms of the frequency response curve and the step function. From the graphical construction of the latter it can therefore be deduced how the frequency response curve should be changed to obtain a better step function. It is, for example, possible to investigate, by means of greatly simplified frequency response curves, how their various characteristic forms influence the step function 1.

In vestigial sideband systems the contribution of the quadrature components is determined separately, so that the influence of the modulation depth on the form of the step function can easily be investigated.

The effect of phase distortion is also determined separately, this being of importance for studying for example the value of phase correction in the transmitter. It is possible, therefore, to leave the phase distortion out of consideration for the time being, thus rendering the method more easily understood.

The purpose in view is to determine the envelope  $E_o$  of the output signal when the envelope  $E_i$  of the input signal consists of a constant component (the base)  $E_b$  and a voltage step  $E_s$  at the instant t = 0. By means of the unit function H (t) this can be expressed by:

$$E_i = E_b + E_s H(t) . \tag{33}$$

To solve this problem the phase characteristic is for the time being assumed to be linear within the pass band, but with the phase angle  $\Theta$ differing from zero. This means that the output signal is delayed with respect to the input signal by an amount:

$$D = \frac{-\Theta}{2\pi f},\tag{34}$$

where  $\Theta$  is the phase shift in radians at the frequency f. The delay angle  $-\Theta$  thus represents the delay D expressed in radians. (The modulation frequency at which the modulation at the output lags by 360° is therefore equal to 1/D.) Assuming that

$$t' = t - D, \tag{35}$$

the step of the output voltage is then given by:

$$E_{o} = G \{ E_{b} + E_{s} H_{s}(t') \}.$$
(36)

The gain G is of no consequence here and will be assumed to be equal to

<sup>&</sup>lt;sup>1</sup>) See, for example, W. M. Lloyd, Single Sideband Receiver Design, J. Telev. Soc. 6, p. 135, Oct./Dec. (No. 4).

unity, so that it suffices to calculate the function  $H_s(t')$ . For this purpose the modulation response curve M is derived from the I.F. response curve A (see fig. 19).

The frequency scale of this diagram corresponds to the difference in



Fig. 19. I.F. response curve A from which the modulation response curve M is derived.

noted by h, which is taken to be positive when the line segment descends towards higher frequencies, i.e. when it has a negative slope. Horizontal

line segments can be disregarded in the calculation.

To determine the approximating broken line for a modulation response curve the following data are specified for each line segment: (1) the difference in height h, (2) the highest frequency f', (3) the ratio f''/f'.



Fig. 20. Approximation of a modulation response curve by a number of straight line segments.

### Example

In fig. 20 a modulation response curve is approximated by two horizontal and three inclined line segments, the latter being numbered from 1 to 3. Since the slope of segment 1 is positive,  $h_1$  assumes a negative value.

The contribution of each of the segments towards the step function is determined by the three characteristic quantities: h, f' and f''/f'. These

esponds to the difference in frequency of the carrier and the sideband component. The positive or negative sign is of no significance; the contributions of the two sidebands are simply added.

The modulation response curve is now approximated by a number of straight line segments. The extremities of each of these line segments correspond to the frequencies f' and f'' (f'' < f'). The difference in height between the extremities will be de-

curves are represented by the function S (f't'), which has been plotted in fig. 21 with f''/f' as parameter.

The curves of fig. 21 are radial-symmetrical with respect to the point f't' = 0, S(f't') = 0.5 and are all derived from the curve for f''/f' = 0, which can be calculated with great accuracy by means of a series development (see Appendix III).



Fig. 21. Graphical representation of the function S (f'i') with f''/f' as parameter.

To determine the graphical representation of the step function corresponding to a given modulation response curve, it suffices to select the correct curves S(f't') from the family of curves of fig. 21 (and to interpolate where necessary), after which these are redrawn on the correct scale and simply added.

This can be done, for example, by plotting for each segment a horizontal line at a distance h above the zero axis and marking the f' t' scale on this line. This is easily

done, since the time t' = 1/t' corresponds to the point f' t' = 1 of fig. 21. At t' = 0 the curve passes through the point 0.5 h, with respect to which it is radialsymmetrical. For negative values of t' the zero axis may therefore be imagined to correspond with the line S (f't') = 1 of fig. 21, etc. The graphical construction becomes clearer when instead of the zero axis the line S (f't') = 0.5 is taken as basis. See, by way of example, fig. 33.

In order to take the phase distortion into account it is necessary to



investigate the phase differences with respect to the originally assumed linear phase characteristic.

Now the modulation response can be considered as a complex quantity, the real part of which is equal to the cosine of the phase angle, and the imaginary part is equal to the sine of the phase angle. Consequently a real and an imaginary modulation response curve can be plotted (see fig. 22).

These curves are derived in the following way from the amplitude and phase characteristics. Assume that at the frequencies  $f_1$  and  $f_2$ , at equal distances  $\Delta f$  from the carrier, the amplitude and the phase angles are  $A_1$  and  $A_2$  and  $\Theta_1$  and  $\Theta_2$  respectively. (These are the remaining phase angles after the constant

(37)



delay has been subtracted.) For a modulation frequency  $\Delta f$  the real component of the modulation response is then:

$$M^{\text{real}} = A_1 \cos \Theta_1 + A_2 \cos \Theta_2,$$

34

and the imaginary component is:

$$M_{\rm imag} = -A_1 \sin \Theta_1 + A_2 \sin \Theta_2. \tag{38}$$

(The lower sideband should be taken for  $A_1$  and the higher sideband for  $A_2$ , the sign of the phase distortion being thereby given.)

Once the two modulation curves have been determined, these are again approximated by straight line segments as outlined above. Each inclined



Fig. 23. Graphical representation of the function C (f' t') with f''/f' as parameter.

line segment of the real modulation response curve forms a contribution S(f't') towards the step function, whilst each inclined segment of the imaginary modulation curve forms a contribution C(f't').

The function C(f't') has been plotted in fig. 23, again with f''/f' as parameter. This diagram is image-symmetrical with respect to the line f't' = 0. Since the curve logarithmically approaches —  $\infty$  for f't' = 0, f't' has been plotted on a logarithmic scale.

When no great accuracy is required, the C(f't') diagram can be approximated by a broken line, the descending part of which has a slope of 0.72 per decade. The transitional point is situated between 0.09 and 0.25, depending on the ratio f''/f'. This procedure is followed in example 2 on p. 47.

If, in order to determine the phase distortion, the phase characteristic must be derived from the amplitude response curve (see footnote on



Fig. 24. Amplitude response curve plotted on logarithmic amplitude and frequency scales (semiinfinite unit slope). page 23), the latter should preferably be plotted on logarithmic amplitude and frequency scales (see fig. 24), since the phase characteristic must be fairly accurate in the vicinity of the carrier frequency. This logarithmic amplitude characteristic can be approximated by a broken line, the shape of the phase characteristic then being

determined by the location of the transitional points and the change in slope of the line.

Fig. 25 shows the phase characteristic corresponding to the amplitude

characteristic of fig. 24. The ascending part of the latter characteristic has unit slope.

Characteristics with a different change in slope are dealt with as follows. A slope is said to be of +k units when the amplitude characteristic rises 2k db within a frequency interval of 1.25, which corresponds to 20k db per decade. The phase characteristic corresponding to a transi-





tional point at which the slope changes k units is thus obtained by multiplying the vertical scale of fig. 25 by the factor k.

The phase characteristic of an amplitude characteristic approximated by line segments is now found by linearly adding the phase characteristics corresponding to each of the transitional points.

For accurate construction of the phase characteristic, the part of fig. 25 of greatest importance in practice has been redrawn on a linear



Fig. 26. Phase distortion with a semi-infinite unit slope plotted on a linear scale. The broken line shows the linear term.

scale in fig. 26. A linear term has been subtracted to give greater emphasis to the phase distortion. logA

A practical difficulty in determining the phase characteristic graphically lies in the fact that fairly small phase deviations sometimes correspond to the difference of two large opposed contributions originating from two adjacent opposed transitional points in the amplitude characteristic (see



fig. 27). This will be the case, for example, when the amplitude characteristic has been very roughly approxmiated and attempts are made to

improve the accuracy of the construction by rounding off the curve. For such purposes it will be advantageous to use the phase diagram of



Fig. 28. Phase diagram corresponding to the amplitude characteristic depicted in fig. 27. The parameter is the term a in fig. 27.

fig. 28, corresponding to the two opposed transitional points of the amplitude characteristic of fig. 27.

#### Example

What will be the shape of the phase characteristic of a single resonant circuit according to the above method? logA



For large values of x:

$$\frac{\mathrm{d}\log A}{\mathrm{d}\log x}=1,$$

in which

$$x = Q\left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right).$$
(See Appendix I)

Hence:

$$\frac{\mathrm{d}\log A}{\mathrm{d}\log\omega} = \frac{\omega_0}{\Delta\omega}$$

In the case of a very large detuning:

$$\frac{\Delta\omega}{\omega_0} \rightarrow \pm 1$$
,

whence

$$\frac{d \log A}{d \log \omega} \rightarrow \pm 1.$$

Fig. 29a. Rough approximation of the response curve of a single tuned circuit (fully drawn line). The actual response curve is indicated by the dotted line.

a



When, as a first approximation, the response curve of the circuit is now represented by two straight lines, with slopes +1 and -1 (fully drawn lines, fig. 29*a*), the phase characteristic appears to conform to the diagram of fig. 25, provided its vertical scale is multiplied bij -2 (see fig. 29*b*). In the case of very large detuning, this diagram agrees fairly well with the well-known arc tan function (see Appendix I) by which the actual phase characteristic (dotted line, fig. 29*b*) is given.

The approximation of the amplitude characteristic can be improved by adding two corrections indicated in fig. 29c (cf. fig. 27). A phase correction as shown in fig. 29d must then be added to the phase characteristic, so that the actual phase characteristic (broken line in fig. 29b) is very nearly approached.

The approximation can be further improved by increasing the number of these corrections.

Now that the contributions of the in-phase components have been dealt with, the determination of the so-called quadrature components of the step function will be investigated.

These components are derived from a modulation response curve  $M_q$ , which should also be considered as a complex quantity. The quadrature components can therefore be deduced from this modulation response curve, and are a real component  $M_{q real}$  and an imaginary component  $M_{q imag}$ .

The derivation of these curves from the I.F. response curve is analogous to that of fig. 22, but now:

$$M_{\rm q\,renl} = A_1 \cos \Theta_1 - A_2 \cos \Theta_2, \tag{39}$$

$$M_{q\,\text{imag}} = A_1 \sin \Theta_1 + A_2 \sin \Theta_2. \tag{40}$$

and

The contributions of the real component of the quadrature modulation response towards the step function assume a form jC(f't'), whilst those of the imaginary component assume a form jS(f't').

These contributions are determined in exactly the same way as described for the in-phase component, after which they are also linearly added. The quadrature component of the step function thus obtained must, however, be added in quadrature to the in-phase component.

#### **Example 1**

What shape does the step function assume at a voltage step from 1 to 2, applied to the input of an amplifier the amplitude and phase characteristics of which are given in fig. 30?

First the modulation response curves are determined for the in-phase component, see fig. 31.



Fig. 30. Amplitude and phase characteristics of an amplifier the step function of which is to be determined. A linear term corresponding to a delay of 5 µsec has been subtracted from the phase response.





In fig. 32 the real modulation response curve has been approximated by two inclined and two horizontal line segments. The inclined segments are characterised by the following data:

segment number	h	ť	<i>f" f</i>
1	0.2	5.5	0.55
2	0.8	6.5	0.85

The contributions  $S(f't')_1$ , and  $S(f't')_2$  of the inclined line segments of fig. 32 have been plotted in fig. 33. The sum of these contributions is given by the dash-dot line  $S_{tot}$ .



Fig. 32. Approximation of the real modulation response curve by straight line segments.



Fig. 33. Contributions of the real modulation response curve (fully drawn lines) derived from the approximated modulation response curve of fig. 32. The sum of these contributions is given by the dash-dot line S<sub>tot</sub>. The broken line C<sub>tot</sub>, representing the imaginary component, is derived in fig. 35.

The approximated imaginary modulation response curve is given in fig. 34. This consists of three inclined line segments with the following data:



The contributions to this imaginary component are plotted in fig. 35*a*, the sum of these contributions being given in fig. 35*b*.



Fig. 35*a*. Contributions of the imaginary modulation response curve of fig. 34. The sum of the contributions  $C(f't')_1$  to  $C(f't')_3$  is plotted in *b*.

lope of the quadrature component of the signal is determined. The response characteristics serving for this purpose have been plotted



. Modulation response characteristics  $M_{q \text{ real}}$  and  $M_{q \text{ imag}}$  of the quadrature component of the signal.

ves have been approximated in fig. 37. The inclined line segments by the following data:

onent	segment number	h	ť	<i>t"   f'</i>
{	1 2 3	-0.8 -0.2 +1.0	0.8 2.5 7.0	0 0.32 0.85
лу {	1 2 3	-0.3 + 0.5 - 0.2	1.0 4.5 6.0	0 0.45 0.83



characteristics given in fig. 36.

ons of the real characteristic towards the quadrature component l in fig. 38.





In fig. 39 the contributions of the imaginary characteristic have been plotted. Sq  $(f't')_1$  and Sq  $(f't')_2$  are the contributions corresponding to the line sections *I* and *2* of the  $M_{q\,\text{Imag}}$  characteristic of fig. 37. In fig. 39 the characteristic Cq tot of fig. 38 has been indicated by the broken line. The sum of this contribution and that of the two imaginary components is given by the dash-dot line Cq tot + Sq tot.



Fig. 39.  $S_q(f't')_1$  and  $S_q(f't')_2$  are the contributions of the imaginary characteristic, and  $C_{q tot}$  is that of the real characteristic of the quadrature component. The dash-dot line represents the sum of these contributions.



Fig. 40, finally, gives the in-phase component, i.e. the sum of the curves  $S_{tot}$  from fig. 33,  $C_{tot}$  from fig. 35 (which, for the sake of clarity, has been plotted also in fig. 33) and  $E_b/E_s$ . By adding in quadrature the contribution  $C_{qtot} + S_{qtot}$  from fig. 39, the fully drawn curve representing the step function is obtained, viz.:

$$\nabla (C_{tot} + S_{tot})^2 + (C_q tot + S_q tot)^2.$$

1-



Fig. 41. In-phase component of the step function of example 1 such as would have been obtained if the irregularity at lower frequencies had not

been ignored.

#### Example 2

In example I the small irregularity at lower frequencies of the modulation response curve of fig. 31 was disregarded in the approximations of figs. 32 and 34. If this irregularity had been taken into account, then the in-phase component of the step function would have assumed the form depicted in fig. 41.

In this curve a variation appears to be present already at the instant t' = -0.4 µsec, i.e. before the voltage step was applied to the amplifier. This is, of course, inconsistent, since a circuit cannot possibly predict future signals. This error is due to inaccuracies of the phase characteristic in the vicinity of the carrier.



It will now be shown how the data required for correcting this error can be derived from the modulation response curve.

Assume for the sake of simplicity that double sideband reception takes place and that the modulation response curve has the shape as plotted in fig. 42a. The I.F. response curve in the vicinity of the carrier frequency will then be as depicted in fig. 42b.



From fig. 42 it can be derived directly that the small irregularity results in a contribution according to the fully drawn line  $S_{corr}$  in fig. 45 being added to the real component.

From fig. 42b it also follows that in the range near the carrier a phase distortion occurs as depicted in fig. 29d, but of opposed sign, so that the imaginary component of the irregularity will assume the shape as drawn in fig. 43.

The contribution C<sub>corr</sub> of the in-phase component of the imaginary modulation response curve has been plotted in fig. 44 on a logarithmic scale.



Fig. 44. Contribution Ccorr towards the step function.

Fig. 45, finally, gives the total contribution  $C_{corr} + S_{corr}$  of the in-phase component of the imaginary and the real modulation response curve towards the step function, caused by the irregularity investigated.

As was to be expected for t' < 0, the curve runs horizontally. The part for t' > 0





can be derived from fig. 21 by doubling the vertical scale, so that this covers the range from 0 to 1 instead of from 0.5 to 1.

The quadrature component can be dealt with in an analogous way, but in practice the corrections of this component will have less effect on the final form of the step function, so that they may be neglected.

# 4 GAIN, BANDWIDTH AND DISTORTION WITH FOUR-TERMINAL COUPLING NETWORKS

In the previous Sections the interstage couplings were assumed to consist of normal parallel tuned circuits, where necessary shunted by resistors, and the assumption was always made that for two successive stages the output voltage of the first stage is equal to the input voltage of the following stage. This will as a rule no longer be the case, however, if the coupling between the stages consists of more complex four-terminal networks.

The previous comments can, however, be extended to such networks by introducing the concept of **transfer admittance**. Whereas the admittance of a two-terminal network is understood to be the current divided by the voltage at the same pair of terminals, the no-load transfer admittance of a four-terminal network is here taken to be the current at the input terminals divided by the voltage at the unloaded output terminals. (The concept of **transfer impedance** can be defined in a similar way.)

Assuming again that the feedback in the valve is negligible and considering the input valve as a current source  $V_i \cdot S_{\text{eff}}$ , shunted by its internal resistance  $r_a$  and its anode admittance  $g_o + j\omega C_o$ , then it is obvious that the gain of stage h can be written as:

$$G_{h} = \frac{(V_{i})_{h+I}}{(V_{i})_{h}} = \frac{(I_{o})_{h}}{Y_{h,h+I}} \cdot \frac{1}{(V_{i})_{h}} = \frac{S_{\text{eff}}}{Y_{h,h+I}}$$
(41)

where  $Y_{h,h+1}$  represents the transfer admittance  $I_i/V_o$  of the coupling network between the stages h and h + 1, including at the input side the admittance:

$$\frac{1}{r_a} + g_o + j\omega C_c,$$

and at the output side the admittance:

$$g_i + j\omega C_i$$
.

For the interstage couplings now to be dealt with, it thus suffices to substitute  $Y_{h,h+1}$  for Y. This having been done, the calculations can be worked out in an analogous way.

Two examples of a four-terminal coupling network, namely a so-called " $\pi$ " network and a double-tuned band-pass filter, are discussed below.

The " $\pi$ " network (fig. 46) is equivalent to a normal single-tuned circuit the input and output of which are "tapped", as a result of which the total circuit capacitance is reduced, since the capacitances  $C_o$  and  $C_i$  are no longer in parallel as in a single-tuned circuit. For a given frequency the

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required inductance of the circuit is therefore higher. This may be an advantage at very high frequencies, since with very small values of inductance it is not easy to obtain a satisfactory quality factor.

As the voltages across  $C_o$  and  $C_i$  are in anti-phase, there will be a point in the coil L which is at zero H.F. potential. This point may be used either as the point at which the supply voltage is fed to the valves, or for interconnection by means of a screened lead with similar distant points in the receiver.

The double-tuned band-pass filter (fig. 47) differs essentially from the single-tuned circuit. It resembles a staggered pair.  $C_o$  and  $C_i$  are now parts of separate circuits, and a higher product  $G \cdot B$  is obtained. The response curve has steeper flanks and is flatter, whilst the unit function response curve has a higher slope and overshoot. When more stages are connected in cascade the decrease in bandwidth is less than with single tuned circuits. This decrease can also be compensated by staggering. In this case, however, the circuits are not detuned but merely unequally damped.

# 4.1 "π" NETWORK

The transfer admittance of the " $\pi$ " network in fig. 46, which is widely used where the layout of the amplifier makes long wiring inevitable and a small circuit capacitance is nevertheless desirable <sup>1</sup>), may be determined as follows:



Fig. 46. Interstage coupling consisting of a " $\pi$ " network.

$$Y_{h,h+1} = \frac{I_h}{V_{h+1}} \tag{42}$$

Furthermore:

$$I_{i} = \left(\frac{1}{R_{i}} + j\omega C_{i}\right) V_{h+I}, \qquad (43)$$

and

$$I_o = \left(\frac{1}{R_o} + j\omega C_o\right) V_h. \tag{44}$$

<sup>1</sup>) Cf. A. van Weel, An Experimental Transmitter for Ultra-Short-Wave Radio-Telephony with Frequency Modulation, Philips Techn. Review 8, p. 121, 1946 (No. 4), in particular figs 4 and 5.

#### 4.1 "π" Network

Moreover:

$$V_h = V_{h+1} + I_i j \omega L. \tag{45}$$

From eqs (45) and (43):

$$V_{h} = V_{h+1} + \left(\frac{1}{R_{i}} + j\omega C_{i}\right) V_{h+1} j\omega L, \qquad (46)$$

and from eqs (46) and (44):

$$I_o = \left(\frac{1}{R_o} + j\omega C_o\right) \left\{ V_{h+I} + \left(\frac{1}{R_i} + j\omega C_i\right) V_{h+I} j\omega L \right\}.$$
(47)

Since  $I_h = I_i + I_o$ , eq. (42) finally becomes:

$$Y_{h,h+1} = \frac{1}{R_o} + \frac{1}{R_i} - \omega^2 L \left( \frac{C_o}{R_i} + \frac{C_i}{R_o} \right) + j\omega C_o + j\omega C_i + j\omega \frac{L}{R_o R_i} - j\omega^3 L C_o C_i.$$
(48)

At resonance the imaginary part of eq. (48) is zero, which gives:

$$C_o + C_i + \frac{L}{R_o R_i} = \omega^2 L C_o C_i,$$

whence:

$$\omega^{2} = \frac{1}{L} \left( \frac{1}{C_{o}} + \frac{1}{C_{i}} \right) + \frac{1}{C_{o} R_{o} C_{i} R_{i}}.$$
 (49)

A simplification can be introduced by making the following approximation:

$$\omega_0^2 = \frac{1}{L} \left( \frac{1}{C_o} + \frac{1}{C_i} \right). \tag{50}$$

At or near resonance the real part of  $Y_{h,h+1}$  is therefore approximately:

$$\left(Y_{h,h+1}\right)_{\text{real}} = \frac{1}{R_o} + \frac{1}{R_i} - \left(\frac{1}{C_o} + \frac{1}{C_i}\right) \left(\frac{C_o}{R_i} + \frac{C_i}{R_o}\right) = - \left(\frac{C_o}{R_i C_i} + \frac{C_i}{R_o C_o}\right).$$
(51)

The negative sign, which is of no consequence here, will henceforth be omitted.

To determine the bandwidth the imaginary part at  $\omega \neq \omega_0$  must be calculated:

$$\left(Y_{h,h+1}\right)_{\text{imaginary}} = \omega \left\{ (C_i + C_o) + \frac{L}{R_i R_o} - \frac{\omega^2}{\omega_0^2} (C_i + C_o) \right\},\,$$

or, if  $L/R_iR_o$  is ignored and  $\omega(\omega + \omega_0)/\omega_0^2$  is set equal to 2,

$$(Y_{h,h+I})_{\text{imaginary}} = 2 \Delta \omega (C_i + C_o),$$
 (52)

provided  $\Delta \omega / \omega_0$  is small. (It should be noted that for very low frequencies the transfer admittance does not assume the very high value which might

be expected from this approximated formula.) To determine the frequency at which the attenuation is 3 db, the imaginary part must be set equal to the real part, that is to say:

$$2\Delta\omega (C_i + C_o) = \frac{C_o}{R_i C_i} + \frac{C_i}{R_o C_o}.$$
 (53)

This expression may be adapted to the previous comments by writing:

$$\frac{1}{R_i} = g_i + g_{ci},\tag{54}$$

and

$$\frac{1}{R_o} = g_o + g_{co.}$$
 (55)

where  $g_0 + g_{c0}$  is assumed to include  $1/r_a$  of the value. Hence:

$$2\pi B(C_i + C_o) = (g_i + g_{ci}) \cdot \frac{C_o}{C_i} + (g_o + g_{co}) \cdot \frac{C_i}{C_o}.$$
 (56)

This equation thus replaces eq. (6). The gain per stage now follows from:

$$G = \frac{S}{Y_{h,h+I}} = \frac{S}{(g_i + g_{ci}) \cdot \frac{C_o}{C_i} + (g_o + g_{co}) \cdot \frac{C_i}{C_o}}.$$
 (57)

Combining eqs (57) and (56) again gives:

$$(GB) = \frac{S}{2\pi (C_i + C_o)}.$$
 (8)

The further procedure is similar to that given in Sections 1 and 2, provided  $g_i + g_0$  is replaced by:

$$g_i \cdot \frac{C_o}{C_i} + g_o \cdot \frac{C_i}{C_o}.$$

 $f_0'' = \gamma_2 f_0$ 

This means that  $f_1$  and  $f_0$  must be replaced by:

$$f_1'' = \gamma_2 f_1, \tag{58}$$

and

in which:

$$y_2 = \sqrt{\frac{g_i}{g_i + g_o} \cdot \frac{C_o}{C_i} + \frac{g_o}{g_i + g_o} \cdot \frac{C_i}{C_o}} \approx \sqrt{\frac{C_o}{C_i}} \approx 1,$$
(60)

since  $g_i \gg g_o$ .

In practice  $f_1''$  may be set equal to  $f_1$ . The quantities  $F_s$ ,  $F_B$  and  $F_x$  remain unchanged, so that the theory of the previous sections also applies to amplifiers where the interstage coupling is formed by the " $\pi$ " network of fig. 46.

(59)

# 4.2 DOUBLE-TUNED BAND-PASS FILTERS

The transadmittance of double-tuned band-pass filters (fig. 47) can be determined with sufficient accuracy by means of the existing theory on band-pass filters with a high quality factor  $^{1}$ ).



Fig. 47. Interstage coupling consisting of a double-tuned band-pass filter. In a practical circuit:

 $1/R_{\rm p} = g_0 + g_{cp} = 1/r_a + g_a + 1/R_{cp}$   $C_{\rm p} = C_0 + C_{xp}$  $1/R_{\rm s} = g_i + g_{cs} = g_i + 1/R_{cs}$   $C_{\rm s} = C_i + C_{xs}$ 

A more accurate theory will not be required unless the resonances of the circuit, the coupling factor, etc., are to be calculated exactly. This theory (see Appendix I) leads to the following expression:

$$Y_{h,h+I} = \frac{(1+q^2) + j \mathscr{A} \sqrt{2+r+\frac{1}{r}} + (jx)^2}{jq \sqrt{R_p R_s}},$$
(61)

where:

$$\begin{aligned} Q_{\rm p} &= \omega \ C_{\rm p} \ R_{\rm p}, \qquad x = \beta \ \sqrt{Q_{\rm p} Q_{\rm s}}, \\ Q_{\rm s} &= \omega \ C_{\rm s} \ R_{\rm s}, \qquad \beta = 2 \ \Delta \omega / \omega_{\rm o}, \end{aligned}$$

and

$$q = k \sqrt{Q_{\rm p}Q_{\rm s}},$$
  
 $k = \frac{M}{\sqrt{L_{\rm p}L_{\rm s}}} \left( \text{or in the case of capacitive coupling } \frac{Ck}{\sqrt{C_{\rm p}C_{\rm s}}} \right),$ 

while

$$r = Q_{\rm s}/Q_{\rm p}$$
.

It can be shown that under certain conditions the frequency response given by eq. (61) corresponds to the curve s = 2 of fig. 9.

At resonance (x = 0):

$$\left(Y_{h,h+1}\right)_{\text{imaginary}} = \frac{1+q^2}{q} \cdot \sqrt{\left(g_i + g_{cs}\right)\left(g_o + g_{cp}\right)},\tag{62}$$

<sup>&</sup>lt;sup>1</sup>) See i.a. B. G. Dammers, J. Haantjes, J. Otte and H. van Suchtelen, Application of the Electronic Valve, Book IV, Philips Technical Library (1950) and C. B. Aiken, Two Mesh-Tuned Coupled Circuit Filters, Proc. I.R.E. 25, 1937 (No. 2).

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from which, by means of eq. (41), the gain per stage is:

$$G = \frac{S}{\sqrt{(g_i + g_{cs})(g_o + g_{cp})}} \cdot \frac{q}{1 + q^2}.$$
 (63)

By setting:

$$\frac{\mathrm{d}Z_{h,h+1}}{\mathrm{d}x}=\frac{\mathrm{d}^2Z_{h,h+1}}{\mathrm{d}x^2}=\frac{\mathrm{d}^3Z_{h,h+1}}{\mathrm{d}x^3}=0,$$

at x = 0, the value of q can be found at which the top of the response curve is flattest. It can be proved that at this condition (see Appendix I):

$$q = \sqrt{\frac{r+\frac{1}{r}}{2}}.$$
(64)

If this condition is satisfied, so-called transitional coupling is obtained. In that case the frequency response corresponds to that shown in fig. 9, s = 2, and:

$$4\pi B\sqrt{C_oC_i} = 2\sqrt{1+q^2}\sqrt{(g_i+g_{cs})(g_o+g_{cp})}.$$
(65)

The maximum product of gain and bandwidth achievable by minimizing the capacitances may now be expressed by:

$$G \cdot B = \frac{S}{4\pi \sqrt{C_o C_i}} \cdot \frac{2q}{\sqrt{1+q^2}}.$$
(66)

This expression will be so interpreted that the first factor is considered as the (GB) product in the case where the coupling consists of a bandpass filter, and the second factor as the bandwidth factor in that case. This (GB) product is thus:

$$(GB)'' = \frac{S}{4\pi\sqrt{C_0C_i}}.$$
(67)

This (GB)'' product is identical to that given in Section 1, provided  $C_i = C_o$ . In practice these capacitances do not differ greatly, so that, in this case too, the theory of Section 1 remains valid to all intents and purposes. The stray capacitances can of course also be taken into account, giving:

$$Fx''(GB)'' = \frac{S}{4\pi\sqrt{(C_i + C_{2S})(C_o + C_{2S})}}$$

where  $C_{ix}$  = the stray capacitance across the secondary and  $C_{ox}$  = the stray capacitance across the primary.

Hence:

$$Fx'' = \sqrt{\frac{C_i}{C_i + C_{zB}} \cdot \frac{C_o}{C_o + C_{zp}}}.$$
(68)

## 4.2 Double-tuned band-pass filters

As indicated above, and in Section 2, the second factor of eq. (66):

$$\frac{2q}{\sqrt{1+q^2}} = \frac{2\sqrt{1+r^2}}{1+r}$$
(69)

represents the bandwidth factor  $F_B$ " for one stage.

If several stages in cascade are used, the bandwidth decreases as the number of stages increases, as is the case when the coupling consists of synchronous parallel tuned circuits. This is demonstrated by the following expression:

$$FB'' = \frac{2\sqrt{1+r^2}}{1+r} \cdot t^{4/2} \overline{2^{1/2}-1}.$$
 (70)

The second term of eq. (70) can very well be approximated by 1.1  $t^{1/4}$ ; the first factor  $F_{B_1}$ " is represented by the curve of fig. 48.

There are obviously two extreme cases, viz.:

r = 1, i.e. Q<sub>p</sub> = Q<sub>s</sub>; in that case q = 1, the coupling being critical. F<sub>B</sub> is then equal to √2. With valves of which C<sub>i</sub> ≈ C<sub>o</sub> it is thus possible to improve the gain by 3 db by using a band-pass filter instead of a single tuned circuit. This obviously applies to one amplifying stage only.



of eq. (70).

(2) r = 0, i.e. Q<sub>p</sub> = ∞. In that case the bandpass filter is damped at one side only, and the gain, compared with a single tuned circuit, may be increased by a factor as high as 2.

The decrease of the bandwidth when synchronous band-pass filters are connected in cascade is similar to that of flat-staggered pairs connected in cascade, as is shown by a comparison of eqs (70) and (30). This decrease can be counteracted by applying so-called **staggered damping**, which has some resemblance to staggered tuning with single circuits.

This method is based on the change to which the response curve of a band-pass filter is subject when the dampings of the individual circuits are changed. Band-pass filters, one side of which is intentionally damped are used, and the well-known curves applying to symmetrical band-pass filters no longer apply unless the values of Q are corrected<sup>1</sup>). The results are shown in figs 49 and 50, fig. 49 applying when k is varied, Q being constant,



Fig. 49. Response curves of a band-pass filter one side of which is damped, with k as parameter (Q = constant).



Fig. 51 shows how the flat response curve is obtained from the overcoupled and under-coupled response curves. This example applies to an unequally damped band-pass filter triplet.

As in the case of the staggered tuning system, there is also a method for



64917 Fig. 51. Diagram showing how a flat response curve (full line) is obtained from over-coupled and undercoupled response curves (dotted and broken lines).

ensuring that staggered band-pass filters have the flattest response curve attainable. It is beyond the scope of this book to show how this method is derived, but it is applied in the following way (see fig. 52).

The values of g for the secondaries are again deduced from the value of gr of the reference circuit, having a capacitance  $C_r = 2 C_s$ , in a similar way as was done for staggered tuning. A quarter arc of circle is subdivided

into s equal parts (s being the number of different bandpass filters) and perpendiculars are dropped from the centre of these parts to one



649IU Fig. 52. Diagram for staggering bandpass filters.

1) Cf. p. 36 and p. 41 et seq. of the first reference quoted on p. 53.

secondary being denoted by  $g_{cs}$  (the primary conductance  $g_o$  being assumed to be zero).

The resulting bandwidth is now equal to that of the reference circuit given by:

$$2\pi B_r C_r = g_r,\tag{71}$$

provided the coupling of each of the band-pass filters is adjusted to the correct value. This will be the case when:

$$k = \frac{1}{Q_r}, = \frac{g_r}{\omega_0 C_r}.$$
 (72)

Under these conditions the gain per stage follows from the value of  $|Y_{h,h-1}|$  at resonance, viz. (see Appendix Id):

$$|\hat{\mathbf{Y}}_{h,h+1}| = 2\pi \, / k \, \sqrt{C_s} \overline{C_p},$$

giving:

$$G = \frac{S}{g_r} \cdot 2 \sqrt{\frac{C_s}{C_p}} = \frac{S}{2\pi B_r \sqrt{C_s C_p}},$$

at  $\Delta f = 0$ , and since the bandwidth B is equal to  $B_r$ :

$$G \cdot B = F_x'' F_B''(GB)'' = \frac{S}{2\pi\sqrt{C_sC_p}}.$$
(73)

Substitution of (GB)'' from eq. (67) now shows that for an amplifier with double tuned coupling circuits the secondaries of which are staggerdamped,  $F_B''$  is always equal to 2.

The response curve of a set of flat staggered band-pass filters can again be derived from fig. 9, where s is the total number of tuned circuits. Since each band-pass filter comprises two tuned circuits, s is in general an even number. It is, however, possible to make a combination of staggerdamped band-pass filters with one single "fill-up" circuit, which is tuned to the central frequency. In this way overall response curves can be obtained which correspond to the odd values of s in fig. 9.

As in the case where single circuits are used, the number of elements of the staggered group should not be made too large; as a rule groups consisting of pairs or triplets are used. If such groups are connected in cascade, the total bandwidth decreases only very slightly (see Table 4, p. 172). This is due to the response curves of staggered band-pass filter groups having very sharp corners. As may be expected, the overshoot percentage is quite considerable (see Table 3, p. 172).

The possibilities of staggering are restricted also in the case of band-pass filters, owing to the limit imposed on the most selective secondary circuit (only the secondaries of the band-pass filters are damped,  $R_z$  thus being much smaller than  $R_p$ ). The following condition must be satisfied:

$$B_{\text{tot}} \sin \alpha \geq F_B'' F x'' (GB)'' \left(\frac{f}{f_1}\right)^2 \sqrt{\frac{C_o + C_{ox}}{C_i + C_{ix}}}.$$
(74)

With amplifiers having single tuned interstage circuits the quantity  $f_1$  was determined by the sum of  $g_i + g_o$ . When band-pass filters are used  $g_i$  and  $g_o$  are separated by the band-pass filter, but since in practice  $g_i$  is much larger than  $g_o$ , so that  $g_i + g_o$  is almost equal to  $g_i$ , there is no need to introduce here a new quantity  $f_1$ ".

If a band-pass filter is used at the input of the amplifier the quality factors of the primary and secondary are made identical, which means that r = 1, so that this band-pass filter cannot very well be included in the staggering scheme. There are also other reasons for making the first band-pass filter less selective than the other circuits, especially in super-heterodynes intended for reception of several channels. Moreover, by not including the input circuit in the staggering scheme, this circuit can be chosen to meet specific requirements. For example, the input circuit must also satisfy special conditions as to reflection-free termination of the aerial cable.

The selectivity of the input circuit is already reduced by the heavy damping caused by the valve and aerial. To ensure that the termination of the aerial cable is such that no reflections occur, the following condition must be satisfied:

$$R_{\rm ant} = Z_i = 2Z_i',\tag{75}$$

where  $\hat{Z}_i$  is the input impedance of the band-pass filter (at the resonant frequency) with the aerial disconnected and  $Z_i$  is the input impedance when the aerial is connected.

Now

$$Z_i' = \frac{R_p}{1+q'^2} - \frac{R_{ant}}{R_p + R_{ant}},$$

where  $q'^2 = k^2 Q_p Q_s$  in the case of a connected aerial. Hence, the condition to be satisfied becomes:

$$q^{\prime 2} = \frac{R_{\rm p} - R_{\rm ant}}{R_{\rm p} + R_{\rm ant}}.$$
(76)

In the important case in which  $R_p$  is very high, q' should be made approximately equal to unity.

By means of the formulae for band-pass filters it can be calculated that (for  $C_x = 0$ ) the product  $G \cdot \sqrt{B}$  of the aerial circuit is then approximately 1.2 times that of a single-tuned aerial circuit.

A further advantage of this circuit compared to the single circuit is that less trouble is experienced from reflections at the sideband frequencies.

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# 5 NOISE

## 5.1 DEFINITIONS

The signal-to-noise ratio at the output of a receiver is of considerable importance. This ratio is determined by the signal-to-noise ratio of the signal source and by the inherent noise of the amplifier. Different effects must therefore be combined for calculating the final signal-to-noise ratio. Noise voltages cannot, however, be simply added and it is the mean squares of the noise voltages or the noise powers in one and the same resistance which are additive. It is therefore useful to express signal-to-noise ratios not as ratios of signal and noise voltages but as ratios of powers, the more so as the essential problem in most amplifiers for metric waves is power gain.

Basing the considerations on these lines it is logical to introduce the concept of available power, i.e. the power obtainable from the source concerned at optimum matching. For a signal source with a no-load signal voltage  $E_s$  and an internal resistance  $R_s$  this power is:

$$P_{\mathfrak{s}}=\frac{{E_{\mathfrak{s}}}^2}{4R_{\mathfrak{s}}}.$$

Similarly, the available noise power of the signal source is:

$$P_n=\frac{\overline{E_n}^2}{4R_s};$$

the noise voltage  $\overline{E_n}^2$  is defined with greater accuracy later. It is obvious that no purpose is served by considering noise outside the pass-band of the amplifier.

The signal-to-noise ratio at the input of an amplifier is now taken to be  $P_{si}/P_{ni}$ , whether the amplifier is correctly matched to the signal source or not. This ratio obviously is a characteristic of the signal source.

The available powers of the signal and noise at the output of an amplifier are denoted by  $P_{so}$  and  $P_{no}$  respectively, and at a given matching between signal source and amplifier the signal-to-noise ratio is  $P_{so}/P_{no}$ . At this matching the noise factor N is by definition:

$$N = \frac{P_{si}/P_{ni}}{P_{so}/P_{no}}.$$
(77)

The noise factor N thus indicates to what extent the signal-to-noise ratio

#### Noise

deteriorates when the signal passes through the amplifier <sup>1</sup>). N is not a characteristic of the amplifier considered but of the amplifier with the signal source to which it is to be connected. Eq. (77) gives a somewhat different impression if it is written:

$$N = \frac{P_{no}}{P_{ni} \cdot \frac{P_{so}}{P_{si}}} = \frac{P_{no}}{P_{ni} \cdot (G_P)_s}.$$
 (77a)

In eq. (77a) the numerator represents the total available output noise, i.e. the sum of the noises originating from the signal source and the amplifier under the given conditions. The denominator represents the available input noise  $P_{ni}$  multiplied in the same ratio as the signal power  $P_{si}$ . In the third member of eq. (77a) this gain is denoted by  $(G_P)_s$ , the "available power gain". If no additional noise were introduced by the amplifier the denominator would obviously be identical to the available output noise. The noise factor N considered in this way thus represents the ratio, at the output, of the total noise from the signal source and amplifier to the noise originating from the signal source alone. This and the former definition are obviously concordant and each has its merit.

The last definition clearly shows that the signal strength has no influence on the noise factor, a fact which might not be expected so readily from eq. (77). Eq. (77*a*) moreover shows that the noise from the signal source on the other hand largely influences the noise factor — in other words, it again stresses the fact that the noise factor applies to the combination of amplifier, signal scource and their interconnection. Therefore, when quoting a value of N per se, this is obviously based on the conditions of source and matching identical to those under which the amplifier will be used.

As far as the signal source is concerned, only the noise power appears to affect N, so that this source could be characterized by its internal resistance  $R_s$ . It should be recognized that the same resistance  $R_s$  has a different noise power  $P_{ni}$  at different temperatures. In quoting a value for N it is often customary to assume  $R_s$  to be at room temperature, for which the value of 288 °K is preferably employed, round figures then being obtained when a diode is used as a source of noise. Under this condition the noise factor N is considered as the standard noise factor.

Should the temperature of  $R_{s}$  differ from 288 °K or if the signal source were to contain another source of noise, owing to which the apparent

<sup>&</sup>lt;sup>1</sup>) See, for example, H. T. Friis, Noise Figures of Radio Receivers, Proc. I.R.E. 2, p. 419, 1944.

#### 5.1 Definitions

temperature  $T_s$  is higher than 288 °K, this can be taken into account by introducing a so-called temperature ratio:

$$\alpha = \frac{T_s}{288}.$$
 (78)

The actual noise factor  $N_a$  of an amplifier preceded by such a signal source thus differs from the standard noise factor measured with  $R_s$  at 288 °K.

It is now necessary to clarify the definition of  $P_{ni}$ . Since  $\overline{dE^2} = 4kTR_s df$ , the available noise power, considered within an element df of the frequency spectrum, is:

$$\mathrm{d}P_{ni} = \mathrm{d}\left(\frac{\overline{E}^{2}}{4R_{s}}\right) = \frac{4\ k\ TR_{s}\mathrm{d}f}{4R_{s}} = k\ T\ \mathrm{d}f. \tag{79}$$

This shows, remarkably enough, that the available noise power  $P_{ni}$  is independent of the value of the internal resistance of the noise source.

As a rule only part of the entire spectrum is passed by the amplifier. If  $P_{ni}$  at the input were taken over the frequency range from zero to infinity and the integration carried out over the entire frequency spectrum, while for  $P_{no}$  at the output only the band passed by the amplifier were considered, the value of N thus obtained would obviously give an erroneous impression. Therefore, denoting the pass-band by  $B_n$ , the noise power  $P_{ni}$  at the input of the amplifier is taken to be:

$$P_{ni} = k T B_n. \tag{80}$$

The gain will usually not be uniform over  $B_n$ , and this pass-band will then have to be defined more accurately.

In deriving eq. (77*a*),  $P_{ni} \cdot (G_P)_s$  was assumed to be the available noise output originating exclusively from the signal source. Hence:

$$P_{ni}(G_P)_s = k T B_n(G_P)_s = \int_0^\infty k T(G_P)_f \mathrm{d}f,$$
$$B_n = \frac{1}{(G_P)_s} \int_0^\infty (G_P)_f \mathrm{d}f,$$
(81)

where  $(G_P)_f$  denotes the available power gain at the frequency f.

The measurement of the noise factor N of an amplifier, preceded by a standard frequency generator, is based on the third member of eq. (77*a*) and  $P_{no}$  is measured with a correctly matched output meter. (Correct matching at the output is, of course, not essential provided all measurements are carried out with the same matching.)  $(G_P)_s$  is derived from measurements carried out with a signal which largely exceeds the noise

level  $P_{ni}$  as defined by eq. (80).  $B_n$  follows according to eq. (81) from a response curve, bearing in mind that  $G_P$  is proportional to the square of the voltage gain G. Since the noise temperature  $T_s$  of the standard signal generator usually differs from 288 °K, this measurement gives:

$$N_{a} = \frac{P_{no}}{\hbar T_{s} B_{n} (G_{P})_{s}},\tag{82}$$

k being  $1.37 \cdot 10^{-23}$  joule /°K. If the noise temperature of the standard signal generator is  $T_s = 288$  °K the actual noise factor  $N_a$  is equal to the standard noise factor  $N_{st}$ . As mentioned above, the noise temperature  $T_s$  of the standard signal generator usually differs from 288 °K, i.e.  $T_s/288 = \alpha$ , in which case  $N_{st}$  is calculated from the measured values as follows:

 $N_{\rm st} = \frac{P_{no} - (G_P)_s \, k \, T_s B_n + (G_P)_s \, k \, 288 B_n}{(G_P)_s \, k \, 288 \, B_n},$ 

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$$N_{\rm st} = \frac{P_{no}}{(G_P)_s \ k \ 288 \ B_n} + 1 - \alpha. \tag{83}$$

Denoting the first term in the second member of eq. (83), which strongly resembles eq. (82), by  $N_{\rm m}$ , this gives:

$$N_{\rm st} = N_{\rm m} + 1 - \alpha. \tag{83a}$$

Thus  $N_m$  is a fictitious noise factor obtained when calculations are carried out according to eq. (82) and  $T_s$  is assumed to be 288 °K. To determine the actual noise factor the true value of  $T_s$  must be employed according to the definition of eq. (82), giving:

$$N_{\rm a} = N_{\rm m} \cdot \frac{288}{T_{\rm s}} = \frac{N_{\rm m}}{\alpha}.$$
 (82a)

In the above little attention was paid to the inherent noise of the amplifier itself. This is dealt with in detail in the following section, but it will be useful to discuss a few related conceptions here.

On page 60 eq. (77*a*) led to a definition of N as a ratio of noise powers at the output of the amplifier, but N can also be considered as the ratio of  $P_{no}/(G_P)_s$  to  $P_{ni}$ , i.e. of available powers at the signal source.  $P_{no}/(G_P)_s$ then consists of a part  $P_{ni}$  originating from the signal source and a part  $P_{nr}$  which represents the equivalent amplifier noise imagined to be located in the signal source. Eq. (77*a*) then becomes:

$$N = \frac{P_{ni} + P_{nr}}{P_{ni}} = 1 + \frac{P_{nr}}{P_{ni}}.$$
 (77b)

In this expression  $P_{nr}$  is the equivalent available noise power of the amplifier reflected into the signal source. This again is a figure which depends on the matching between signal source and amplifier.

A different method of expressing the inherent noise (for frequencies at which no induced grid noise occurs) has long been employed for amplifying valves, where the concept of equivalent noise resistance  $R_{eq}$  is used, i.e. a fictitious resistance at room temperature connected in series with the grid. The actual noise causes are then imagined to be absent, but  $R_{eq}$  is assumed to have a value such that the noise voltage across it gives rise to the same noise as that normally present in the anode circuit.

Similarly, it is often useful to consider the inherent noise of a complete amplifier as originating from an equivalent resistance  $R_n$  at its input, but in this case it should be recognized that the value of  $R_n$  may depend both on the signal source and on the amplifier.

## 5.2 CALCULATION OF THE NOISE FACTOR

It will now be shown how to calculate the noise factor N, for several cases, from the equivalent noise resistance  $R_n$  at the input grid of a given amplifier.

## 5.2.1. Noise factor of an amplifier without input damping

When an amplifier, the input damping g of which is assumed to be zero, is connected direct to a

signal source with an internal resistance  $R_s$  (see fig. 53), the noise factor N can be calculated from the definition given by eq. (77*a*).

The available noise power at the output  $P_{no}$ is directly proportional to  $R_s + R_n$  at the input.

Fig. 53. Equivalent circuit of an amplifier having no input conductance and a definite, equivalent noise resistance  $R_n$ , connected to a signal generator with a noise resistance  $R_s$  and a noise temperature T.

If the inherent noise of the amplifier were absent  $(R_n = 0)$ , the noise would be directly proportional to  $R_s$ . Hence:

$$N = \frac{R_s + R_n}{R_s} = 1 + \frac{R_n}{R_s}.$$
 (84)

If the temperature ratio of the signal source is  $\alpha$ , its noise power must be multiplied by  $\alpha$  and the actual noise factor obviously becomes:

$$N_a = 1 + \frac{R_n}{\alpha R_s}.$$
 (84a)

By rewriting eq. (84) as:

$$R_s + R_n = NR_s, \tag{84b}$$


it becomes clear that the two noise generators of fig. 53 may be replaced by one equivalent noise generator the average voltage of which is  $\sqrt{4 \ kTNB_nR_s}$ . The total noise of an amplifier preceded by a signal source may thus be imagined to be caused by  $R_s$  having a temperature NT, or it may be imagined that a noise-free signal generator is followed by an amplifier with an equivalent noise resistance  $NR_s$  having a temperature of 288 °K.

The latter representation may be useful for determining the "equivalent noise voltage" at the input of the amplifier. Advantage can then be taken of the well-known characteristic of resistances at 288 °K, i.e. that the square of the noise voltage is:

 $(4 \mu V)^2$  per k $\Omega$  and per Mc/s bandwidth.

### 5.2.2 Noise factor of an amplifier with input damping

The calculation of the noise factor of an amplifier, which has an input conductance g = 1/R and is connected direct to a signal source with a



Fig. 54. Equivalent circuit similar to that of

fig. 53, but with the amplifier having an input

conductance g = 1/R.

resistance  $R_s$  (see fig. 54), is based on the same lines.

 $P_{no}$  is determined by the combination of  $R_s$  and Rconnected in parallel and  $R_n$ connected in series, i.e. by

$$R_n+\frac{R\,R_s}{R+R_s}$$

The noise voltage of  $R_s$  is distributed over  $R_s$  and R, so that, if all inherent noise,

i.e. that of  $R_n$  and R, is imagined to be zero, the available noise power at the output is determined by:

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$$R_s \left(\frac{R}{R+R_s}\right)^2,$$

giving for the noise factor:

$$N = \frac{R_n + \frac{RR_s}{R + R_s}}{R_s \left(\frac{R}{R + R_s}\right)^2} = \frac{R + R_s}{R} + \frac{R_n}{R_s} \cdot \left(\frac{R + R_s}{R}\right)^2.$$
(85)

The limit of N for  $R \rightarrow \infty$  obviously again gives eq. (84).

## 5.2.3 Noise factor of an amplifier with matching transformer

An amplifier connected to the signal source via a matching transformer with a ratio 1 : n, is equivalent to an amplifier connected to a signal source having a resistance  $n^2R_s$ . To calculate N it therefore suffices to replace  $R_s$  in eq. (85) by  $n^2R_s$ , which gives:

$$N = 1 + \frac{n^2 R_s}{R} + \frac{R_n}{n^2 R_s} \cdot \left(1 + \frac{n^2 R_s}{R}\right)^2.$$
 (86)

The noise factor is at a minimum when:

$$n^2 = \frac{R}{R_s \sqrt[3]{1 + \frac{R}{R_n}}}.$$
(87)

To avoid reflections in the aerial cable it is customary to match the H.F. amplifier of a television receiver to the aerial (having a resistance  $R_s$ ) such that:

$$n^2 = \frac{R}{R_s},\tag{87a}$$

but this is not the most favourable matching with regard to the noise factor. If, however,  $R_n$  is large compared with R, eq. (87) differs but little from eq. (87*a*).

The practical noise factor obtained from eqs (86) and (87a) is:

$$N_{\text{pract}} = 2 + \frac{4R_n}{R}.$$
 (86a)

This expression shows that even if an amplifier with no noise  $(R_n = 0)$  were used, i.e. if the inherent noise were exclusively determined by the input resistance,  $N_{\text{pract}} = 2$ . It is seen from eq. (86) that N could be reduced by choosing  $n^2$ 

smaller than  $R/R_s$ .

5.2.4 Noise factors of two amplifiers in cascade

The noise factors of two amplifiers  $A_1$  and  $A_2$  (of equal bandwidth  $B_n$ ), to be used in cascade behind a given signal source (see

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Fig. 55. Equivalent circuit of a signal source S followed by two amplifiers  $A_1$  and  $A_2$  in cascade.

fig. 55), are assumed to be  $N_1$  and  $N_2$  respectively. As pointed out on page 60,  $N_1$  is valid for the combination of  $A_1$  with the signal source S, whereas

5.2.3

 $N_2$  is valid for  $A_2$  connected to a signal source having the same impedance as the output of  $A_1$ .

The value of the total noise factor N of two amplifiers connected in cascade, expressed in  $N_1$  and  $N_2$ , will now be investigated. First the inherent noise of  $A_2$  is reflected into the input of the amplifier as an available noise power  $P_{nr2}$  originating from the output of  $A_1$ . (This explains why  $N_2$  has been defined as above.)

If the noise factor behind a signal source with an available noise power  $P_{ni}$  is  $N_2$ , then, according to eq. (77b):

$$N_2 = 1 + \frac{P_{nr_2}}{P_{ni}},$$

whence:

$$P_{nr_2} = (N_2 - 1) P_{ni}.$$

(Since, in defining  $N_1$  and  $N_2$ , the noise temperature of the signal source and the bandwidth were assumed to be identical,  $P_{ni}$  at the input of  $A_2$ and  $P_{ni}$  of the signal source are identical; see eq. (80).)

It is now possible to go a step further by imagining  $P_{nr_2}$  to originate from the signal source, as an available noise power which now is  $1/(G_P)_1$ times  $P_{nr_2}$ . The inherent noise power of  $A_2$ , reflected into the signal source, is therefore:

$$\frac{(N_2 - 1) P_{ni}}{(G_P)_1}$$
(88)

Similarly, the inherent noise power of  $A_1$  can also be reflected into the signal source, its value then being:

$$P_{nr_1} = (N_1 - 1) P_{ni}. \tag{89}$$

The inherent noise of the total circuit connected in cascade, reflected into the signal source  $P_{nr}$ , is obviously equal to the sum of eqs (88) and (89). Calculating the total noise factor N from eq. (77b), gives:

$$N = 1 + \frac{P_{nr}}{P_{ni}} = 1 + \frac{1}{P_{ni}} \cdot \left\{ \frac{(N_2 - 1) P_{ni}}{(G_P)_1} + (N_1 - 1) P_{ni} \right\}.$$

Hence:

$$N = N_1 + \frac{N_2 - 1}{(G_P)_1}.$$
(90)

If the noise factor of the second stage is of the same order as that of the first stage following the signal source (the aerial), the noise contribution of the second stage is obviously negligibly small compared with the total noise, provided the power gain  $(G_P)_1$  of the first stage is large.

In deducing eq. (90), the matching of  $A_1$  to the signal source need not be

considered. It should be noted, however, that incorrect matching is necessarily accompanied by a decrease of  $(G_P)_1$ . In other words, incorrect matching to the aerial increases the noise contribution of  $A_2$ .

# 5.3 CAUSES OF NOISE IN A VALVE

In the previous sections the inherent noise of an amplifier was represented by an equivalent noise resistance  $R_n$  or by a reflected noise power  $P_{nr}$ , without specifying the actual causes of this noise.

The amplifying valves contribute largely towards this noise and this contribution consists, in turn, of various components, each having its own origin in the valve considered.

It is very important to note that the magnitude of some of these components depends on the frequency and it is therefore obvious that these cannot be imagined to originate from an equivalent noise resistance. In fact, if the inherent noise of a valve is expressed in the customary way by the equivalent noise resistance  $R_{eq}$  (see page 63), only the components which are independent of the frequency are inferred, and at frequencies below 30 Mc/s these are indeed practically the only sources of noise.

The following are the principal causes of noise in a valve.

### 5.3.1 Emission and partition noise

In the simplest type of valve, i.e. the diode, the shot effect of the emission is the only source of noise. In the case of a saturated diode the mean square of the noise component in the emission current, measured within a frequency band  $B_n$ , is:

$$\overline{I_e^2} = 2 e I_k B_n, \tag{91}$$

in which  $I_k$  denotes the cathode current.

When the emission current is not at saturation value the space-charge effect reduces the noise by the factor  $F_k$ , called the space-charge attenuation factor, giving:

$$\overline{I_e^2} = 2 F_k^2 e I_k B_n. \tag{91a}$$

This emission noise is also present in all values containing one or several grids and can be expressed <sup>1</sup>) in terms of the cathode temperature  $T_k$  and S', the so-called transconductance for the effective control voltage, so that eq. (91*a*) becomes:

$$\overline{I_c^2} = 0.64 \; (4k \; T_k \; S' \; B_n). \tag{91b}$$

<sup>&</sup>lt;sup>1</sup>) C. J. Bakker, The Causes of Voltage and Current Fluctuations, Philips Techn. Review 6, p. 129, 1941 (No. 5).

From eqs (91a) and (91b):

$$F_{k^{2}} = \frac{0.64 \cdot 4 \, k T_{k} \, S'}{2 \, e \, I_{k}}.$$
(92)

The transconductance S' is usually about 1 to 2 times the normal mutual conductance and  $T_k$  is approximately equal to 3.5 times the room temperature; hence  $F_k^2$  lies between 0.1  $S/I_k$  and 0.2  $S/I_k$ . For most cases a good approximation is:

$$F_{k^2} \approx 0.125 \cdot \frac{S}{I_k}.$$
 (92a)

There is a striking resemblance between eq. (91b) and the expression for the Johnson noise in a resistance, viz.:

$$\overline{I^2} = \frac{\overline{E_n^2}}{R^2} = \frac{4k \ TR \ B_n}{R^2} = \frac{4k \ T \ B_n}{R}.$$
(91c)

Clearly 1/S' in eq. (91b) plays the same part as R in eq. (91c).

In addition to the emission noise the irregularity of the "partition" of the current between anode and screen grid also comes into play in multigrid valves. The so-called partition noise thereby caused is given by the expression:

$$\overline{I_{p^2}} = 2e \cdot \frac{I_a I_{g_2}}{I_k} \cdot B_n = 2F_p^2 e I_a B_n, \qquad (93)$$

from which the partition noise factor is:

$$F_p^2 = \frac{I_{g_2}}{I_k}.\tag{93a}$$

The emission and partition noise currents are obviously manifest in the anode circuit. The emission noise has been shown to be proportional to the cathode current  $I_k$ , whereas the partition noise is proportional to the anode current  $I_a$ . If, to a first approximation,  $I_a$  is assumed to be equal to  $I_k$  in tetrodes and pentodes, and the two noise currents in the anode circuit are added, then:

$$\overline{(I_{e+p})^2} = 2F_a^2 e I_a B_n, \tag{94}$$

in which, according to eqs (91a) and (93):

$$F_a^2 \approx F_k^2 + F_p^2. \tag{95}$$

The equivalent noise resistance  $R_{eq}$  is now considered as the cause of the noise current  $(\overline{I_{e+p}})^2$  according to eq. (94), and can therefore be calculated from:

 $4 kTB R_{eq} S^2 = 2 F_a^2 e I_a B,$ 

5.3 Causes of noise in a valve

which gives 1):

$$R_{\rm eq} = \frac{e}{2kT} - \frac{F_a^2 I_a}{S^2} \approx 20 \frac{F_a^2 I_a}{S^2} \, (k\Omega). \tag{96}$$

 $R_{eq}$  can further be imagined to be composed of two resistances, one of which is responsible for the emission noise, and the other responsible for the partition noise. When the latter resistance is denoted by  $R_p$ , it is obvious that:

$$\frac{R_p}{R_{\rm eq}} = \frac{F_p^2}{F_p^2 + F_k^2} = \frac{1}{1 + \frac{F_k^2}{F_r^2}}.$$
(97)

This quotient will be denoted by  $K_3$ .

A simple approximation for  $R_{eq}$  is obtained by substitution of eq. (95) in eq. (96) after eqs (92*a*) and (93*a*) have been substituted in eq. (95), viz.:

$$R_{\rm eq} \approx \frac{I_a}{I_k} \cdot \left(\frac{2.5}{S} + 20 \cdot \frac{I_{g_2}}{S^2}\right) (k\Omega). \tag{96a}$$

From this formula it will be clear that:

$$R_p \approx 20 \cdot \frac{I_{g_2} I_a}{I_k S^2},\tag{98}$$

and

$$K_3 \approx \frac{1}{1 + \frac{S}{8I_{q_0}}}.$$
(99)

The following sections will show the advantage gained by splitting  $R_{eq}$  into  $R_{p}$  and  $(R_{eq} - R_{p})$ . As a rule, only  $R_{eq}$  is quoted in value data.

### 5.3.2 Induced grid noise

Owing to the finite transit time of the electrons the following effects occur:

(a) In a direct connection between the control grid and cathode additional fluctuations are induced, the values of which are given by:

$$\overline{I_g^2} = \text{constant} \cdot T_k S B_n \omega^2 \tau_{kg^2}, \qquad (100)$$

where  $\tau_{kg}$  denotes the transit time from cathode to control grid.

(b) The input circuit is subject to an additional damping  $g_{\tau}$ , viz.:

$$g\tau = \text{constant} \cdot S\omega^2 \tau_{kg}^2.$$
 (101)

<sup>1</sup>) The charge of the electron  $e = 1.6 \times 10^{-19}$  coulomb and  $k = 1.37 \times 10^{-23}$  joule/°K.

As shown in the literature referred to above, the fluctuations  $\overline{I_g^2}$  may be interpreted as the noise of the resistance  $R_{\tau} = 1/g_{\tau}$  if the temperature is taken to be equal to about 1.43  $T_k = \alpha_{\tau} 288$ ,  $\alpha_{\tau}$  being about 5, and assuming that there is no coherence between emission noise and induced grid noise.

This assumption is permissible here because, although there is coherence between these two kinds of noise and a possibility of noise compensation is thereby offered, such a compensation is difficult to achieve in practice. This possibility may therefore be disregarded and the more simple formulae which neglect coherence are used in this book, the slight improvement of N which might be obtained within a limited frequency range not being considered. This improvement may be expected to be less than 3 db.

## 5.4 CALCULATION OF THE NOISE FACTOR AT METRIC WAVES

### 5.4.1 General equations

The signal-to-noise ratio may undergo considerable changes due to feedback. At very high frequencies the self-inductance of the cathode lead gives rise to an additional input damping which reduces the signal strength. The noise in the input circuit (including the valve damping) is, however, also decreased by this damping and the same applies to the emission noise, which is reduced in the same proportion as the signal by the feedback in the cathode lead. The partition noise current, on the other hand, does not flow through the feedback circuit and remains unchanged.

Emission and partition noise are combined in the concept of "equivalent noise resistance", but since the feedback damping affects the emission noise and the partition noise differently, it is desirable to make a distinction between the two. For this reason, in addition to the equivalent noise resistance  $R_{eq}$ , the concept of "partition noise resistance"  $R_p$  has been introduced in Section 5.3.1, viz.:

$$R_p = \frac{F_p^2}{F_a^2} \cdot R_{eq}.$$
 (102)

Since the fictitious resistance  $R_t$  across the input, representing the damping caused by the cathode lead inductance, can be proved to produce no additional noise <sup>1</sup>), the equivalent is as shown in fig. 56.

<sup>&</sup>lt;sup>1</sup>) M. J. O. Strutt and A. van der Ziel, The Noise in Receiving Sets at Very High Frequencies, Philips Techn. Review 6, p. 178, 1941 (No. 6).

The formula for expressing the noise factor of such a general circuit is very complex<sup>1</sup>) (see Appendix IV), and it is very much easier to calculate

this factor for several simplified cases such as may occur or be approached in practice.

Four different cases are investigated below. These are all based on the assumption that the signal source is so matched to the amplifier that no reflections occur, i.e. that:

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Fig. 56. Equivalent circuit demonstrating the effect of feedback (represented by the fictitious resistance  $R_t$  across the input) on the signal-to-noise ratio.

The input damping is not a component of  $g_t$  and  $g_{\tau}$  and is therefore included in the circuit damping  $g_c$ .

 $\frac{1}{R_s} = \frac{1}{R_c} + \frac{1}{R_t} + \frac{1}{R_\tau},$ 

 $g_s = g_c + g_t + g_\tau \cdot$ 

(a) First the simplest case is considered, assuming the input damping  $g_i$  to be negligibly small with respect to the circuit damping  $g_c$ ;  $(R_c \ll R_i)$ . This condition may occur at low frequencies and corresponds to the case dealt with in Section 5.2.3, but  $R_n$  and R should now be replaced by  $R_{eq}$  and  $R_c$  respectively. Eq. (86a) thus becomes:

$$N_{\text{pract}} = 2 + 4 \frac{R_{cq}}{R_c}.$$
 (104)

(b) In the next case to be investigated the transit time damping  $g_{\tau} = 1/R_{\tau}$  of the value is assumed to predominate, while the circuit damping  $g_c = 1/R_c$  and the feedback damping  $g_t = 1/R_t$  are assumed to be zero.

Following the same line as in Sections 5.2.2 and 5.2.3, the ratio of the total noise output to the noise output without inherent noise is now determined, the noise powers being assumed to be proportional to the resistances from which they originate.

At correct matching the signal source has a resistance  $R_{\tau}$ , this being the assumed input resistance. The noise power originating from the signal source is now a constant multiplied by  $\frac{1}{4} R_{\tau}$ , the

<sup>&</sup>lt;sup>1</sup>) L. A. Moxon, The Noise Characteristic of Radar Receivers, J.I.E.E. 93 IIIA, p. 1130, 1946 (No. 6).

factor  $\frac{1}{4}$  accounting for the signal source being loaded by a resistance of the same value as the internal resistance.

The noise contribution of the transit time resistance is equal to the product of the same constant and  $\frac{1}{4} \alpha_{\tau} R_{\tau}$ , in which the factor  $\frac{1}{4}$ now accounts for the load of  $R_{\tau}$  by the signal source and  $\alpha_{\tau}$  having the meaning given in Section 5.3.2.

Finally, the unloaded resistance  $R_{eq}$  contributes towards the noise, so that the total noise originates from a resistance:

$$\frac{1}{4}R_{\tau} + \frac{1}{4}\alpha_{\tau}R_{\tau} + R_{eq},$$

giving for the noise factor:

$$N_{\text{pract}} = \frac{\frac{1}{4} R_{\tau} + \frac{1}{4} \alpha_{\tau} R_{\tau} + R_{eq}}{\frac{1}{4} R_{\tau}} = 1 + \alpha_{\tau} + 4 \frac{R_{eq}}{R_{\tau}}.$$
 (105)

(c) Whereas in the previous case only  $g_{\tau}$  of the input conductance was taken into account, the case where only the feedback conductance  $g_t$  is present will now be investigated. The circuit conductance  $g_c = 1/R_c$  is again assumed to be zero, and the resistance of the signal source is  $R_t$ .

The noise from the signal source is now directly proportional to  $\frac{1}{4} R_{l}$ . Of the total value noise the partition noise must be taken fully into account, so that this noise contribution is proportional to  $R_{p}$ . The noise contribution from  $(R_{eq} - R_{p})$ , on the other hand, is distributed over the resistance of the signal source and the resistance  $R_{l}$ . Since these two resistances are assumed to have the same value, only  $\frac{1}{4}$  of the square of this noise voltage is operative in the amplifier.

The total noise power is therefore determined by:

$$\frac{1}{4}R_t + R_p + \frac{1}{4}(R_{eq} - R_p),$$

giving for the noise factor:

$$N_{\text{pract}} = \frac{\frac{1}{2} \frac{R_{t}}{R_{t}} + \frac{R_{p}}{R_{p}} + \frac{1}{2} \frac{(R_{\text{eq}} - R_{p})}{\frac{1}{2} R_{t}} = 1 + 3 \frac{R_{p}}{R_{t}} + \frac{R_{\text{eq}}}{R_{t}}.$$
 (106)

(d) Finally, the two preceding cases will be combined, but for the sake of simplicity  $R_{\tau}$  is assumed to be equal to  $R_t$ , while  $R_{\tau}$  and  $R_t$  connected in parallel will now be denoted by  $R_i$ . The circuit conductance  $g_c = 1/R_c$  is again assumed to be zero (cf. fig. 56).

The noise originating from the signal source is now determined by  $\ddagger R_i$ , while the partition noise is determined by  $R_p$ . The source of the transit time noise, i.e. in first instance  $\alpha_{\tau}R_{\tau}$ , is loaded by  $R_i$  connected in parallel with  $2R_i$ , that is to say by  $\frac{2}{3}$   $R_i$ , and its internal

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resistance is  $R_{\tau} = 2R_i$ . The transit time noise power is thus given by:

$$\alpha_{\tau}R_{\tau}\cdot\left(\frac{\frac{2}{3}}{2\frac{2}{3}}\right)^{2} = \frac{1}{16} \alpha_{\tau} R_{\tau} = \frac{1}{3} \alpha_{\tau} R_{i}.$$
(107)

The equivalent voltage of the emission noise originating from  $(R_{eq} - R_p)$  is distributed over  $R_t$  and the parallel connection of  $R_t$  and  $R_t$ , i.e. over 2  $R_i$  and  $\frac{2}{3} R_i$ . This noise power is thus given by:

$$(R_{eq} - R_p) \left(\frac{2}{2\frac{2}{3}}\right)^2 = \frac{9}{16} (R_{eq} - R_p),$$
 (108)

giving for the noise factor:

$$N_{\text{pract}} = \begin{cases} N_{\text{pract}} = \frac{1}{2} \\ \frac{1}{2} \frac{R_i + R_p}{R_i} + \frac{3}{16} \frac{\alpha_{\tau} R_i + \frac{9}{16} (R_{\text{eq}} - R_p)}{R_i} = 1 + \frac{1}{2} \alpha_{\tau} + \frac{3}{2} \frac{R_p}{R_i} + \frac{3}{4} \frac{R_{\text{eq}}}{R_i}. \tag{109} \end{cases}$$

In the four cases investigated the matching of the signal source to the amplifier was assumed to be such that no reflection occurs. As shown in Section 5.2.3, matching may, however, be such that N is a minimum. For the calculation of this matching and of the values of  $N_{opt}$  obtained in that case, reference is made to Appendix IV.

Apart from case (a), which applies to low frequencies only, there appear to be but three quantities on which the noise factor depends, viz.:

 $\alpha_{\tau}$ , a constant  $\approx 5$ ,

- $R_{eq}/R_i$ , a quantity which depends only on the frequency and is a value quantity <sup>1</sup>),
- $R_p/R_i$ , which can be expressed in terms of  $R_{eq}$  by means of the factor  $K_3 = R_p/R_{eq}$ . For triodes  $K_3$  is obviously zero, while for pentodes  $1/K_3 \approx 1 + S/8I_{g2}$ ,  $K_3$  tending towards unity when the partition noise predominates, and being about  $\frac{1}{2}$  in the case of high-slope pentodes.

Because of the term  $R_i$  the quantity  $R_{eq}/R_i$  is proportional to the square of the frequency, viz.:

$$\frac{R_{\rm eq}}{R_i} = \left(\frac{f}{f_n}\right)^2,\tag{110}$$

where  $f_{\mu}$  is the noise reference frequency at which  $R_{eq}/R_i = R_{eq} \cdot g_i$  is unity.

Taking these considerations into account, the special cases mentioned

<sup>&</sup>lt;sup>1</sup>) It is true that  $R_i$  depends on the amount of feedback by the cathode lead, but the limit to which this effect can be reduced in practice may also be regarded as a valve characteristic.

above have been summarized in the following table in which, for the sake of simplicity, either the partition noise has been taken equal to zero  $(K_3 = 0$  in the case of triodes) or the emission noise has been ignored with regard to the partition noise  $(K_3 = 1$ , i.e. the extreme value for pentodes). In this table the values of  $N_{opt}$  (at matching for the minimum noise factor) are also indicated.

This table permits the noise factor to be determined at various frequencies and in the various "amplification ranges" as discussed in Section 1 (cf. figs 3 and 5).

Case	$N_{\text{pract}}$ at $K_3 = 0$ (triode circuit)	$N_{\text{pract}}$ at $K_{\mathfrak{z}} = 1$ (partition noise predominating)
a	$2+4rac{R_{ m eq}}{R_c}$	$2+4rac{R_{eq}}{R_c}$
ь	$6+4\frac{f^2}{fn^2}$	$6 + 4 \frac{f^2}{fn^2}$
c	$1 + \frac{f^2}{fn^2}$	$1+4\frac{f^2}{fn^2}$
đ	$\frac{1}{2} + \frac{2}{2} \frac{f^2}{/n^2}$	$\frac{5}{2} + 4 \frac{f^2}{fn^2}$
	$N_{\rm opt}$ at $K_3 = 0$	$N_{opt}$ at $K_3 = 1$
a'	$1+2rac{R_{ ext{eq}}}{R_e}\cdot\left(1+\sqrt{1+rac{R_e}{R_{ ext{eq}}}} ight)$	$1+2rac{R_{ m eq}}{R_e}\cdot\left(1+\sqrt{1+rac{R_e}{R_{ m eq}}} ight)$
ь'	$1 + 2 \frac{f^2}{f_n^2} \cdot \left(1 + \sqrt{1 + 5 \frac{f_n^2}{f^2}}\right)$	$1 + 2 \frac{f^2}{fn^2} \cdot \left(1 + \sqrt{1 + 5 \frac{fn^2}{f^2}}\right)$
c'	1	$1+4\frac{f^2}{fn^2}$
ď	$1 + \frac{f^2}{f_n^2} \cdot \left(1 + \sqrt{1 + 10 \frac{f_n^2}{f^2}}\right)$	$1 + 2 \frac{f^2}{f_n^2} \cdot \left(1 + \sqrt{1 + \frac{1}{2} \frac{f_n^2}{f^2}}\right)$

## 5.4.2 Range above $f_0'$

The conductance of the input circuit of an amplifier which is correctly matched to the aerial (assuming for the time being the noise temperature to be identical to room temperature) and which operates in the range above  $f_0'$  is due mainly to the signal source and the input conductance of the valve, and not to the circuit losses. With normal valves  $g_t$  and  $g_{\tau}$  may

 $\mathbf{\tilde{5}}$ 

be of the same order of magnitude and for the conditions outlined here, case (d) gives a good idea of the characteristics of N.

The noise factor of a pentode with predominating partition noise is:

$$N=\frac{1}{2}+4\frac{f^2}{fn^2},$$

while in the case of a triode the coefficient of  $f^2/f_n^2$  is smaller, for in that case:

$$N = \frac{1}{4} + \frac{9}{4} \frac{f^2}{fn^2},$$

These two expressions have been plotted in fig. 57. In comparing the

triode circuit with that of the pentode, it should, however, be realized that  $f_n$ , too, has a different value in each case. (As a result of the different values of  $R_{eq}$ , the noise reference frequency  $f_n$  of a pentode usually lies between 100 and 200 Mc/s, while in the case of a triode  $f_n$  lies usually between 200 and 400 Mc/s.) The resulting difference is shown in fig. 58.

Figs 57 and 58 show that the noise factor rapidly increases above the noise reference frequency  $f_n$ . An additional factor contributing towards this increase but not yet taken into account in these two graphs is that the more  $f_n$  is increased, the nearer will the gain reference frequency  $f_1$  be ap-



Fig. 58. Noise factor N as a function of the frequency for a valve operating above  $f_0$ , with the noise reference frequency  $f_n$  as parameter. The full lines again apply to pentode circuits with predominating partition noise and the broken lines to triode circuits.



Fig. 57. Noise factor N as a function of ///n for a valve operating above  $f_0$ . The full line applies to pentode circuits with predominating partition noise and the broken line to triode circuits. Matching is assumed to be correct for each frequency.

proached and the greater will be the reduction in gain. The noise of the second stage then becomes more and more important, so that the graphs must be corrected according to eq. (90). The magnitude of this correction depends on the ratio of  $N_1/N_2$  and of  $f_n/f_1$  of the first stage.

Since the 3 db bandwidth  $B_3$  increases with the frequency when  $f_0'$  is exceeded, the noise bandwidth may also increase (provided the circuit capacitance is not increased). It is, however, by no means certain that  $B_n$  increases, because  $B_n$  depends on the response curve of the complete amplifier.  $B_n$  has no

5.4.2

effect on the noise factor, but it does influence the signal-to-noise ratio. It is therefore desirable that this bandwidth is not increased more than necessary for amplifying this signal.

It should finally be noted that the assumed correct matching implies that for each frequency the transformer ratio is readjusted to the optimum value.

## 5.4.3 Range below $f_0'$

If in the range below  $f_0'$  the bandwidth B is kept constant, while the circuit capacitance C is minimum, then:

$$2 (g_i + g_c) = \text{constant} = 2 \pi BC.$$

At the upper limit of this range, i.e. at  $f_0'$ , the circuit damping  $g_c = 0$ , so that  $2g_i = 2\pi BC$ , while at low frequencies the input damping  $g_i = 0$  and  $2g_c = 2\pi BC$ .

Now in the extreme case of  $f = f_0'$ , assuming the matching to be correct, the formulae given for case (d) still apply, viz.:

$$N_{\text{pract}} = \frac{7}{2} + 4 \frac{f_0'^2}{f_n^2} = \frac{7}{2} + 4 R_{\text{eq}} \pi BC$$

if the partition noise predominates, and:

$$N_{\text{pract}} = \frac{1}{2} + \frac{9}{4} \cdot \frac{f_0'^2}{f_n^2} = \frac{7}{3} + \frac{9}{4} R_{\text{eq}} \pi BC$$

if a triode is used.

At low frequencies, however, if matching is correct, case (a) applies, giving for the above two conditions:

$$N_{\text{pract}} = 2 + 4 R_{\text{eq}} \pi BC. = 2 + 4 \left(\frac{f_0}{f_n}\right)^2$$

If a pentode is used it is seen that in the range between  $f_0'$  and, for



Fig. 59. Noise factor N of pentodes with predominating partition noise in the vicinity of  $f_n$  as a function of  $//f_n$ with the ratio  $f_n/f_0'$  as parameter  $(R_{\tau} = R_t)$ .



Fig. 60. Noise factor N of triodes in the vicinity of  $f_n$  as a function of  $f/f_n$  with the ratio  $f_n/f_0$  as parameter  $(R_{\tau}=R_t)$ .

example  $f_0'/3$ , the noise factor thus changes by about 2 units (cf. fig. 59); with triodes this improvement at decreasing frequency is less, and in some cases there may even be a deterioration (cf. fig. 60). This is because at lower frequencies the feedback conductance, with produces no noise, is

replaced by a resistance at room temperature in order to obtain the required bandwith. The advantage gained from the absence of inherent noise in the feedback damping is less in the case of a pentode than in the case of a triode.

The attenuation of the signal then exceeds the attenuation of the noise, since the cathode Fig. 61. Noise factor N of lead is not traversed by the partition noise.

As can be seen from figs 59 and 60, it is desirable to keep  $f_0'$  a factor of at least 2 with the ratio  $f_n/f_0'$  as parabelow  $f_n$ . This means that for a satisfactory



pentodes with predominating partition noise in the vicinity  $\cdot$  of  $f_0'$  as a function of  $f/f_0'$ meter  $(R_{\tau} = R_{t})$ .

noise factor the product of B' and  $C_i + C_x$  should be kept reasonably small, in other words that the gain of the aerial circuit should not be very low (cf. eq. 11b).

Eq. (111) applies when below  $f_0'$  the bandwidth is increased to the required value by shunting the input circuit by a resistance. A lower noise



Fig. 62. Noise factor N of pentodes with predominating partition noise in the vicinity of  $f_0$  as a function of  $f/f_0$  with the ratio  $/n//_0'$  as parameter, the circuit being damped by feedback in the cathode lead only.



Fig. 63. Noise factor N of triodes in the vicinity of  $f_0$  as a function of  $///_0'$  with the ratio  $/_n//_0'$  as parameter, the circuit being damped by feedback in the cathode lead only.

factor may be obtained by adjusting the input damping by means of the cathode lead inductance. Here again, the results are less favourable in the case of a pentode (fig. 62) than in the case of a triode (fig. 63), as the partition noise is not fed back in the cathode lead. It is, however, difficult to apply

this method in practice, and since  $f_0'$  is usually lower than  $f_n$ , the high noise factors above  $f_n$  cannot be reduced in this way (see figs 64 and 65),



Fig. 64. Noise factor N of pentodes with predominating partition noise in the vicinity of  $f_n$  as a function of  $f/f_n$  with the ratio  $f_n/f_0$  as parameter, the circuit being damped by feedback in the cathode lead.



Fig. 65. Noise factor N of triodes in the vicinity of  $f_n$  as a function of  $f/f_n$  with the ratio  $f_n/f_0'$  as parameter, the circuit being damped by feedback in the cathode lead.

nor can a considerable improvement of the noise factor be obtained by changing the aerial matching at the input (see fig. 66).

# 5.5 EFFECT OF REDUCING THE FEEDBACK CONDUCTANCE

To investigate to what extent the noise factor of pentodes can be improved by reducing the impedance of the cathode lead, case (d), where the circuit conductance is negligible and half the input conductance is due to transit time conductance, is once again taken as a starting point. The noise factor derived for this case:

$$N_{\text{pract}} = 1 + \frac{1}{2}\alpha_{\tau} + \frac{1}{4}\frac{R_{p}}{R_{i}} + \frac{2}{6}\frac{R_{\text{eq}}}{R_{i}}, \quad (109)$$

is now compared with the noise factor obtained when there is no feedback damping. The noise factor for the latter case is found by



Fig. 66. Noise factor N of pentodes with predominating partition noise as a function of ///n for two different aerial matchings, /n being small compared with  $f_0'$ . The full line applies when the input circuit is matched for maximum gain and the broken line when the input circuit is matched for minimum noise.

considering that case (b) then applies ( $g_c$  and  $g_t$  now being zero), while in this particular case the input resistance is twice the value of  $R_i$  when there is feedback, giving:

$$N_{\text{pract}} = 1 + \alpha_{\tau} + 2 \frac{R_{\text{eq}}}{R_i}.$$
 (112)

#### 5.5 Effect of reducing the conductance

As shown by fig. 67, this amounts to an increase of N below  $f_n$  but a decrease above  $f_n$  in the case of a pentode. The deterioration below  $f_n$  is due to the ratio of the transit time noise in the input circuit to the signal energy increasing as a result of the changed matching. The improvement above  $f_n$ , on the other hand, results from the signal voltage increasing, while the equivalent noise voltage at the grid remains constant (the partition fluctuations being unaltered).

Since reduction of the cathode lead impedance, in addition to decreasing the noise factor above  $f_n$ , also increases the gain (greater aerial gain), this method is very attractive.

Apart from reducing the feedback conductance, the reduction of the

cathode lead impedance leads to other improvements, limited, however, by the effects of stray capacitances, etc. and the required bandwidth. The improvement thus obtained can be determined to a first approximation by assuming that the expressions given for case (d) still apply, but that  $f_u$  is increased approximately as much as  $f_1$ . This assumption is actually a little too optimistic, because the reduction of the feedback conductance results in an increased apparent noise temperature of the input resistance, while the emission noise increases roughly in proportion to the signal.

Owing to this latter effect the signal-to-noise ratio is independent of the feedback conductance in the case of triodes, provided the aerial



Fig. 67. Noise factor N of pentodes with predominating partition noise as a function of ///n with the feedback conductance as parameter, the circuit conductance  $g_e$ being assumed to be zero. The full line applies when the feedback conductance is zero (case (b)) and the broken line when this conductance is equal to half the input conductance (case (d)).

transformer remains unchanged. By changing the transformer ratio in such a way that  $R_sg_i$  remains equal to unity, however, the noise factor can also be improved in this case by reduction of the cathode lead conductance. The inherent deterioration of the noise temperature of  $g_i$ , however, occasionally results in the increase of the noise factor being greater than the decrease brought about by the reduction of the conductance. At very high frequencies the reduced conductance nevertheless still improves the noise factor, owing to the increased gain reducing the noise contribution of the second stage (which may then no longer be disregarded in view of the small gain). It would lead too far to investigate the difficulties arising in practice, due among other things to the grid circuit conductance being reduced simultaneously via the anode circuit.

Summarizing, it can be stated that the noise factor rapidly increases above  $f_n$  and, apart from improving  $g_i$ , little can be done to counteract this effect.

# 5.6 CALCULATION OF THE SIGNAL-TO-NOISE RATIO FROM THE NOISE FACTOR

In order to derive the signal-to-noise ratio from the noise factor it should in the first place be taken into account that so far only the standard



Fig. 68. Minimum value of the aerial noise factor  $N_a$  at correct matching as a function of the frequency, with  $f_n$  as parameter. The full lines apply to pentodes with predominating partition noise and the broken lines to triodes, both in case (d).

noise factor has been considered, i.e. the noise factor of an amplifier following a signal source, the noise temperature of which is equal to room temperature.

At metric waves the noise temperature  $T_a$  of an aerial largely depends on the frequency and direction, owing to the contribution of the cosmic noise<sup>1</sup>) to the noise level of the aerial. At, say, 40 Mc/s the noise temperature of the aerial may vary for example between 5000 °K and 25,000 °K.

At higher frequencies, however, the noise temperature decreases and at the highest frequencies tends towards the absolute zero point. A calculation of the effect of

the cosmic noise by means of eq. (82*a*) shows that, at frequencies below 100 Mc/s,  $N_a$  lies considerably below N; see figs 58 and 68.

Owing to the influence of  $T_a$ , value differences (differing  $f_n$  values) have a smaller effect on  $N_a$  than on N. The noise output of a receiver is now given by:

$$P_{no} = N_{a}k T_{a} B_{n}(G_{P})_{s} = (N - 1 + \alpha) 288 k B_{n}(G_{P})_{s},$$

while the signal output is:

$$P_{so} = \frac{H^2 \lambda^2}{4\pi^2 R_{\rm rad}} \cdot (G_P)_s,$$

where H is the field strength in V/m and  $\lambda$  the wavelength in m. The signalto-noise (power) ratio at the output is thus:

$$\frac{P_{so}}{P_{no}} = \frac{H^2 \lambda^2}{4\pi^2 (N-1+\alpha) (288 \, k B_n R_{rad})} \,. \tag{113}$$

1) See the paper by Bakker quoted in footnote on page 67.

The minimum field strength  $H_{\min}$  required for a signal-to-noise (power) ratio  $P_{so}/P_{uo}$  is given by:

$$H_{\min} = \frac{\pi}{\lambda} \cdot \sqrt{\frac{P_{so}}{P_{no}}} \cdot \sqrt{N-1+\alpha} \cdot \sqrt{4k \ 288 \ B_n R_{rad}}.$$

The last factor of this product is equal to the effective noise voltage of  $R_{\rm rad}$  at standard room temperature over a bandwidth  $B_n$ . By putting  $R_{\rm rad} = 75 \ \Omega$  and  $B_n = 5 \ {\rm Mc/s}$ , this quantity becomes 2.4  $\mu V$ , giving:

$$H_{\min} \approx 0.05 f \sqrt{N-1+\alpha} \sqrt{\frac{P_{so}}{P_{no}}} \quad (\mu V/m), \qquad (114)$$

f being expressed in Mc/s.



Fig. 69. The value of  $(N - 1 + \alpha)$  of eq. (113) as a function of the frequency, with  $f_n$  as parameter, (a) with minimum cosmic noise and (b) with maximum cosmic noise. The full lines again apply to pentodes with predominating partition noise and the broken lines to triodes.

It should be realized that this formula gives the lowest value of H at which the desired signal-to-noise ratio could be achieved. In practice a higher value will be necessary because the aerial will not necessarily have the most suitable dimensions and direction, etc.

In fig. 69 the quantity  $(N - 1 + \alpha)$  of eq. (114) has been plotted as a

function of the frequency, the cosmic noise having been assumed to be minimum in fig. 69*a* and maximum in fig. 69*b*.

The required field strength for a signal-to-noise ratio of at least 14 db has been plotted as a function of the frequency in fig. 70.

In the foregoing the circuit impedances, the noise temperatures, the radiation resistance etc., have always been assumed to be constant over a



Fig. 70. Required field strength H for obtaining a signal-to-noise ratio of 14 db, as a

function of the frequency with  $f_n$  as parameter, a single dipole aerial being used. The full lines apply to maxmum noise conditions and the broken lines to minimum noise conditions.

least, on the noise reference frequency  $f_n$  of the first valve. For the higher television band, conditions differ considerably from those for the lower television band, due to the frequency dependence of the cosmic noise and of the noise factor of the valves.

frequency band having a width  $B_n$ , with no noise passed by the amplifier beyond this band. In normal T.V. receivers  $B_n$  is about equal to the 3 db bandwidth of the I.F. amplifier and since this band is fairly narrow compared with the range within which the above quantities are fairly constant, this assumption is justified in practice.

It should, be recognized however, that where the receiver has undesired responses, for example for the second channel, these frequency bands also contribute towards the noise. Moreover, where the input circuit is almost as selective as the other circuits (in some straight receivers for example),  $B_n$  is no longer a constant throughout the amplifier.

The general conclusion is, therefore, that the weakest signal which can be received reasonably will depend on the frequency, on the noise condition (aerial noise) and, last but not

# 6. FEEDBACK

## 6.1 GENERAL

One of the main difficulties in constructing H.F. and I.F. amplifiers for television is how to overcome the effects of feedback. These effects are only in part due to valve characteristics. The most important causes are listed below:

(a) Coupling between input and output leads. Provided the valve connections are so arranged that these leads can be well separated, this difficulty can always be avoided by a suitable layout.



Fig. 71. Circuit diagram (a) and corresponding functional diagrams showing the currents flowing through the chassis. Diagram (b) applies to a rectilinear layout, and diagram (c) to a layout which is not rectilinear, so that undesired coupling occurs at x.

(b) Coupling between the input and output circuits is often due also to the common earth lead. It should be recognized that the impedance of the chassis as earth lead is by no means negligible. The layout should preferably be such that the currents of the input and output circuits do not flow through the same part of the chassis (see fig. 71).

#### Feedback

- (c) Feedback of part of the output energy due to the chassis acting as a wave guide. Many different modes are possible, each of which has a definite attenuation per unit length. Long, narrow chassis are usually advisable. A narrow chassis, permitting a high gain per unit length, can be obtained by using valves of small dimensions. The feedback can, moreover, be minimized by providing the chassis with partitions, thereby impeding the passage of guided waves.
- (d) Coupling between the stages via the filament lead. This can be avoided by by-passing these connections.
- (e) Decoupling faults. Such faults are usually due to the self-inductance of the capacitors and of their connecting leads. Good results are nevertheless obtained when these leads happen to be in series resonance with the capacitor. (For example, when working at 65 Mc/s, this will be the case when the inductance of a 200 pF capacitor and its leads is  $0.03 \mu$ H.)
- (f) Feedback via the anode-to-grid capacitance. This is the main cause of instability arising from the valves.
- (g) Feedback from the cathode circuit to the grid circuit. This effect changes the input conductance and capacitance and, though trouble-some in most cases, can sometimes be used to advantage.
- (h) Feedback via the anode-to-cathode capacitance. This effect is of particular importance when taking advantage of the effect mentioned under (g) to influence the input admittance of the valve, for which purpose a fairly large impedance is incorporated in the cathode lead.

Only the effects mentioned under (f), (g) and (h) will be discussed in detail. The formulae required are derived for an amplifying stage in which all these three effects are present. The detailed discussion of each form of feedback is confined to a system in which only one of these effects is present.

## 6.2 BASIC FORMULAE

When considering the gain and bandwidth (see Section 1), use was made of an idealized amplifying stage in which the valve (with an input voltage  $V_i$  across the admittance  $Y_i$ ) functions as a constant-current generator supplying a current  $I_o = SV_i$  to the output admittance  $Y_o$ . In order to use this simplified representation when negative or positive feedback is present in the amplifying stage, it is first necessary to determine the values of the quantities S',  $Y_i'$  and  $Y_o'$  which are substituted for S,  $Y_i$  and  $Y_o$  in circuits where anode-to-grid, cathode-to-grid or anode-to-cathode feedback are present <sup>1</sup>).

The investigations are based on the following notations (see fig. 72):

To simplify the formulae, the following abbreviations have been introduced:

$$\frac{Y_5}{Y_1 + Y_3 + Y_5} = \rho,$$

and

$$(1 - \rho) Y_5 = \frac{(Y_1 + Y_3) Y_5}{Y_1 + Y_3 + Y_5} = Y_7.$$

If only  $V_i$ ,  $V_o$ ,  $I_i$  and  $I_a$  are considered, the complicated circuit of fig. 72



Fig. 72. Schematic representation of an amplifying stage for investigating the effect of anode-to-grid, cathode-to-grid or anode-to-cathode feedback.

can be regarded as a special case of the simpler circuit shown in





fig. 73, in which  $Y_i'$ , S' and  $Y_o'$  have been so chosen that the relations between these four quantities remain the same. The calculations of  $I_i$ ,  $I_a$  and  $V_o$  can then be based on the expressions:

$$I_i = V_i Y_i',$$
  

$$I_a = V_i S',$$
  

$$V_o = I_a / Y_o'.$$

<sup>&</sup>lt;sup>1</sup>) Control by and feedback from the screen grid and the effect of mutual inductances in the electrode connections will be disregarded.

#### Feedback

In order to make use of these simplified expressions,  $Y_i'$ ,  $Y_o'$  and S' must be expressed in terms of  $Y_1, \ldots, Y_7$  and of the anode transconductance  $S_a$  and the cathode transconductance  $S_k$ .

As shown in Appendix V, the factor  $F_s = S'/S_a^{-1}$  is then:

$$F_{s} = \frac{Y_{6} + Y_{7} - \rho Y_{3}}{Y_{6} + Y_{4} + Y_{7} + S_{k} - \rho S_{a}},$$
(115)

while

$$Y_{i} = Y_{2} + Y_{4}F_{s} + Y_{3} \cdot \frac{S' + Y_{1} + F_{s}Y_{5}}{Y_{1} + Y_{3} + Y_{5}}, \qquad (116)$$

and

$$Y_{o'} = \frac{Y_1 + Y_3 + Y_5}{1 - \frac{Y_3}{S'} - \frac{Y_5}{S'} \cdot (1 - F_s)}$$
(117)

The effect of the various types of feedback will now be investigated by means of eqs (115), (116) and (117). It is assumed that in each case only one form of feedback is present.

## 6.3 FEEDBACK FROM ANODE TO GRID

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## 6.3.1 Negative feedback by a resistance

To investigate the consequences of feedback by a (high) resistance  $R_{ag}$  between the anode and grid, the admittances  $Y_4$  and  $Y_5$  are assumed to be zero. Hence, both  $\rho$  and  $Y_7$  are also zero, which gives:

$$S' = \frac{S_a}{1 + \frac{S_k}{Y_c}},\tag{118}$$

and

$$Y_{o'} = \frac{Y_1 + \frac{1}{R_{ag}}}{1 - \frac{1}{S'R_{ag}}}.$$
 (119)

In practice  $G \ge 1$ , hence  $Y_1/S' \ll 1$ . Moreover,  $Y_3 = 1/R_{ag}$  will usually be made smaller than  $Y_1$ , so that also  $1/S'R_{ag}$  is likely to be much smaller than unity. Hence:

$$Y_o' \approx Y_1 + \frac{1}{R_{ag}} \approx Y_o. \tag{119a}$$

It may be concluded from eqs (118) and (119a) that the gain G of the

<sup>&</sup>lt;sup>1</sup>) In this case  $F_s$  is a complex quantity, but for the sake of simplicity this symbol was also used for the modulus in Section 1, instead of the rather unmanageable symbol  $|F_s|$ .

stage with negative feedback is substantially independent of the amount of feedback. This, however, is by no means the case with the gain of the preceding stage, since the input impedance of the stage in which feedback occurs is influenced by the amount of feedback. This can be shown as follows:

$$S' \gg Y_1$$
 and  $Y_1 > Y_3$ ,

so that, according to eq. (116):

$$Y_{i}' = Y_2 + \frac{Y_3 S'}{\left(1 + \frac{Y_3}{Y_1}\right) Y_1}$$
 (120)

since

 $Y_1 + S' \approx S'.$ 

Substituting  $1-Y_3/Y_1$  for  $1/(1 + Y_3/Y_1)$  gives:

$$Y_{i'} \approx Y_2 + \frac{S'}{Y_1 R_{ag}} - \frac{S'}{Y_1^2 R_{ag}^2}.$$
 (120a)

When the third term of eq. (120a) is negligible, this equation may be written:

$$Y_i' \approx Y_2 + \frac{S' Z_1}{R_{ag}}.$$
 (120b)

Introduction of a new quantity

$$p^2 = \frac{S'\hat{Z}_1\hat{Z}_2}{R_{ag}},$$

in which  $\hat{Z}_1$  and  $\hat{Z}_2$  represent  $Z_1$  and  $Z_2$  at the resonant frequency, gives:

$$Y_{i}' = Y_{2} + \frac{p^{2} Z_{1}}{\hat{Z}_{2} \hat{Z}_{1}}.$$
 (120c)

This expression shows that  $Y_i$  has the form of the input admittance

of a band-pass filter (see Appendix If). The response curve of the two stages is equal to that which would be obtained if they were coupled by the primary of a band-pass filter with  $k^2 Q_p Q_s = p^2$  (socalled  $Z_i$  coupling, see fig. 74).

By means of this circuit the response curve can be given a





Fig. 74. Principle of the Zi coupling.

#### Feedback

With a feedback amplifier it is difficult to keep the response under control owing to the fact that the response of the grid circuit of a feedback stage depends both on the tuning of the anode circuit and on the gain, whilst detuning of the circuits results in an asymmetrical response curve. In I.F. amplifiers for television it is therefore not customary to apply this type of negative feedback.

### 6.3.2 Feedback by the anode-to-grid capacitance

In order to investigate the effect of feedback by the anode-to-grid



Fig. 75. Practical circuit with tuned anode and control-grid circuits. As shown by eq. (116), for calculating  $Y_i'$  the cathode impedance may be omitted, provided the correct value is substituted for S'.

capacitance  $C_{ag}$  in a multi-stage amplifier it will first be assumed that feedback occurs only in stage number h of the amplifier. The calculation is based on the circuit of fig. 75.

 $Z_h$  denotes the impedance of the input circuit of stage h, and  $Z_{h+1}$  the impedance of the output circuit, while  $Z_h'$  denotes the input impedance of stage h (including the effect of the feedback via  $C_{ag}$ ), and  $(Z_{h+1})'$  the output impedance of this stage.

It has already been shown that  $(Z_{k+1})' = Z_{k+1}$  (cf. eq. (119*a*)) when

there is no feedback in stage h + 1. Assuming that  $G \ge 1$  or  $|V_g| \ll |V_a|$ , it can be shown that:

$$I_{ag} \approx -V_g S' Z_{h+1} j \omega C_{ag}.$$

Substitution of this expression in:

$$V_g = Z_h \left( I_i + I_{ag} \right)$$

$$\frac{I_i}{V_a} = Y_h (1 + j \omega C_{ag} S' Z_h Z_{h+1}),$$

which can also be written as:

 $Y_{h} = Y_{h} + j\omega C_{ag} S' Z_{h+1}.$  (120d)

This result could of course also be derived from eq. (120) by putting:

$$Y_1 = (Z_{h+1})^{-1}, \quad Y_2 = Z_{h-1} \text{ and } Y_3 = j\omega C_{ag}.$$

Eq. (120d) shows that both the real and the imaginary components of 88

 $Y_{h}$  are affected by the feedback and that this effect depends on the frequency. This is mainly due to the frequency dependence of  $Z_{h+1}$  and but little to the fact that  $\omega$  occurs in this expression.

If  $Z_{h+1}$  were a pure ohmic resistance at all frequencies, this would merely

be equivalent to an additional capacitance shunted across the input circuit (Miller effect), but since this is not the case, the frequency dependence of  $Y_h$  is of a more complex nature than in the case of an ordinary paralleltuned circuit. This is clearly demonstrated by the admittance and impedance curves (see Appendix Ia) given in figs 76 and 77, which show the polar diagrams of the input circuit, the bandwidth and tuning of the anode and grid circuits being assumed to be equal. The construction of these curves is shown later.

The admittance of the circuit, affected by the feedback, is represented by a vector with O as its origin, and with its extremity lying on the admittance curve at the point where this is intercepted by the broken line indicating the detuning  $\triangle f/\frac{1}{2}B$ . The horizontal projection of the vector represents the real component of the admittance, while its vertical projection represents the imaginary component. For an ordinary parallel-tuned circuit the admittance line is a vertical straight line (see Appendix I), and since

in a zone near resonance the imaginary component is proportional to  $\omega$ , this line can be provided with a linear frequency scale. If feedback is



Feedback

present, the extremity of the  $Y_h'$  vector no longer lies on this straight line but on one of the curves of fig. 76.

Each of these curves shows the variation of eq. (120d) at a variable detuning, i.e. for various signal frequencies and at a fixed value of a para-



Fig. 77. Impedance curves derived from the admittance curves of fig. 76 by inversion, i.e. a simple geometric transformation by which straight lines are usually transformed into circles. Z at the abscissa stands for the real part of Z and jZ at the ordinate for the imaginary part of Z.

meter  $p_h^2$ , which is a measure for the amount of feedback in stage h. To find this parameter, eq. (120d) is written:

$$\frac{Y_{h'}}{Y_{h}} = 1 + j\omega C_{ay} S' Z_{h} Z_{h+1}, \qquad (120e)$$

which shows that the shape of the  $Y_h'/Y_h$  curve depends only on:

$$p_{h}^{2} = \omega C_{ag} S' \hat{Z}_{h} \hat{Z}_{h+1}, \qquad (121)$$

and on:

$$r_h = \frac{Q_h}{Q_{h+1}}.$$
 (121a)

In fig. 76 the real component of Y becomes negative over a certain frequency range if  $p_{h}^{2} > 2$ ; this occurs first at the frequency at which the

circuits are detuned towards lower frequencies, so that an attenuation of  $\sqrt{2}$  is obtained. At this frequency the circuit may become unstable if the critical value  $p_h^2 = 2$  is exceeded.

The same result is obtained when the Nyquist criterion for the stability of feedback circuits is applied. According to this criterion the circuit may oscillate when in the feedback loop (grid circuit – valve – anode circuit – anode-to-grid capacitance) the gain is equal to unity at a phase shift of  $0^{\circ}$  or  $n \cdot 360^{\circ}$ , n being a whole number. This will be the case when:

$$S' Z_{h+1} \omega C_{ag} Z_h = 1$$

at a frecuency such that the sum of the phase angles of the two circuit impedances is 90°, so that the phase shift due to  $j\omega C_{ag}$  is compensated. When the two circuits are identical this condition is satisfied at a detuning of 45°, so that:

$$|Z| = \frac{\hat{Z}}{\sqrt{2}}.$$

The oscillatory condition is therefore:

$$\omega C_{ag} S' \ddot{Z}_h \ddot{Z}_{h+1} = 2.$$

The Y and Z curves of figs 76 and 77 apply to circuits which are affected by the  $C_{ag}$  of a valve loaded by a parallel-tuned circuit, the resonance frequency of which is the same as that of the grid circuit but which is not affected by feedback. In that case  $(Z_{h+1})' = Z_{h+1} = Z_h$ . In practice, however, several stages in cascade are used, intercoupled by circuits which are sometimes detuned and all of which are undamped by the  $C_{ag}$  of the following valve. For these conditions, eq. (120*e*) may be written:

$$\frac{Y_{h}'}{Y_{h}} = 1 - \frac{1}{\frac{\psi_{h} \cdot (Y_{h+1})'}{Y_{h+1}}},$$
(120/)

in which

$$\psi_{h} = \frac{j \hat{Z}_{r^{2}}}{p r^{2} Z_{h} Z_{h+1}},$$
(122)

where

$$p_r^2 = \omega C_{ag} S' \tilde{Z}_r^2, \tag{123}$$

where  $\hat{Z}_r$  is the impedance of the "reference circuit" of the staggered tuning system (see Section 2.2).

By expressing  $(Y_{h+1})'/Y_{h+1}$  again in terms of  $\psi_{h+1}$  and  $(Y_{h+2})'/Y_{h+2}$ , and so on, the expression for  $(Y_{h+1})'/Y_{h+1}$  assumes the form of a continued fraction. When these continued fractions are worked out,  $\hat{Z}_r$ , the quantities  $p_h^2$  and the circuit impedances  $Z_h$  will appear in the final result. Once the tuning frequencies, the amount of damping and the bandwidths

#### Feedback

of all stages (without feedback) are known, the admittance curve of each of the undamped circuits is given for given values of  $p_{\mu}^2$ . Conversely, a requirement imposed on the admittance diagram determines the maximum permissible value of  $p_r^2$  if  $\hat{Z}_r$ , S' and  $C_{ag}$  have the same values for all stages, as will often be the case.

## 6.3.3 Maximum permissible values of $p^2$

The methods for calculating maximum permissible values of  $p^2$  will now be discussed in detail.

First, feedback will be assumed to be present only in one stage, the



quency, for different values of  $p^2$ .

values of the detuning  $\Delta f_a$  of the anode circuit.

anode and grid circuits of which are synchronous and have the same bandwidth. The admittance curve of the grid circuit of such a stage has already been given in fig. 76. The permissible deviation of this curve from the

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A fo=0

straight line (at  $p^2 = 0$ ) depends on various conditions, but it is clear that  $p^2$  must in any case remain sufficiently far below the limit value of 2.

In the case of  $p^2 > 2$ , the circuit will oscillate at the frequency at which the imaginary part of  $Y_{h}$ ' is zero. This frequency is lower than the resonant frequency of the anode and grid circuits, as can be seen from fig. 76.

At  $p^2 = 2$  the circuit is just bordering on instability, and it is clear that it is necessary to observe a certain margin of safety to avoid spontaneous oscillation and ensure a good response curve.

Fig. 78 shows the response curves for several values of  $p^2$ . It appears from this figure that at  $p^2 = 0.5$  the irregularities in the frequency response curve are not very pronounced and a safety factor of about 4 will therefore suffice in many cases. When, however, the gain of the stage is varied by controlling the mutual conductance of the valve, the detuning and variation of bandwidth may become excessive at  $p^2 = 0.5$ , so that a higher safety factor will be required. The detuning  $\Delta f_{ag}$  by the anode-togrid capacitance may be roughly determined by taking the Miller capacitance as:

hence

$$C_{\mathrm{M}} = C_{ag} \cdot G = C_{ag} SZ_{h+1},$$
  
 $\frac{\omega C_{\mathrm{M}}}{\hat{Y}_{h}} = p^{2}.$ 

At a detuning of  $\frac{1}{2}B$  the value of  $Y_h$  is equal to  $\omega\Delta C$  (cf. Appendix 1, fig. 107), and since

$$\frac{\Delta C_1}{\Delta C_2} = \frac{1}{2} \cdot \frac{\Delta f_1}{\Delta f_2},$$

the detuning caused by the Miller capacitance is:

 $\Delta f_{ag} = \frac{1}{4} B \cdot p^2.$ 

The limit of stability can also be calculated from fig. 79*a*, i.e. the vector diagram from which fig. 76 was derived. The construction of fig. 79*a* follows from eq. (120*d*). A vector of length  $\omega C_{ag}S'Z_{h+1}$ , which is perpendicular to the  $Z_{h+1}$  vector, must be added to the  $Y_h$  vector. Now  $Y_{h+1}$  is identical to  $Y_h$  — the locus of which is a straight line — so that  $Z_{h+1}$  (derived from  $Y_{h+1}$  by inversion) is represented by a circle. The construction of  $Z_{h+1}$  is based on the fact that its phase angle is numerically equal but of opposite sign to the phase angle of  $Y_{h+1}$ . The locus of the vector  $j\omega C_{ag}S'Z_{h+1}$  is also a circle, but with the point of origin shifted over 90°.

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As this vector must be added to the  $Y_h$  vector, the origin of this circle (the dotted circle of fig. 79*a*) lies on the  $Y_h$  line. The maximum length of the vector to be added is  $\omega C_{ag} S \hat{Z}_{h+1}$ , so that the diameter of the dotted circle must be:

$$\omega C_{ag} S' \tilde{Z}_{h+1} = p^2 \tilde{Y}_h.$$

It is obvious that the real part of  $Y_h$ ' cannot become zero or negative unless  $\frac{1}{2}p^2\hat{Y}_h \geq \hat{Y}_h$ , i.e. unless  $p^2 \geq 2$ .

The changes to which the admittance curves of the input circuit (with feedback) are subject when the anode and grid circuits are staggered, can be investigated from the construction of this diagram.



Fig. 79. Vector diagram for determining the maximum permissible value of  $p^2$ , (a) in the case where the anode and grid circuits have the same bandwidth and are tuned to the same frequency, (b) in the case where the anode and grid circuits have the same bandwidth but are tuned to different frequencies, and (c) in the case where the anode and grid circuits have different bandwidths and are tuned to different frequencies.

If the anode circuit is detuned by an amount  $\Delta f_a$  with respect to the grid circuit, the origin  $O_x$  of fig. 79*a* is shifted vertically with respect to  $O_y$  over a distance  $\Delta f_a$  (see fig. 79*b*). The only change in fig. 76 then consists of an equally large displacement of O in the opposite direction, but the changes of fig. 78*a* are somewhat more complicated (see fig. 78*b*). In general it may be said that the detuning makes the response curve slightly more regular. The permissible value of  $p^2$  does not, however, increase noticeably until  $\Delta f_a$  exceeds the value of B. When the anode circuit is tuned to a higher frequency the detuning of the grid circuit caused by the Miller capacitance decreases, whereas, when the anode circuit is tuned to a lower frequency, the damping of the grid circuit increases, and the risk of instability is reduced, though at the expense of a greater detuning.

A second change to which fig. 79*a* is subject in the case of staggered tuning is manifest in the change of bandwidth of the anode circuit compared with that of the grid circuit. This results in a change of the  $\Delta f_a$  scale of  $Z_{h+1}$  (see fig. 79*c*). The curves of fig. 76 are now expanded or contracted in the vertical direction, but it is clear that in this case, too, instability is likely to occur when  $p_h^2 \geq 2$ .

It is therefore advisable also in the case of staggered tuning to choose a value of  $p_h^2$  which has a safety factor of, say, 4 with respect to the limit value of 2.

It is true that there are cases which are stable although  $p^2 > 2$ , but it is then necessary to avoid a particular value of the anode circuit detuning  $\Delta f_a$ . These frequencies then form the unstable region of the grid and anode circuits. This region increases with the value of  $p^2$  and disappears at  $p^2 < 2$ .

If in a circuit  $p^2 > 2$  it may occur that the unstable region is encountered when the set is being trimmed. During the trimming of a particular circuit the other circuits should then be damped to avoid this trouble-some phenomenon.

# 6.3.4 Staggered tuning

In practice the staggered circuits are given different bandwidths by varying the values of  $\hat{Z}_{h}$ , the circuit capacitances remaining practically unchanged, i.e.:

$$\bar{\ddot{Z}}_h = \frac{\hat{Z}_r}{\sin \alpha_h},$$

hence:

$$ph^2 = \frac{\omega C_{ag} S' \hat{Z}_r^2}{\sin \alpha_h \sin \alpha_{h+1}} - \frac{pr^2}{\sin \alpha_h \sin \alpha_{h+1}},$$

in which  $\alpha_h$  is the staggering angle of circuit *h*. It follows from this expression that the entire amplifier will not have the desired stability unless *n* conditions of the form:

$$p_r^2 \le p_h^2 \sin \alpha_h \sin \alpha_{h+1} \tag{124}$$

are satisfied in which  $p_h^2 \approx 0.5$ . In these formulae the staggering angles of two successive stages will always be found, and to avoid the necessity of an extremely low value of  $p_r^2$ , it is advisable to arrange the amplifier such that slightly and heavily detuned circuits follow each other alternately. Should this be impossible, then provision should at least be made for the successive stages to be alternately detuned, as far as possible, to either side of  $Z_r$ , the permissible value of  $p_h^2$  being thereby slightly

#### Feedback

increased. The fact is that if the limit of stability must necessarily be approached, more is to be feared from the reduction of damping than from the detuning caused by the feedback. In that case the grid circuit should be tuned to a higher frequency rather than the anode circuit (cf. fig. 78b).

It will now be investigated how the vector diagram of fig. 79 has to be constructed if the circuit h+1 is also the input circuit of an amplifier stage and is undamped via the anode-to-grid capacitance  $C_{ag}$ . The locus of the vector  $(Z_{h+1})'$  is then no longer a circle, but one of the curves of fig. 77, corresponding to the value of  $(p_{h+1})^2$ . Since it is desirable that not only the response curve of circuit h but also that of circuit h+1 has a regular form, the value of  $(p_{h+1})^2$  must be chosen fairly low. According to fig. 77, this will result in the locus of the  $(Z_{h+1})'$  vector differing but little from that of a circuit without feedback and having a maximum impedance of

$$\frac{\hat{Z}_{h+1}}{1-\frac{1}{2}(p_{h+1})^2}.$$

This expression will become clear when the minimum value of  $(Y_{h+1})'$  is calculated from eq. (120e) (see also fig. 76). In the construction the  $(Z_{h+1})'$  diagram is approached by the circular diagram of the vector of the abovementioned expression.

If it is desired to give circuit h a feedback parameter  $p_h^2$ , the stage in which this circuit h is connected to the grid of the valve must be designed for a smaller value of  $p^2$ , viz.:

$$p^2 = \omega C_{ag} S' \hat{Z}_h \hat{Z}_{h+1}.$$

Since the actual amount of feedback is determined by:

$$ph^{2} = \omega C_{ag} S' \hat{Z}_{h} (\hat{Z}_{h+1})' = \omega C_{ag} S' \hat{Z}_{h} \cdot \frac{\hat{Z}_{h+1}}{1 - \frac{1}{2} (p_{h+1})^{2}}$$

the condition

$$p^2 = ph^2 \left\{ 1 - \frac{1}{2} (p_{h+1})^2 \right\}$$

must be satisfied. Since  $\frac{1}{2}(p_{h+1})^2$  is usually kept well below unity,  $p^2$  need not differ appreciably from the chosen value of  $p_h^2$ . An exact calculation of the required value of  $p_h^2$  according to the rather complicated method indicated at the end of Section 6.3.2 is therefore not necessary, and in practice it will suffice to determine  $p_h^2$  as if there were no feedback in the other stages and to use the value thus found in all further calculations. It should, however, be taken into account that the product  $\omega C_{ag}S'\hat{Z}_h\hat{Z}_{h+1}$  is lower than the value of  $p_h^2$  that determines the amount of feedback in stage h, by a factor  $1 - \frac{1}{2}(p_{h+1})^2$ . It should be realized that this method becomes less accurate when heavy feedback is present. As a result of this feedback the difference between  $(Z_{h+1})'$  and  $Z_{h+1}$  will then be considerable, so that the response curve of this circuit will deviate greatly from the desired shape. As a rule measures will then be taken to compensate these deviations, at least partially, by detuning and additionally damping circuit h+1, thus rendering  $(Z_{h+1})_{1}'$  near its resonance again almost equal to the value prescribed by the staggering scheme. In this case also  $p_{h}^{2}$  can therefore be calculated as if feedback were present in stage h only. It will then, necessary be however, to fill in the corrected value for  $(Z_{h+1})$ , which is almost equal to the value required by the staggering scheme.

#### 6.3.5 Maximum permissible gain

It will now be assumed that the maximum permissible value of  $p^2$  has been determined in accordance with the preceding formulae. The maximum permissible gain  $G_{max}$  of each stage will then obviously be determined by the characteristics of the valve. For a staggered amplifier it follows from eq. (123) that:

$$(S'\hat{Z}_r)^2 = p_r^2 \cdot \frac{S'}{\omega C_{ag}} = p_r^2 \cdot \frac{S_{\max}}{2\pi f_r C_{ag}} \,. \tag{125}$$

This expression can be further simplified by introducing the concept of stability reference frequency  $f_s$ :

$$f_{\rm s} = \frac{S_{\rm max}}{2\pi C_{ag}}, \qquad (126)$$

which finally gives:

$$G_{r\max} = p_{r\max} \sqrt{\frac{f_s}{f}}.$$
 (127)

This expression shows that  $f_s$  is the frequency at which  $G_{\max}$  would be equal to  $p_{\max}$ , assuming the above formulae to be valid even at the high frequencies concerned. This assumption, however, is by no means permissible, since at these frequencies the "dynamic value" of  $C_{ag}$ , which determines the feedback, is no longer equal to the "static value", valid at low frequencies.  $f_s$  is, therefore, only an extrapolated value by means of which the permissible gain, in the range for which eq. (125) is valid, can be determined.

In Section 2.2.2 it was shown that, at the mid frequency,  $G_h$ , i.e. the gain of stage h, is equal to  $G_r$ , the gain of a stage with the reference circuit

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connected to the anode of the value. Substitution of the value of  $p_r$  from eq. (124) therefore gives:

$$G_h \leq p_h \sqrt{\sin \alpha_h \sin \alpha_{h+1}} \cdot \sqrt{\frac{ls}{l}}.$$
 (128a)

As already shown, a reasonable value for  $p_h^2$  is, say, 0.5. In practice  $p_h \sqrt{\sin \alpha_h \sin \alpha_{h+1}}$  can be about 0.5. This roughly amounts to:

$$G_{hmax} \approx 0.5 \sqrt{\frac{fs}{f}}$$
, (128b)

or (at the mid frequency!), for an amplifier with n stages:

$$G_{\text{tot max}} \approx \left(0.5 \ \sqrt{\frac{f_s}{f}}\right)^n.$$
 (128c)

#### Example

What will be the maximum permissible gain per stage of an I.F. amplifier for 35 Mc/s with a flat staggered quintuple if valves EF 80 are used and the circuit is also to remain stable (with a safety factor 4) during trimming?

According to Table 3 (p. XX) the smallest value of  $\sin \alpha_h$  is 0.31. Combining this value with  $\sin \alpha_{h+1} = 1$  gives:

$$\sqrt{\sin \alpha_h \sin \alpha_{h+1}} = 0.56$$
.

Table 1 (p. 168) shows that, for the EF 80,  $f_{\varepsilon} = 17 \cdot 10^4$  Mc/s, so that:

$$G_{h\max} = 0.7 \cdot 0.56 \sqrt{\frac{17 \cdot 10^4}{35}} = 27$$

If the sequence of the circuits were chosen less favourable so that the two values  $\sin \alpha_h = 0.31$  and  $\sin \alpha_{h+1} = 0.31$  are combined, the maximum permissible gain would be considerably less, viz.:

$$G_{h\max} = 0.7 \cdot 0.31 \left| \frac{17 \cdot 10^4}{35} \right| = 15.$$

A slightly higher value of  $G_{hmax}$  could be afforded in this case, since the limit of instability cannot be approached unless both circuits are considerably mistuned.

### 6.3.6 Coupling by means of band-pass filters (I.F. transformers)

It would as a rule result in a reduction of the maximum permissible gain if the same stringent requirements were imposed on circuits coupled by band-pass filters as on those coupled by single-tuned circuits.

This may be illustrated by the following example. The transimpedance of a bandpass filter with q = 0 is also zero, and so is the gain of an amplifier containing such stages. The input and output impedances of the band-pass filters, however, differ from zero, so that the circuit may become unstable even at zero gain.

If the circuit is required to remain stable during trimming (without special precautions being taken) the maximum permissible gain per stage is smaller by a factor of at least 2 than in single tuned circuits.

In the most unfavourable case the mutual detuning of the grid and anode circuits of one particular valve is such that the risk of spurious oscillations is greatest, whilst the other circuits are detuned to such an extent that their influence can be disregarded. The condition for stability is then:

$$p^2 = \omega C_{ag} SR_p R_s < 2.$$

If all band-pass filters are identical and symmetrical  $(R_p = R_s = R)$ , as is often the case with I.F. transformers in the sound channel of T.V. receivers, the maximum gain per stage is:

$$G_{\max} = SR \cdot \frac{q}{1+q^2} = p \cdot \frac{q}{1+q^2} \cdot \sqrt{\frac{f_s}{f}}.$$
 (127a)

The fraction  $q/(1 + q^2)$  is at the utmost 0.5, so that the maximum permissible gain is indeed smaller by a factor 2.

To avoid instability during trimming special measures are often taken,



Fig. 80. Polar diagram of the output admittance of a band-pass filter at the input of an I.F. stage, with and without feedback, in which  $q_1 = q_2 = 1$ ;  $r_1 = r_2 =$ r = 1 and  $\omega_{1p} = \omega_{1s} = \omega_{2p} = \omega_{2s}$ .

such as heavily damping all circuits except that which is being trimmed, or avoiding the unstable region. In that case higher values of  $p^2$  are permissible and either the risk of instability at correct tuning or the irregularities in the response of the amplifying stage caused by the feedback are then taken as the criterion for the maximum permissible value of  $p^2$ . In order to be able to judge the latter irregularities, it is necessary to calculate the output admittance curve at the input band-pass filter, the influence of the feedback being taken into account. It will as a rule suffice to determine the output admittance of this bandpass filter, which can be done

similarly to the construction of fig. 76 according to fig. 79. An example of such a polar diagram, with and without feedback, is

given in fig. 80.
This procedure has the drawback of being rather cumbersome. A less accurate method that saves much time consists in roughly determining the magnitude of the maximum relative change to which the  $Y_h$  vector is subject when the feedback admittance is taken into account. In the case of a single circuit, the relation

$$|Y_h' - Y_h| : |Y_h| = 0.5$$

(at  $p^2 = 0.5$ ) was taken to be permissible.

It may now be assumed that the permissible ratio is of the same order when the coupling consists of a band-pass filter,  $Y_h$  then representing the output admittance of this filter at the grid side.

In order to apply this procedure to stagger-damped band-pass filters of which  $Q_p = \infty$ , the admittances  $Y_i$  and  $Y_o$  of such a band-pass filter must first be expressed in terms of the quantities  $x_s$  and  $q_s$ , which are related to the secondary only, namely:

$$Y_{i} = \hat{Y}_{s} \frac{C_{1}}{C_{2}} \left( j x_{s} + \frac{q_{s}^{2}}{1 + j x_{s}} \right), \qquad (129)$$

and

$$Y_o = \ddot{Y}_s \left( 1 + jx_s + \frac{q_s^2}{jx_s} \right)$$
(130)

in which

$$Y_s = R_s$$
,  $x_s = \beta_s Q_s$  and  $q_s = k Q_s$ .

From these formulae it follows that the output admittance is the minimum when  $x_s = \pm q_s$ . For the frequencies at which this is the case, at a variation of  $x_s$ , the phase of  $Y_o$  changes much more quickly than that of  $Y_i$ .  $Y_o'$  passes through its minimum value in close proximity to  $|x_s| = q_s$ , which — as far as stability is concerned — is the most critical area.

From the staggering scheme it can easily be derived that, for the reference circuit in this region,  $x_r \approx 1$ . Hence, from eqs (129) and (130):

$$|Z_h| \cdot |Z_{h+1}| \approx \tilde{Z}_r^2 \cdot \frac{\sqrt{1+\sin^2 \alpha_{h+1}}}{\sin \alpha_h \cdot \sin \alpha_{h+1}}.$$
 (131)

It is clear that the maximum permissible gain largely depends on the staggering scheme in this case also. It is possible to choose this scheme so that eq. (131) assumes its minimum value for the critical stages. A rough calculation in which the mean value of  $\sin \alpha$  is taken to be approximately 0.7 gives:

$$G_{\max} \approx 0.8 \sqrt{\frac{f_s}{f}},$$
 (128d)

which appears to be slightly higher than the value of  $G_{\text{max}}$  found for the case where single circuits are used. It should, be realized however, that in the deduction of the formula for single circuits the exact point of the

response curve was considered at which the effect of the feedback was most detrimental, whereas in this case some point of the response curve "in the proximity of" this point is considered. An additional safety factor must therefore be observed, so that the maximum permissible gain is actually slightly lower than that of single circuits.

The disadvantage attached to the above procedure for quickly estimating the maximum permissible gain is that the results are rather vague and no insight is obtained into the difficulties which may be experienced at a slightly larger gain. It is therefore desirable to have a simple method for ascertaining at what gain the circuit becomes unstable when all circuits are correctly tuned. This

can be done by means of the following data:

- (a) The polar diagram of  $Z_o$  of the band-pass filter at the grid side;
- (b) the polar diagram of  $Z_i$  of the band-pass filter at the anode side.

Both diagrams must be provided with a frequency scale. The two diagrams are then placed on top of each other so that the poles Ocoincide, whilst the real axis of the anode impedance leads over 90°. When doing this, the reflected image of the grid impedance diagram must be taken, i.e. the direction of the real axis remains unchanged, but +j and -j are interchanged, so that the frequency scale of this diagram now runs in the opposite direction (see fig. 81).



Fig. 81. Polar diagrams of  $Z_o$  and  $Z_i$  from which the maximum permissible gain can be ascertained.  $r = Q_s |Q_p = 0.5$ . The circuit becomes unstable when:

 $\omega C_{ag} S' 0.3 \hat{Z}_{1s} 0.56 \hat{Z}_{2p} = 1,$ 

or

$$\omega C_{ag} S' \hat{Z}_{1^{s}} \hat{Z}_{2^{p}} = \frac{1}{0.3 \cdot 0.56} \, .$$

A line is then drawn through the origin, so as to intersect the two diagrams at the same frequency. This is the frequency at which the circuit starts to oscillate provided the gain is sufficiently high. Denoting the absolute magnitude of the two impedances for this frequency by  $|Z_a'|$  and  $|Z_{g'}|$  respectively, then the condition for stability becomes:

$$\omega C_{ag} S |Z_{a'}| |Z_{g'}| < 1.$$
(132)

This method can be applied also when the coupling network between

#### Feedback

the stages is of a more complex nature and consists, for example, of a circuit with wave traps for suppressing undesired signals.

The above method can easily be derived from the Nyquist criterion, in which case the polar diagrams of the complex gain  $\gamma = E_a/E_g$  and of the complex feedback factor  $\beta$  are determined. For the circuit to become unstable it is necessary that the sum of the phase angles of these two diagrams bezero, whilst the product  $|\gamma| \cdot |\beta|$ must moreover be equal to or exceed unty at the frequency at which this condition is satisfied.

These conditions can be expressed by:

$$\gamma\beta = \omega C_{ug} S |Z_a'| |Z_g'| \geq 1.$$

### 6.3.7 Deviations at higher frequencies

The above comments apply to I.F. amplifiers operating at frequencies below 30 Mc/s. At higher frequencies however, it is necessary to take into account the fact that the dynamic feedback capacitance  $C_{ag}$  may differ considerably from the static value  $C_{ag}$  (see Other Forms of Feedback, Section 6.6).

In the first instance  $C_{ag}$  decreases with the frequency and finally assumes a negative value. In this range:

$$C_{ag'} = C_{ag} \left\{ 1 - \left(\frac{f}{f_{s'}}\right)^2 \right\}, \qquad (133)$$

where  $f_s'$  is the frequency at which  $C_{ag'}$  is zero.

The calculation of the maximum permissible gain could be corrected by introducing a quantity  $f_s$  which depends on the signal frequency. It is, however, simpler to determine  $f_s$  from the static value of  $C_{ag}$  and to correct the equation for the maximum permissible gain as follows:

$$G_{\max} = p_{\max} \left[ \sqrt{\frac{f_s}{f \left\{ 1 - \left(\frac{f}{f_s'}\right)^2 \right\}}} \right].$$
(128d)

The values of  $f_s'$  are not value constants, but also depend to a certain extent on the wiring, the value holders, etc. For an EF 80 tube  $f_s' \approx 70 \text{ Mc/s}$  in normal circuits.

At frequencies exceeding  $f_s'$  the maximum permissible gain  $G_{max}$  rapidly decreases. Since the sign of the feedback admittance is now reversed, damping occurs at those detunings at which originally regeneration occurred, and vice versa.

When the signal frequency is further increased the transit time  $\tau$  usually also starts to play a part in the stability condition. Although the magnitude of S' remains practically unchanged, the phase shift may become quite considerable at frequencies from 100 Mc/s to 200 Mc/s. It is

due to this phase shift that the distortion of the response curve caused by the feedback is of a more symmetrical nature. When  $f\tau = \frac{1}{4}$  this distortion is purely symmetrical.

## 6.4 FEEDBACK BY THE CATHODE-TO-GRID ADMITTANCE

The investigation of the effect of feedback by the cathode-to-grid admittance is again based on equations (115) and (117). The admittances  $Y_3$  and  $Y_5$  (see fig. 72) are assumed to be zero, so that  $\rho$  and  $Y_7$  also become zero. This gives:

and

$$Y_{o}' = Y_{1} = Y_{o},$$

$$F_{s} = \frac{Y_{6}}{Y_{6} + Y_{4} + S_{k}} \approx \frac{1}{1 + S_{k}Z_{k}\left(1 + \frac{j\omega C_{gk}}{S_{k}}\right)}.$$
(134)

The input admittance is thus:

$$Y_{i} = Y_{2} + \frac{Y_{6}Y_{4}}{Y_{6} + Y_{4} + S_{k}}.$$
 (135)

Eq. (135) is now applied to a few practical circuits, where the input admittance of the value is governed by:

the capacitance  $C_{g1}$  of the control grid to earth  $(Y_2)$ ,

the capacitance  $C_{g_1k}$  of the control grid to the cathode  $(Y_4)$ ,

the capacitance  $C_{g_{1}g_{2}}$  of the control grid to the screen grid  $(Y_{2})$ ,

the conductance  $g_{g1}$  of the control grid to earth  $(Y_2)$ ,

the conductance of the control grid to the cathode  $(Y_4)$ , i.e. mainly the transit time damping  $g_{\tau}$ , and

the impedance in the cathode lead, consisting of a real component and an imaginary component connected in parallel, i.e.:

$$\frac{1}{Z_6} = Y_6 = g_6 + jb_6$$

Eq. (135) can be developed to:

$$Y_{i'} = g_{g_1} + j\omega(C_{g_1} + C_{g_1g_2}) + \frac{g_{\tau}g_6 - \omega C_{g_1k}b_6}{g_6 + g_{\tau} + S_k + j\omega C_{g_1k} + jb_6} + j\frac{\omega C_{g_1k}g_6 + b_6g_{\tau}}{g_6 + g_{\tau} + S_k + j\omega C_{g_1k} + jb_6}.$$

By eliminating the imaginary components from the denominator the third term becomes:

$$\frac{(g_{\tau}g_{\mathfrak{g}}-\omega C_{g_{1}k}b_{\mathfrak{g}})\{(g_{\mathfrak{g}}+g_{\tau}+S_{k})-j(\omega C_{g_{1}k}+b_{\mathfrak{g}})\}}{(g_{\mathfrak{g}}+g_{\tau}+S_{k})^{2}+(\omega C_{g_{1}k}+b_{\mathfrak{g}})^{2}},$$

and the fourth term becomes:

$$j \frac{(\omega C_{g_1k}g_6 + b_6g_7) \{ (g_6 + g_7 + S_k) - j(\omega C_{g_1k} + b_6) \}}{(g_6 + g_7 + S_k)^2 + \omega C_{g_1k} + b_6)^2}$$

The input damping is thus 1)

$$g_{i}' = g_{g_{1}} + \frac{(g_{\tau}g_{6} - \omega C_{g_{1}k}b_{6})(g_{6} + g_{\tau} + S_{k}) + (\omega C_{g_{1}k} + b_{6})(\omega C_{g_{1}k}g_{6} + b_{6}g_{\tau})}{(g_{6} + g_{r} + S_{k})^{2} + (\omega C_{g_{1}k} + b_{6})^{2}},$$

which may also be written as:

$$g_{i}' = g_{g_{1}} + \omega C_{g_{1}k} \cdot \frac{\omega C_{g_{1}k}g_{6} - b_{6}S_{k}}{(g_{6} + g_{\tau} + S_{k})^{2} + (\omega C_{g_{1}k} + b_{6})^{2}} + g_{\tau} \cdot \frac{g_{6}^{2} + b_{6}^{2} + g_{6}(S_{k} + g_{\tau})}{(g_{6} + g_{\tau} + S_{k})^{2} + (\omega C_{g_{1}k} + b_{6})^{2}}.$$
(136)

The magnitude of the imaginary component of  $Y_i$  is now:

 $(Y_i')_{imaginary} = \omega C_{g_1} + \omega C_{g_1g_2} +$ 

$$+\frac{(\omega C_{g_1k}+b_6)(\omega C_{g_1k}b_6-g_6g_7)+(\omega C_{g_1k}g_6+b_6g_7)(g_6+g_7+S_k)}{(g_6+g_7+S_k)^2+(\omega C_{g_1k}+b_6)^2}$$

which corresponds to an input capacitance of:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} \cdot \frac{g_6(g_6 + S_k) + b_6 \left\{ b_6 + \omega C_{g_1k} + (g_{\tau} + S_k) \cdot \frac{g_{\tau}}{\omega C_{g_1k}} \right\}}{(g_6 + g_{\tau} + S_k)^2 + (\omega C_{g_1k} + b_6)^2}.$$
(137)

By means of eqs (136) and (137) it will now be shown how the various cathode impedances influence the input circuit. Once the input conductance  $g_i$  has been calculated from eq. (136) for a particular case, the simplified expression thus obtained will be used to calculate the input conductance when other effects are considered. The following effects are of importance:

- (1) the damping caused by the self-inductance of the cathode lead,
- (2) the compensation of this damping when using an additional cathode capacitor,
- (3) the variation of the input capacitance by incorporating a small resistance in the cathode lead,
- (4) the variation of  $g_i'$  and  $C_i'$  if a resistor shunted by a fairly small capacitor is included in the cathode lead.

## 6.4.1 Self-inductance in the cathode lead

It is assumed that a very small self-inductance  $L_k$  is present in the cathode lead, so that:

$$\omega L_k S_k \ll 1$$
 or  $\left|\frac{S_k}{b_6}\right| \ll 1$ ,  
 $\left|\frac{g_{\tau}}{b_6}\right| < \left|\frac{S_k}{b_6}\right| \ll 1$ .

while

<sup>1</sup>) It is only in this section that, in analogy with the convention of p. 85, the input damping is denoted by  $g_i$ .

### 6.4 Feedback by the cathode-to-grid admittance

If the frequencies for which

$$\omega^2 L_k S_k C_{g_1 k} \ll 1$$
 or  $\left| \frac{\omega C_{g_1 k}}{b_4} \right| \ll 1$ 

are considered, i.e. only the frequency range below the resonance frequency of  $L_k-C_{g1k}$ , then, since  $g_6$  is zero, according to eq. (136) the **input** conductance is:

$$g_{i}' = g_{g_{1}} + \frac{g_{\tau}b_{6}^{2} - \omega C_{g_{1}k}b_{6}S_{k}}{b_{6}^{2} \left(\frac{g_{\tau}^{2}}{b_{6}^{2}} + \frac{2g_{\tau}S_{k}}{b_{6}^{2}} + \frac{S_{k}^{2}}{b_{6}^{2}} + \frac{\omega^{2}C_{g_{1}k}^{2}}{b_{6}^{2}} + \frac{2\omega C_{g_{1}k}}{b_{6}} + 1\right)}$$

The fractions with the square of  $b_6$  in the denominator are very small compared with unity and may therefore be ignored, which gives:

$$g_i' \approx g_{g_1} + \left(g_\tau - \frac{\omega C_{g_1k} S_k}{b_6}\right) \left(1 - \frac{2\omega C_{g_1k}}{b_6}\right)$$

or by putting  $b_6 = -1/\omega L_k$ :

$$g_{i}' = g_{g_1} + (g_{\tau} + \omega^2 L_k C_{g_1 k} S_k) \left( 1 + 2 \frac{f^2}{f_{g_1 k}^2} \right), \qquad (136a)$$

where

$$f_{g_1k} = \frac{1}{2\pi \sqrt{L_k C_{g_1k}}}.$$

In eq. (136a)  $\omega^2 L_k C_{g_{1k}} S_k$  represents the "feedback damping" caused by



Fig. 82. Example of the frequencydependence of  $g_i$ . If the relation were truly quadratic this dependence would be represented by the broken line.

#### Feedback

the self-inductance in the cathode lead. To a first approximation the input conductance  $g_i$  is a second-power function of the frequency, but the term  $g_{g_1}$  and the second factor between brackets give rise to deviations at both low and high frequencies. Both these deviations result in an increase of  $g_i$ ; cf. fig. 82.

Provided the frequency differs sufficiently from  $f_{g_1k}$  (i.e. the resonant frequency of  $L_k-C_{g_1k}$ ) without, however, being too low, then:

$$g_i' \approx \omega^2 S_k(\tau^2 F_{\tau} + C_{g_1k}L_k),$$

where  $\tau$  represents the transit time of the electrons from the cathode to the control



Fig. 83. Cathode lead having a selfinductance  $L_k$  and

a stray capacitance

Cr.

grid and the factor  $F_{\tau}$  depends on the ratio of the distances between  $g_2$ - $g_1$  and  $g_1$ -k and on the ratio of the effective potential in the plane of  $g_2$  to that in the plane of  $g_1$ .

Another cause of the increase of  $g_i$  at high frequencies is the capacitance  $C_k$  from cathode to earth (e.g. the cathode-to-filament capacitance). A calculation of  $b_6$  for the circuit of fig. 83 gives:

$$b_{\mathfrak{g}} = \omega C_k - \frac{1}{\omega L_k} = -\frac{1}{\frac{\omega L_k}{1 - \omega^2 L_k C_k}}.$$

The deduction of eq. (136*a*) is obviously still valid, provided  $\omega L_k$  is replaced by:

$$\frac{\omega L_k}{1 - \frac{f^2}{fk^2}},$$

$$f_k = \frac{1}{2\pi\sqrt{L_kC_k}}$$

which gives:

-----

$$g_{i'} = g_{g_1} + \left\{ g_{\tau} + \frac{\omega^2 C_{g_1 k} L_k S_k}{1 - \frac{f^2}{f_k^2}} \right\} \left\{ 1 + \frac{2 \frac{f^2}{f_{g_1 k^2}}}{1 - \frac{f^2}{f_k^2}} \right\}.$$
 (136b)

This shows that both the "feedback damping" and the deviation due to the factor  $(1 + 2f^2/f_{g1k}^2)$  increase as the frequency  $f_k$  (i.e. the resonant frequency of  $L_k-C_k$ ) is approached.

6.4.2 Reducing the conductance by means of a cathode capacitor

An undamped grid circuit can be obtained by incorporating an additional capacitor  $C_k'$  in the cathode lead (see fig. 84) and can be investigated similar to the procedure in Section 6.4.1.

### 6.4 Feedback by the cathode-to-grid admittance

It is clear that in this case:

$$\frac{1}{b_{\mathfrak{g}}} = -\omega L_k \left( 1 - \frac{1}{\omega^2 L_k C_{k'}} \right).$$

Disregarding the small deviations and putting

$$/k' = \frac{1}{2\pi\sqrt{L_k C_{k'}}},$$

from eq. (136*a*) the input conductance is found to be:

$$g_{i}' = g_{g_1} + g_{\tau} + \omega^2 C_{g_1 k} L_k S_k \left( 1 - \frac{(f_k')^2}{f^2} \right). \quad (136a)$$

Complete compensation of the damping due to the input conductance  $g_i(\mu A/V)$  at a frequency f is obtained by tuning the cathode lead to





at f = 200 Mc/s. This may be explained, by means of fig. 86, from which follows:

$$b_6 = \omega C_k - \frac{1}{\omega L_k \left(1 - \frac{1}{\omega^2 L_k C_k'}\right)}$$

so that, in analogy with eq. (136c):

$$g_{i'} = g_{g_1} + g_{\tau} + \omega^2 C_{g_1 k} L_k S_k \cdot \frac{1 - \frac{(/k')^2}{j^2}}{1 - \frac{j^2}{jk^2} \cdot \left(1 - \frac{(/k')^2}{j^2}\right)}.$$
 (138)

Fig. 86. Circuit similar to that of fig. 84, in which the stray capacitance  $C_k$  is also indicated.

66404Fig. 84. Cathode lead the self-inductance  $L_k$ of which is compensated by an additional capacitor  $C_k'$ .

a frequency slightly above  $f_{i}$ 

 $1\sqrt{\frac{g\iota+g\tau}{g\iota}}$ 

When this limit is approached

the amplifier will obviously

show a tendency to oscillate;

itance  $C_k$  of the cathode to earth

In this circuit also the capac-

namely to:

cf. fig. 85.

has an ad-

verse effect.



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Hence

$$g_{i}' = g_{g_1} + g_{\tau} - g_{\ell} \cdot \frac{\Psi}{1 + \Psi \cdot \frac{f^2}{f_{\ell}^2}}, \qquad (136d)$$

in which

$$\Psi = \frac{(fk')^2 - f^2}{f^2}.$$

For frequencies higher than  $f_k$  it becomes difficult to render  $\Psi$  sufficiently large to ensure satisfactory compensation. The required high value of the cathode impedance then increases the difficulties caused by the anode-to-cathode capacitance  $C_{ak}$  (see Section 6.5).

## 6.4.3 Small resistance in the cathode lead

From eq. (137) the input capacitance of a valve with very small self-inductance in the cathode lead is:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} \cdot \frac{b_6^2 \left\{ 1 + \frac{\omega C_{g_1k}}{b_6} + \frac{(g_{\tau} + S_k)g_{\tau}}{b_6 \omega C_{g_1k}} \right\}}{b_6^2 \left\{ \frac{g_{\tau}^2}{b_6^2} + 2\frac{g_{\tau}S_k}{b_6^2} + \frac{S_k^2}{b_6^2} + \frac{\omega^2 C_{g_1k}^2}{b_6^2} + \frac{2\omega C_{g_1k}}{b_6} + 1 \right\}}$$

As in the calculation of  $g_i$  in Section 6.4.1, the denominator of the third term may be taken to equal

$$1+rac{2\omega C_{g_1k}}{b_6}$$
 ,

so that replacement of  $b_6$  by  $-1/\omega L_k$  gives:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + \frac{C_{g_1k} \left(1 - \omega^2 L_k C_{g_1k}\right)}{1 - 2\omega^2 L_k C_{g_1k}} - \frac{L_k g_{\tau} \left(g_{\tau} + S_k\right)}{1 - 2\omega^2 L_k C_{g_1k}},$$

whence:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} \left( 1 + \frac{f^2}{f_{g_1k^2}} \right) - L_k \cdot \frac{g_{\tau} S_k \left( 1 + K_2 \cdot \frac{f^2}{f_1^2} \right)}{1 - 2 \frac{f^2}{f_{g_1k^2}}},$$

where  $K_2 = g_{\tau} / (g_{\tau} + g_t)$ .

For frequencies which are not too high, this expression can be simplified to:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} - L_k g_{\tau} S_k. \tag{137a}$$

It should be noted that  $C_{g1k}$  depends on the space charge between cathode and grid and therefore varies when the gain is controlled by

#### 6.4 Feedback by the cathode-to-grid admittance

changing the grid bias. This effect can be compensated by the following means.

If only a small resistance  $R_k$  is incorporated in the cathode lead, then, according to eq. (137), the input capacitance is:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} \cdot \frac{\frac{1}{R_k} \cdot \left(\frac{1}{R_k} + S_k\right)}{\left(\frac{1}{R_k} + g_{\tau} + S_k\right)^2 + \omega^2 C_{g_1k^2}}$$

or

$$C_{i'} = C_{g_1} + C_{g_1g_2} + C_{g_1k} \cdot \frac{1 + S_k R_k}{1 + 2(g_\tau + S_k)R_k + (g_\tau + S_k)^2 R_k^2 + \omega^2 C_{g_1k}^2 R_k^2}.$$

Since  $(S + g_{\tau})/2\pi C_{g_1k}$  is approximately equal to (GB):

$$C_{i'} = C_{g_1} + C_{g_1g_2} + \frac{C_{g_1k}}{\{1 + 2\varphi(g_\tau + S_k)R_k\}\{1 - S_kR_k + S_k^2R_k^2\}}, \quad (137b)$$

in which

$$\varphi = 1 + R_k(g_\tau + S_k) \left(1 + \frac{f^2}{(GB)^2}\right).$$
 (139)

When the frequency is not too high it is clear that:

 $\varphi \approx 1 + S_k R_k \,. \tag{140}$ 

Disregarding the second and higher powers of  $S_k R_k$ , the denominator of the third term of eq. (137b) becomes  $1 + S_k R_k$ , so that the expression for  $C_i$  can be simplified to:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + \frac{C_{g_1k}}{1 + S_k R_k},$$
(1376)

provided  $S_k R_k$  is small compared with unity. By giving  $S_k R_k$  a suitable value, the third term of eq. (137c) may be made far less sensitive to grid bias variations than  $C_{g_1k}$ ; cf. fig. 87.  $\Delta C_i(pf) = \frac{1}{2}$   $R_{1}^{0}$   $R_{2}^{0}$   $R_{2}^$ 

Fig. 87. Example of the reduction of  $\Delta C_i$ due to the use of a small cathode resistance (at f = 50 Mc/s).

The input conductance of a valve when the cathode lead con-



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tains only a small resistor can again be determined from eq. (136):

$$gi' = gg_1 + \frac{\frac{\omega^2 C_{g_1 k^2}}{R_k} + \frac{g_{\tau}}{R_k^2} + \frac{g_{\tau}}{R_k} \cdot (S_k + g_{\tau})}{\left(\frac{1}{R_k} + g_{\tau} + S_k\right)^2 + \omega^2 C_{g_1 k^2}}.$$

By multiplying the numerator and denominator by  $R_k^2$ , the latter becomes:

 $1 + 2\varphi(g_{\tau} + S_k)R_k \approx 1 + 2\varphi S_k R_k z/1 + 2S_k R_k$ 

so that, putting  $g_{\tau} + S_k \approx S_k$ :

$$g_i' = g_{g_1} + \frac{\omega^2 C_{g_1 k^2} R_k}{1 + 2S_k R_k} + g_{\tau} \cdot \frac{1 + S_k R_k}{1 + 2S_k R_k}.$$

It is clear, therefore, that if f is sufficiently small compared with (GB) and  $f_1$ , and if also  $S_k R_k$  is sufficiently small compared with unity, this expression for  $g_i$  can be simplified to:

$$g_{i'} = g_{g_1} + \frac{\omega^2 C_{g_1 k}^2 R_k}{1 + 2S_k R_k} + \frac{g_{\tau}}{1 + S_k R_k}.$$
 (136e)

### 6.4.4 Stabilization of the input admittance

Finally, consideration will be given to a valve in the cathode lead of

which there is a self-inductance  $L_k$ , and a capacitor  $C_k$ ' shunted by a resistor  $R_k$  (see fig. 88). It is assumed that both  $\omega L_k/R_k$  and  $\omega C_k'R_k$  are very small compared with unity, as is often the case in practice.

In these circumstances:

$$Z_6 = j\omega L_k + \frac{1}{\frac{1}{R_k} + j\omega C_k'} \approx j\omega L_k + R_k(1 - j\omega C_k' R_k),$$

and

$$Y_{6} \approx \frac{1}{R_{k} - j\omega C_{k}'R_{k}^{2} + j\omega L_{k}} = \frac{1}{R_{k}} - j\omega \left(\frac{L_{k}}{R_{k}^{2}} - C_{k}'\right),$$

Fig. 88. Cathode lead having a self-inductance  $L_k$ , and a compensating capacitor  $C_k'$ shunted by a small resistor  $R_k$ .

whence

$$g_{6} = \frac{1}{R_{k}}$$
 and  $b_{6} = -\frac{\omega L_{k}}{R_{k}^{2}} + \omega C_{k}'$ . (141)

When  $g_i$  and  $C_i$  are again determined by means of eqs (136) and (137),



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and the numerators and denominators are divided by  $g_6^2 = 1/R_k^2$ , the denominators become:

$$1 + 2(g_{\tau} + S_k)R_k + (g_{\tau} + S_k)^2R_k^2 + \left(\omega C_{g_1k} - \frac{\omega L_k}{R_k^2} + \omega C_{k'}\right)^2R_k^2$$

which may be written as:

$$1 + S_k R_k \left\{ 2 \left( 1 + K_2 \frac{f^2}{f_1^2} \right) + \left( 1 + K_2 \frac{f^2}{f_1^2} \right)^2 S_k R_k + \left( \frac{\omega C_{g_1 k}}{S_k} - \frac{\frac{\omega L_k}{R_k}}{S_k R_k} + \frac{\omega C_{k'}}{S_k} \right)^2 S_k R_k \right\} \approx 1 + S_k R_k \left[ 2 \left( 1 + K_2 \frac{f^2}{f_1^2} \right) + S_k R_k \left\{ \left( 1 + K_2 \frac{f^2}{f_1^2} \right)^2 + \left( \frac{f}{(GB)} + \frac{\omega C_{k'} R_k}{S_k R_k} - \frac{\frac{\omega L_k}{R_k}}{S_k R_k} \right)^2 \right\} \right].$$

Provided f is sufficiently small compared with  $f_1$  and (GB), this expression becomes:

$$1 + S_k R_k \left(2 + S_k R_k\right) + \left(\omega C_k' R_k - \frac{\omega L_k}{R_k}\right)^2 \approx 1 + 2S_k R_k.$$

Hence the input conductance is:

$$g_{i}' = g_{g_{1}} + \frac{\omega^{2}C_{g_{1}k}^{2}R_{k}}{1 + 2S_{k}R_{k}} + \frac{\omega^{2}C_{g_{1}k}L_{k}S_{k} - \omega^{2}C_{g_{1}k}C_{k}'S_{k}R_{k}^{2}}{1 + 2S_{k}R_{k}} + \frac{g_{\tau}}{1 + 2S_{k}R_{k}} \cdot \left\{ 1 + R_{k}^{2} \left( \omega C_{k}' - \frac{\omega L_{k}}{R_{k}^{2}} \right)^{2} + R_{k}S_{k} \left( 1 + \frac{f^{2}}{f_{1}^{2}} \right) \right\}.$$

The expression between braces is to a good approximation equal to  $(1 + S_k R_k)$ , which gives:

$$g_{i}' = g_{g_1} + \frac{\omega^2 C_{g_1 k^2} R_k}{1 + 2S_k R_k} + \frac{\omega^2 C_{g_1 k} L_k S_k}{1 + 2S_k R_k} - \frac{\omega^2 C_{g_1 k} C_k' S_k R_k^2}{1 + 2S_k R_k} + \frac{g_{\tau}}{1 + S_k R_k}.$$
 (136/)

By ignoring a few terms the input capacitance is again:

$$C_{i'} = C_{g_1} + C_{g_1g_2} + \frac{C_{g_1k}}{1 + S_k R_k}.$$
 (137c)

This expression shows that the input capacitance can be influenced by means of a cathode resistor, and advantage may be taken of this effect to compensate, for example, the capacitance variation occuring when applying automatic gain control. When the cathode resistor is given such a value that optimum compensation of the capacitance variations is obtained, the relation between  $g_i'$  and S can be determined by substituting this value for  $R_k$  in eq. (136f).

The value of the input conductance  $g_i'$  is then not yet entirely fixed, since  $C_k'$  can still be chosen arbitrarily.  $C_k'$  can be given a value such that the effect of variations of S on  $g_i'$  is the minimum. A rough approximation of the value required for this purpose can be found by assuming the denominators in eq. (136f) to be constant and disregarding  $g_{\tau}$ . In that case  $g_i$  appears to be independent of S when:

$$\omega^{2}C_{g_{1}k}L_{k}S_{k} - \omega^{2}C_{g_{1}k}C_{k}S_{k}R_{k}^{2} = 0,$$

$$C_{k}' = \frac{L_{k}}{R_{k}^{2}}.$$
(142)

or:

In eq. (142)  $\omega$  no longer appears, i.e. the compensation is to a first approximation independent of the frequency.

Alternatively,  $g_i$  could be rendered independent of S by tuning the cathode lead by means of a series capacitor, but the compensation is then highly dependent on the frequency, so that the circuit is apt to become unstable. There is no such risk in the case discussed above, where the cathode capacitance is usually smaller than in the case of series tuning of the cathode lead.

In some cases the capacitance of the cathode pin (and the connected screens) to earth is large enough for the purpose in view. The capacitance  $C_k$  of the cathode itself to earth should not be taken into account here, since this is not shunted across  $C_k$ . This is demonstrated by the expression:

$$b_6 = \frac{-\omega L_k \left(1 - \omega^2 L_k C_k\right)}{R_k^2} - \omega C_k', \qquad (141a)$$

which shows that  $C_k$  has not the same effect on  $b_6$  as  $C_k'$ .

## 6.4.5 Valves with double cathode connection

The input conductance  $g_i$  of some values is given a low value by providing two cathode connections which should be connected in parallel; by this artifice  $L_k$  is approximately halved.

When it is desired to undamp the grid circuit by tuning the cathode circuit it is not advisable to tune only one of the cathode leads and to use the other as a supply lead for the direct current, nor should the two leads be tuned to different frequencies. This might lead to instability due to the combination of the two cathode leads being in parallel resonance for one or several particular frequencies.

Furthermore, if  $\Delta C_i$  compensation is not applied in the cathode circuit, advantage can be taken of the double cathode connection to reduce appreciably the active cathode lead impedance  $L_k$  by connecting the lower end of the grid circuit to the one cathode pin and the return lead of the anode circuit to the other pin <sup>1</sup>). To avoid instability the lower end

<sup>&</sup>lt;sup>1</sup>) Cf. M. J. O. Strutt and A. van der Ziel, A Variable Amplifier with Double Cathode Connection suitable for Metre Waves, Philips Techn. Review 5, p. 357, 1940 (No. 12).

of the grid circuit must also in this case be screened from the anode Since the screening can of the anode circuit has to be connected to the lower end of this circuit, the anode return lead must be connected to earth, and the grid circuit thus remains floating. The capacitance to earth of this floating circuit and the inductance of both cathode leads give rise to another form of feedback, which may lead to instability at frequencies well above 100 Mc/s.

## 6.5 FEEDBACK BY THE ANODE-TO-CATHODE CAPACITANCE

The inclusion of an impedance  $Z_6$  in the cathode lead will result in feedback to the cathode by the anode-to-cathode capacitance  $C_{ak}$ , after which feedback to the control grid occurs via the grid-to-cathode capacitance  $C_{g1k}$ . The anode-to-cathode capacitance  $C_{ak}$ , therefore, has a similar effect in these circumstances to that of the anode-to-grid capacitance  $C_{ag1}$ . As can be deduced from eqs (115) and (116):

$$Y_{i}' = Y_{2} + Y_{4} \cdot \frac{Y_{6} + Y_{7}}{Y_{6} + Y_{4} + Y_{7} + S_{k} - \rho S_{a}}$$

which, to a fair approximation, may be written:

$$Y_{i}' = Y_{2} + Y_{4} \cdot \frac{Y_{6}}{Y_{6} + Y_{4} + Y_{7} + S_{k}} + Y_{4} \cdot \frac{Y_{7}}{Y_{6} + Y_{4} + Y_{7} + S_{k}} + Y_{4} \cdot \frac{\rho S_{a}}{Y_{6} + Y_{4} + Y_{7} + S_{k}},$$

provided  $Y_6 > S_k$ . By substituting

$$\rho = \frac{Y_s}{Y_1 + Y_s} \approx \frac{Y_s}{Y_1}$$

and

$$Y_7 = (1 - \rho) Y_5 \approx Y_5,$$

and disregarding  $Y_7$  in the denominator,

$$Y_{i}' = Y_{2} + Y_{4} \cdot \frac{Y_{6}}{Y_{6} + Y_{4} + S_{k}} + \frac{Y_{4}Y_{5}}{Y_{6} + Y_{4} + S_{k}} \cdot \left(1 + \frac{S_{a}}{Y_{1}}\right).$$

Provided the gain is not too small, this expression may be approximated as:

$$Y_{i'} = Y_2 + Y_4 \cdot \frac{Y_6}{Y_6 + Y_4 + S_k} + \frac{Y_4Y_5}{Y_1} \cdot \frac{S_a}{Y_6 + Y_4 + S_k}.$$

The "feedback term" (containing  $Y_5$ ) is now compared with the effect of the direct feedback from the anode to the control grid. This effect is expressed by (see eq. (120)):

$$\frac{Y_3}{Y_1} \cdot S',$$

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### Feedback

or, from eq. (115), by setting  $\rho = 0$  and  $Y_7 = 0$ :

$$\frac{Y_3}{Y_1} \cdot \frac{S_a Y_6}{Y_6 + Y_4 + S_k}.$$

The feedback via the cathode circuit is therefore equivalent to a direct feedback produced by an admittance  $Y_3$  equal to:

$$Y_{3}' = \frac{Y_{5}Y_{4}}{Y_{6}}.$$
 (143)

Substituting  $j\omega C_{ak}$  for  $Y_5$ ,  $j\omega C_{g1k}$  for  $Y_4$  and  $j\omega C_{ag1}$  for  $Y_3$ , eq. (143) becomes:

$$C_{ag_1}' = C_{ak} \cdot j \omega C_{g_1k} Z_6. \qquad (144)$$

This means that if a capacitor  $C_k$  is included in the cathode lead, the capacitance  $C_{ag1}$  should not exceed the maximum permissible value.

The value of  $C_{ag1}$  can be calculated from eqs (126), (127) and (144), which give:

$$\frac{S_{\max}}{2\pi C_{ak}} = \omega C_{g_1k} Z_{g_1} \cdot \frac{G_{\max}^2}{p_{r \max}^2}, \qquad (145)$$

provided  $S_k Z_6 < 1$ .

## 6.6 OTHER FORMS OF FEEDBACK

At frequencies exceeding 50 Mc/s the self-inductances and mutual inductances of various connecting leads of the valves will give rise to other forms of feedback.

Space does not permit full investigation of these effects, but it may be stated that their influence can be considered as a variation of  $C_{ag1}$ .

Due to the effects summarized below,  $C_{ag1}$  contains other terms in addition to  $C_{ag1}$  and  $j\omega C_{ak}C_{g1k}Z_{6}$ .

Mutual inductance  $M_{ag1}$  between the anode and the control-grid leads gives rise to an additional term:

$$+\omega^2 C_a C_{g_1} M_{ag_1}.$$

Self-inductance  $L_{g_2}$  of the screen-grid lead gives rise to an additional term:

$$-\omega^2 C_{ag_2} C_{g_1g_2} L_{g_2}.$$

Mutual inductance  $M_{g_{1g_2}}$  between the control-grid and screen-grid leads gives rise to an additional term:

$$-\omega^2 C_{g_1} C_{ag_2} M_{g_1g_2}$$

Self-inductance  $L_s$  of the earth lead of the screening cage (s) gives rise to an additional term:

$$-\omega^2 C_{as} C_{g_1s} L_s .$$

Mutual inductance  $M_{ks}$  between the cathode lead and the earth lead of the screening cage gives rise to an additional term:

$$\omega^2(C_{as}C_{g_1k}+C_{ak}C_{g_1s})M_{ks}.$$

It is difficult to determine accurately the effects of all these terms, but it will be clear that the deviation of  $C_{ag1}$  from the static value of  $C_{ag1}$ increases with the frequency.

In practice the negative terms appear to predominate, so that  $C_{ag1}'$  first decreases with

increasing frequency. At the self-neutralizing frequency,  $f_s'$ ,  $C_{ag1}' = 0$ . From this frequency onwards  $C_{ag1}'$ becomes negative. In the vicinity of  $f_s'$ ,  $C_{ag1}'$ assumes a parabolical form as a function of the frequency (see fig. 89), viz.:

$$C_{ag'} = C_{ag} \left\{ 1 - \left( \frac{f}{f_{s'}} \right)^2 \right\}.$$

quencies, in the vicini-

ty of  $f_s''$ , deviations

At much higher fre-



Fig. 89. Variation of the effective anode-to-grid capacitance  $C_{ag_1}$  as a function of the frequency f. At  $f_s$  the effective anode-to-grid capacitance is zero.

occur and  $C_{ag1}'$  again becomes positive beyond  $f_s''$  due to the influence of terms containing higher powers of f. It is clear that the performance at these high frequencies and the magnitude of  $f_s'$  depend not only on the construction of the valve, but also on the wiring of the circuit.

# 7. PRACTICAL CONSIDERATIONS FOLLOWING ON THE THEORY

It will now be shown how the preceding calculations can be used when designing circuits for I.F. amplification in television receivers.

## 7.1 SENSITIVITY

The sensitivity required for a given amplifier will first be investigated. The minimum field strength for various frequencies at which a signal-tonoise ratio of 5 (= 14 db) can be attained is shown in fig. 70. From this curve it is possible to ascertain the smallest field strength  $H_{\min}$  that can be amplified to give full output. Once this choice has been made, the voltage across the input circuit of the amplifier is given by eq. (17):

$$V_i=\frac{H_{\Lambda}}{2\pi}\cdot w.$$

The transformer ratio w need not be known if the required sensitivity at the aerial terminals only is to be calculated, since the voltage at these terminals is smaller than the voltage across the input circuit by a factor w. For a normal dipole aerial this voltage is given by:

$$V_{\min} = \frac{H_{\min}\lambda}{2\pi} = \frac{50 \ H_{\min}}{f}.$$

### Example

A television receiver for 50 Mc/s with a bandwidth of 5 Mc/s must be capable of giving maximum output signal even when the signal-to-noise ratio at the output drops to 2 or even less. What should the sensitivity be at the aerial terminals?

From fig. 70 (broken lines, corresponding to conditions of minimum cosmic noise) the maximum output signal may be obtained at field strengths of approximately:

$$\frac{2}{5} \cdot 60 = 24 \,\mu V/m$$
.

Hence, according to the above formula:

$$V_{\rm min} = \frac{50 \ H_{\rm min}}{50} = 24 \ \mu {\rm V} \; .$$

## **7.2 GAIN**

In the case of a T.R.F. receiver the total gain  $G_{tot}$ , calculated from the aerial terminals to the anode circuit of the last I.F. tube, is given by the expression:

$$G_{tot} = \boldsymbol{w} \cdot \boldsymbol{G}^{\mu}$$
.

In a superheterodyne receiver with a tuner, the gain  $G_{tuner}$  of which is given, the total gain can be calculated from:

 $G_{tot} = G_{tuner} \cdot G^n$ .

## 7.2.1 Gain of the input circuit

The gain of the input circuit of a receiver can be determined by means of eq. (13b) in the frequency range below  $f_0'$ , or by means of eq. (10b) for frequencies exceeding  $f_0'$ . The voltage gain G' thus found is the ratio of the voltage across the input circuit to the voltage at the aerial at zero load. (If the gain is understood to be the ratio w of the voltage across the input circuit to the voltage at the aerial terminals, twice this value must be taken, since w/2 = G'.)

In practice the admittance of the aerial circuit is not always negligibly small compared with the input admittance of the valve and the additional damping resistance. Since it is not possible to reduce  $g_c$  below the extreme value  $g_{cmin}$ , it is clear that:

(a)  $G_0'$  cannot exceed  $1/\sqrt{4R_{ant}} g_{cmin}$ , and

(b)  $f_0'$  cannot be lower than  $f\sqrt{4R_{\text{ant}}g_{\text{cmin}}}$ .

Maximum gain is obtained when the circuit is not additionally damped, see fig. 90.

Apart from the transitional  $\log G'$ point at  $f_0'$  being somewhat more rounded off, this diagram has the same form as fig. 3. As  $G_0'$  (and thus also  $f_0'$ ) approaches the above-mentioned boundary value, the effect of this curvature on the log  $G' = f(\log f)$  fig curve steadily increases; by





keeping a factor of about 2 below the boundary value the rounding-off will be less than 10 %.

## 7.2.2 Gain of the I.F. amplifier

In the calculation of  $G_{tot}$  the term  $G^n$  is always the main factor. To evaluate  $G^n$  it must first be investigated whether the values operate above or below  $f_0$  (cf. eq. (12a)). As a rule the latter will be the case, so that G can be calculated from eq. (13a):

$$B \cdot G = F_s F_z(GB).$$

Practical considerations following on the theory

If the values operate above  $f_0$ , then  $G = G_{\max}$ , which can be calculated from eq. (10a):

$$G_{\max} = F_s \left(\frac{f_1}{f}\right)^2$$
.

The bandwidth B is calculated in the following way. For television receivers with a normal response curve the total bandwidth  $B_{tot}$  between the 3 db points is approximately 10 % smaller than the distance between



Fig. 91. Simplified response curve of a T.V. receiver. It should be noted that the scale of the abscissa consists of a linear and two logarithmic parts. The position of the carrier is indicated by C.

the 6 db points (see fig. 91), which, to a first approximation, may be taken to be equal to the distance between the image and sound carriers. When  $B_{tot}$  has thus been determined, B can be calculated from eq. (20):

$$B = \frac{B_{\rm tot}}{F_B}.$$

If a band-pass filter is used for the coupling,  $F_B$  may be taken to be from 1.5 to 2, but when single-tuned circuits are used  $F_B$  is at the most equal to unity. The chosen value of  $F_B$  must be verified after the staggering scheme has been selected.

Once the bandwidth B has thus been determined, the values of  $F_s$ ,  $F_x$  and (GB) must be chosen. It is most convenient first to ascertain how

many amplifying stages should be used. For this purpose  $F_s$ ,  $F_x$  and w (or  $G_{tuner}$  are roughly estimated, assuming, for example, that:

$$G_{\text{tot}} = G_{\text{tuner}} \left\{ \frac{F_B F_s F_x (GB)}{B_{\text{tot}}} \right\}^n.$$

## 7.3 SELECTION OF THE VALVES

From the foregoing considerations a relation has been established between the values of (GB) and n and the required value of  $G_{tot}$ . From eq. (32) the required value of  $f_1$  can be evaluated for a given staggering scheme, whilst a condition can also be imposed on the value of  $F_s$ . The valves can now be chosen according to their (GB) product and gain reference frequency  $f_1$ .

It may be useful to draw a diagram showing these two quantities, from which the performance obtainable with these values can be ascertained. For this purpose the required values of (GB) and  $f_1$  are calculated from eqs (13*a*) and (20) and from eq. (32) after the values valid for the design have been filled in for the other data.

### Example

In fig. 92 a number of areas are shown which correspond to the various applications.

Each application covers a certain area in the graph due to the fact that some freedom of choice is permissible in designing a receiver. From this it will be seen that the (GB) product and the gain reference frequency  $f_1$  may assume different values.

A given application with the desired number of values of a given type is now possible if their (GB) product and gain reference frequency  $f_1$  are equal to or larger than the values of (GB) and  $f_1$  in any part of the  $(GB)-f_1$  area of the application concerned.

The receivers taken as an example in fig. 92 have almost the same sensitivity, and have other comparable properties. The factor  $F_r$  (see eq. (147), p. 124), for example, is assumed to be unity in all cases, which means that the valves are not controlled. No band-pass filter coupling is employed in any of the circuits, although it would be possible to reduce the required (GB) product in this way.

When a large gain per stage is required, valves with a high (GB) product will obviously be chosen. The performance of valves with an identical (GB) product may, however, differ appreciably: one type of valve may have a much higher mutual conductance and a much larger input capacitance than another type of valve having the same (GB) product. In that case the valve having the highest product  $F_x \cdot (GB)$ , i.e. the largest valve with the highest mutual conductance, is often preferred.



area	a	b	c	d	e	ſ
type	T.R.F. receiver suj			perheterodyne		
T.V. band (Mc/s)	40-70			180-210	4070	
bandwidth (Mc/s) number of H.F./I.F. valves (including the mixer valve)	3	4.5 4	3 3	4.5 5	11 8	3 4

Practical considerations following on the theory

Very large valves, however, are less suitable for these applications, due to the ollowing facts.

(a) The gain reference frequency  $f_1$  of a large value is as a rule comparatively low. Particularly at high frequencies there is then a risk that the maximum gain per stage will no longer be limited by the (GB) product but by the gain reference frequency  $f_1$ . The influence of the input capacitance of the value on  $f_1$  may be explained by as follows.

Assume for the sake of simplicity that

and

$$\frac{f_1}{\left(\frac{f_1}{f}\right)^2} = \frac{S}{g_i}.$$

Since the cathode connection is substantially independent of the choice of  $C_{g_{1k}}$  and  $S_k$ ,  $L_k$  may be taken to be constant. Hence:

$$f_1 \approx \text{constant} \cdot C_{g_1k} \cdot \frac{1}{2}$$
.

- (b) Beyond a given limit the value of  $F_x$  increases but little with the mutual conductance of the valve, whereas the increase in physical dimensions, price and current drain becomes quite considerable.
- (c)  $F_x$  has an optimum value above which the total gain begins to decrease with increasing value of  $F_x$ . This optimum value of  $F_x$  of a particular amplifier depends on various factors: in the first place on the question whether the amplifier operates on a frequency above or below  $f_0$  and  $f_0'$ , in other words whether the maximum gain of each stage is limited by  $f_1$  or by (GB).

As shown on p. 12, one of the two following conditions usually applies:

- (1) the signal frequency is lower than  $f_0$  and  $f_0'$ , or
- (2) the signal frequency is lower than  $f_0$  but higher than  $f_0'$  (in this case the receiver is usually provided with a tuner with additional H.F. amplifying valves).

In the first case  $F_x$  can be evaluated in the following way: Assume that

$$a = \frac{C_i}{C_i + C_o}, \quad b = \frac{C_y}{C_x} \text{ and } x = \frac{C_x}{C_i + C_o}$$

where  $C_x$  denotes the stray capacitance of each I.F. stage and  $C_y$  is the stray capacitance of the input circuit. The gain is then proportional to

$$(C_i + C_y)^{-1/2} \cdot \left(1 + \frac{C_x}{C_i + C_o}\right)^{-n} = C_x^{-1/2} \left(\frac{a}{x} + b\right)^{-1/2} (1 + x)^{-n} = X.$$
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When x is varied at constant values of a, b and  $C_x$ , X will be the maximum at

$$\frac{dX}{dx} = 0$$
, or  $\frac{\frac{1}{2}aX}{ax + bx^2} - \frac{nX}{1 + x} = 0$ ,

whence

$$x_{\mathrm{opt}} = rac{a}{2b} \cdot \left(1 - rac{1}{2n}
ight) \pm \sqrt{rac{a^2}{4b^2} \cdot \left(1 - rac{1}{2n}
ight)^2 + rac{a}{2bn}}$$

If a is given a value between 0.5 and 0.7 and b is from 0.5 to 1, whilst the number of stages n may vary between 3 and 8, the value of  $x_{opt}$  will be from 0.095 to 0.04, which gives for  $F_x = 1/(1 + x)$ , a value of 0.92 to 0.96.

When the circuits are coupled by band-pass filters the results are very similar.

It can be shown in an analogous way that, when a tuner is used, the larger I.F. gain due to a further increase of the dimensions of the I.F. amplifying valves is outweighed by the smaller gain of the tuner. The optimum value depends on the design of the tuner and the valves with which it is equipped. As a rule little is gained by choosing larger valves than correspond to  $F_x = 0.8$ .

## 7.4 STAGGERED TUNING SYSTEM

The (GB) product and the value of *n* having been determined, a detailed staggered tuning system can now be worked out, from which the values of  $F_B$ ,  $F_s$  and  $F_x$  and the performance of the amplifier can be determined with greater accuracy.

In designing this tuning system it should be taken into account that it will usually be necessary to incorporate a number of traps to eliminate certain undesired signals originating from adjacent channels and the sound channel of the wanted transmission.

As can be seen from the response curve shown in fig. 91, the attenuation of these signals by the H.F. and I.F. circuits is inadequate: the required additional attenuation is given by the difference between this curve and the desired response curve.

The incorporation of a trap also affects the response curve on either side of the frequency which has to be suppressed; the greater the attenuation, the more serious is the influence on the response curve. To evaluate the influence of the traps<sup>1</sup>), the following two quantities must be calculated:

- (1) The relative quality factor r, i.e. the ratio  $Q_t/Q$  of the quality factor of the trap to that of the circuit to which it is connected.
- (2) The separation s, i.e. the relative detuning of the trap with respect

<sup>1)</sup> J. Avins, Electronics, Jan. 1950.

to the circuit, multiplied by the quality factor  $Q_t$  of the trap, viz.:

$$s = \left(\frac{\omega_{\ell}}{\omega_{0}} - \frac{\omega_{0}}{\omega_{\ell}}\right) Q_{\ell} = \beta_{\ell} Q_{\ell}, \qquad (146)$$

where  $\omega_t$  and  $\omega_0$  are the resonant frequencies of the trap and of the circuit respectively.

The values of r and s having been determined, the changes to which the response curve is subject at a given attenuation can be derived from one of the graphs given in figs 113a to l of Appendix I.

These graphs are based on the theory of detuned band-pass filters with two resonant circuits and can be derived from the response curves of four single circuits whose quality factors and resonant frequencies are related to the properties of the circuit and the trap and their mutual coupling.

The changes to which the response curve is subject as a result of the presence of traps must be corrected when these lie within the pass band range of the video signal. This is achieved by slightly changing the tuning and damping of the I.F. circuits. The effects of these modifications can be determined by means of figs 113m and n of Appendix I.

The influence of the traps cannot be neutralized completely over the entire pass band. This is not objectionable, since the purpose in view is only to obtain an acceptable response curve which gives a satisfactory step function.

It is further of interest to investigate to what extent the corrected response curve is situated above or below the original curve. As a rule the differences will be small, so that the total gain is hardly affected by the incorporation of traps.

It is now possible to design a corrected staggered tuning system. In the corresponding diagram the top extremities of the perpendiculars no longer coincide with the points dividing the semi-circle into equal parts, as is the case in fig. 8. The tunings and dampings of the various circuits are now exactly known and so are the tunings of the traps and the tightness with which they are coupled to the circuits. It is therefore possible to determine the total capacitance  $C_x$  of the circuit and consequently the value of  $F_x$  with greater accuracy.

It is now necessary to ascertain whether the staggered tuning system chosen can indeed be achieved without any of the stages operating at a frequency exceeding  $f_0$ . If this is not possible, additional capacitance will have to be added to the stage concerned in order to reduce the bandwidth of that stage sufficiently. In this case, however, the gain of this stage will also be reduced owing to the lower value of  $F_x$ . If the gain of the receiver is controlled by varying the mutual conduc-



Fig. 93. Graph showing the variation of log B as a function of log f in the vinicity of  $f_0$ . The full line applies to non-controlled valves and the broken line to a cut-off valve.

tances of the valves the inherent variation of the input conductance  $\Delta g_i$  may result in a variation of the bandwidth. This effect is most marked when a valve, operating around the value  $f_0$ , is controlled without compensating  $\Delta g_i$  (see fig. 99); the damping of the grid circuit is then almost entirely controlled by  $g_i$ . If now the value is cut off,  $g_i$  decreases approximately in proportion to  $S_{\text{eff}}$  (see Section 6.4), thereby also reducing the bandwidth.

If the bandwidth with the

valve cut off is denoted by  $B_{co}$ , it can be deduced from eqs (6) and (9) that

or

$$\frac{B_{co}}{B_0} = \frac{g_c}{g_i + g_o + g_c} = 1 - \frac{f^2}{f_0^2},$$

$$\Delta B_0 = B_0 - B_{co} = B_0 \cdot \frac{f^2}{f_0^2}.$$

In order to reduce  $\Delta B_0/B_0$  it will therefore be necessary to remain below the frequency  $f_0$  by a factor  $F_r$ . It is obvious that where the variations  $\Delta g_i$  are not compensated:

$$F_{\mathbf{r}} = \left(\frac{\Delta B_0}{B_0}\right)^{-1/s}.$$
 (147)

In practice  $F_r$  may be from 1 (when there is no gain control) to 5; higher values will not be required.

Eq. (32) now becomes:

$$B_{\text{totsin}\alpha} \gg F_B F_x F_r(GB) \left(\frac{f}{f_1}\right)^2.$$
 (148)

In order to satisfy this condition a valve with a given minimum value of  $f_1$  is required. This value of  $f_1$  can be found by solving  $f_1$  from eq. (148) after the staggered system has been chosen.

In the case of superheterodynes with intermediate frequencies of about 20 Mc/s the values thus required are usually not excessively high, but in

the case of T.R.F. receivers it may be found that no values are available which have the required value of  $f_1$ . In that case the design may be adapted to the requirements thus imposed by one of the following means:

(1) Reduction of  $F_r$ . This may be achieved by improving the compensation of  $\Delta g_i$  or by not controlling those values the grid circuits (and possibly the anode circuits) of which have a narrow bandwidth.

As a rule not only  $\Delta g_i$  but also  $\Delta C_i$  will be compensated. For this purpose a resistor  $R_k$  shunted by a capacitor  $C_k$ ' must be incorporated in the cathode lead (see Section 6.4.4.).

It follows from eq. (137c) that for compensating  $\Delta C_{glk}$  the following condition must be satisfied:

$$S_{k\max} R_k \approx \frac{\Delta C_{g_1k}}{C_{g_1k}}$$

where  $S_{k\max}$  denotes the maximum cathode transconductance. The required value of  $R_k$  is therefore determined within certain limits. The most favourable value can best be ascertained experimentally.

The required value of  $C_k$  can be calculated from eq. (136*f*). First the relatively small correction due to  $S_k R_k$  in the denominators is disregarded, which gives:

$$g_i \approx g_{g_1} + \omega^2 C_{g_1k}^2 R_k + \omega^2 C_{g_1k} L_k S_k - \omega^2 C_{g_1k} C_k' S_k R_k^2 + g_{\tau}$$

 $g_i$  no longer varies with  $S_k$  when

$$g_{\tau} + \omega^2 C_{g_1k} L_k S_{k \max} \approx \omega^2 C_{g_1k} C_k' S_{k \max} R_k^2$$
,

which may be written as:

$$g_{\tau} + g\iota \approx \frac{\omega^2 \Delta C_{g_1k} C_{k'}}{C_{g_1k} S_{kmax}},$$

οг

$$C_{k'} \approx \frac{C_{g_1k}S_{k\max}(g_l + g_{\tau})}{\omega^2 \Delta C_{g_1k}^2}$$

The most suitable value of  $C_k'$  is again determined experimentally. The resulting input conductance will now be approximately:

$$g_i \approx g_{g_1} + \omega^2 C_{g_1 k} R_k \,,$$

or, after substitution of the optimum value of  $R_k$ :

$$g_i \approx g_{g_1} + \frac{\omega^2 C_{g_1 k} \Delta C_{g_1 k}}{S_{k \max}} = g_{g_1} + g_{\ell'}.$$

Practical considerations following on the theory

The second term of this expression may be estimated from:

$$g_{\ell} \approx g_{\ell} \cdot \frac{\Delta C_{g_{1k}}}{C_{g_{1k}}} \cdot \left(\frac{f_1}{(GB)}\right)^2 \cdot \left(\frac{C_{g_{1k}}}{C_i + C_o}\right)^2$$

The loss of gain due to the compensation is approximately:

$$F_s \approx \frac{1}{1 + S_{k\max}R_k} \approx \frac{C_{g_1k}}{C_{g_1k} + \Delta C_{g_1k}} > 0.75,$$

except in those cases where  $R_k$  has such a high value that the mutual conductance is reduced by the resulting grid bias. On the other hand,  $F_x$  is slightly improved because the input capacitance of the value will now be about equal to the cut-off value of  $C_i$  throughout the control range.

(2) Choice of a more suitable staggered tuning system, with larger values of sin $\alpha$ . In such a system  $F_B$  will usually be smaller, so that the bandwidth  $B_r$  of the reference circuit may have to be increased considerably. The extreme values between which the gain reference frequency  $f_1$  thus required may be varied are given on the one hand by (n + I) synchronous circuits and, on the other hand, by a flat staggered (n + I) tuple.

When these changes have been made it will be obvious that, due to the increase of  $B_r$ , a higher (GB) product is required in order to obtain the same total gain  $G_{tot}$ .

(3) Rendering the most critical circuit less sensitive to  $\Delta g_i$  variations. This may be achieved by increasing the damping across the circuit and adding extra capacitance. The advantage of this method is that the circuit also becomes less sensitive to variations of the input capacitance of the controlled valve, but on the other hand the gain decreases so, that valves with a higher (GB) product are required to obtain the same gain. As a rule, however, there is no need to apply this method to all stages, so that the required increase of the (GB) product is less than the required decrease of the gain reference frequency  $f_1$ .

When the staggered tuning system has been chosen and the gain per stage has been calculated according to Section 7.2, it still remains to be investigated whether the gain is permissible with a view to stability. For each stage eq. (128a) applies, namely:

$$G_{h\max} \leq p_h \sqrt{\sin \alpha_h \sin \alpha_{h+1}} \sqrt{\frac{f_s}{f}}$$
.

It has been shown in Section 6.3.2 that, in order to avoid irregular-

ities of the response curve, the value of  $p_h^2$  must in any case remain below 0.5.

### Example

It is required to design an I.F. amplifier for 23.75 Mc/s (picture carrier) preceded by a given tuner having a gain of 15. The overall sensitivity at 23.75 Mc/s should be approximately 20  $\mu V$  for a direct voltage of 1 V at the detector. Contrast control should be provided.

The F.M. audio signal should also be amplified in two of the I.F. video stages, after which it is separated. At the video detector the audio signal should be weaker by a factor of at least 20 than the image carrier; the ratio of the image to the sound carrier at the aerial terminals is assumed to be 2:1. The interval between the sound and image carriers is 5.5 Mc/s.

Signals originating from adjacent channels should be suppressed to such an extent that they are weaker by a factor of at least 50 than the imagecarrier, but suppression should not deteriorate appreciably when the allocation of the adjacent channels differs slightly from the standards.

The supply is 220 V AC/DC (without mains transformer).

Fig. 94 gives the required overall response curve of the receiver, whilst fig. 95 gives the response curve of the tuner.

The response curve of the I.F. part of the receiver should therefore be approximately as shown in fig. 96 with a width of about 5 Mc/s between the 3 db points.



The design will be based on the use of a flat-staggered tuning system with  $F_B = 1$ , so that  $B_r$  of each circuit is 5 Mc/s.

It is obvious that traps will have to be added to most of the circuits. In this connection, and in view of the available coils and other components,  $C_x$  of each of the stages may be taken to be approx. 10 pF.  $F_x$  is therefore likely to assume a fairly low value, for example  $F_sF_x \approx 0.5$ . The product  $F_sF_x \cdot (GB)$  may, therefore, amount to approx. 50 Mc/s and the gain per stage to about 10.

The total gain in the centre of the response curve should be approximately  $2 \times 1 \text{ V}/20 \,\mu\text{V} = 10^5$ . Taking the gain of the tuner to be 15 and the detector efficien-Cy to be 50 %, the required gain of the I.F. amplifier is therefore  $10^5/15 \times 0.5 \sqrt{2} \approx 10^4$ .

Four stages of I.F. amplification may therefore suffice, provided the values have a relatively high (GB) product and are not too small, i.e. their high (GB) product

may not be due to their low input capacitance, their mutual conductance being relatively small.

A high-slope valve such as the EF 42 or EF 91 pentode is unsuitable for a receiver



Fig. 95. Response curve of the tuner preceding the I.F. amplifier to be designed.

without mains transformer, whilst the UF 42 pentode for AC/DC mains is less suitable because of its somewhat smaller (GB) product and smaller value of the gain reference frequency  $f_1$ .

The EF 80 pentode is more convenient, although the value of  $F_x$  is slightly smaller. In the case of the EF 80 value,  $G = 100 \times 0.5/5 = 10$ . The required sensitivity may thus be reached with four EF 80 values.



Fig. 96. Required response curve of the I.F. part of the receiver (fully drawn line) and the response curve according to the staggering system of fig. 97 (broken line):

### 7.4 Staggered tuning system

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A staggered tuning system will now be designed for five I.F. circuits, the detunings of which are respectively -2.5 Mc/s, -1.5 Mc/s, 0 Mc/s, +1.5 Mc/s and +2.5 Mc/s.

The last I.F. circuit should be tuned to a frequency near the image carrier, and its damping should not be too small in view of the damping caused by the following diode detector. The latter imposes a fairly heavy damping, which, moreover, depends to some extent on the signal amplitude. For crystal diodes the calculation given on p. 14 is applicable, but if a vacuum diode is used the calculation given on pp. 13 and 14 holds for large signals only. At small signals  $R_d$  assumes a lower value, depending on the shape of the diode current characteristic in the vicinity of  $V_d = 0$ . To avoid any marked dependency of the response curve upon the signal amplitude, it is undesirable to damp the circuit exclusively by  $R_d$ .

The first three circuits should be tuned to frequencies close to the sound carrier, since the I.F. audio signal is also amplified in these stages (the audio and video signals are separated after the third stage). Gain control is applied only to the first two stages, so that it has no effect on the ratio of the video signal to the audio signal. It would, in fact, be inadvisable to control the other two stages in view of the sensitivity of the two weakly damped grid circuits to variations of the input conductance and capacitance. Another objection against controlling the last I.F. stages is the serious distortion resulting when controlling valves which are fully driven by a large signal.

The sequence of the circuits is therefore chosen as indicated in fig. 97.

The impedance of the reference circuit can be evaluated from  $C_r \approx 18$  pF,  $B_r = 5$  Mc/s,  $g_r = 2 \pi 5 \times 18 = 550 \mu$ A/V, which gives  $R_r = 1.8$  k $\Omega$  according to eq. (6). The damping resistances for the various circuits then become respectively 5.5 k $\Omega$ , 2.2 k $\Omega$ , 1.8 k $\Omega$ , 2.2 k $\Omega$  and 5.5 k $\Omega$ .

In order to determine the tuning and required suppression of the traps, the desired I.F. response curve is compared with that obtained with the above-mentioned



Fig. 97. Provisional design of the staggered tuning system.

staggered tuning system; cf. fig. 96. It is seen that at 25.25 Mc/s and at 18.25 Mc/s some 20 db of additional attenuation is required. It is therefore necessary to adjust two traps near these frequencies. In order to render these traps as effective as possible, the separation s should preferably be small, whilst the relative quality factor r should be high. For this purpose the traps should be coupled to circuits Vand *III* respectively (see fig. 97).

It is true that if the 25.25 Mc/s trap were connected to circuit IV an even smaller

separation could be obtained, but the relative quality factor of the trap would then be smaller. When connected to circuit V, this trap, which should suppress the adjacent sound, gives rise to a sharp dip in the response curve, i.e. a strong absorption of the interfering signal in the close proximity of the pass band.

The purpose of the 18.25 Mc/s trap connected to circuit III is to suppress the sound carrier to such an extent that the audio signal does not noticeably interfere with the video signal. This trap is so adjusted that the overall response curve is substantially flat in the vicinity of the sound carrier. In this way the frequency modulation of the sound carrier is not converted into amplitude modulation, which would result in the audio signal becoming visible in the picture.



Fig. 98. Overall response curve of all traps.

In addition to the audio signal of the transmission being received and the audio signal on the adjacent channel, the video carrier of an adjacent transmitter must be sufficiently attenuated. As a rule this is easier to achieve than the suppression of the two signals mentioned above. The I.F. frequency originating from this signal is usually 16.75 Mc/s, and since circuit III is already trapped, this trap can best be connected to circuit I.

Two circuits have not yet been provided with traps, namely circuits II and IV. These may be provided with traps for further attenuating the adjacent image and adjacent sound. Attenuation

will be the maximum when these traps are tuned to the same frequencies as the traps connected to circuits V and I. For the case of an experimental system where it is uncertain how the interfering signals are distributed over the adjacent channels, it is preferable, however, to tune these traps so that they eliminate the peaks of the response curve caused by the traps connected to circuits V and I and keep the entire response curve beyond the pass band as low as possible. These peaks are situated close to the attenuated frequencies, viz. at about 26.5 and 15.75 Mc/s respectively. By tuning the traps of circuits IV and II to these frequencies the overall response curve of all traps will be as shown in fig. 98. This diagram is constructed by adding the responses of all traps. These responses can be determined from figs 113 a to l. For the calculation of s and r the values of  $Q_s$  were taken to be about 75.

It is seen that the traps give rise to a distortion of the response curve within the pass band, which must be corrected by slightly altering the original staggered tuning system.

Fig. 99 shows the final staggered tuning system thus obtained, whilst fig. 100 gives the corresponding overall response curve.





By means of this response curve the amplitude and phase characteristics can be constructed (fig. 101), from which the real and imaginary modulation response



Fig. 100. Overall response curve of the staggered tuning system of fig. 99.

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curves are derived (fig. 102). These are approximated by the series of straight lines, the inclined line segments of which are given by the following data:

Segment	No.	h	ľ	f"/f
Mreal	$\Big\{ {1 \\ 2 \\ 3} \Big\}$	0.6 0.35 0.75	3.3 4.3 5.25	0.6 0.9 0.9
Mimag	$\{ \frac{1}{2} \}$	-0.85 + 0.85	3.0 5.5	0.5 0.75



Fig. 101. Amplitude and phase characteristics A and  $\Theta$  corresponding to the response curve of fig. 101.



Fig. 102. Real and imaginary components of the modulation response curves  $M_{\text{real}}$ and  $M_{\text{imag}}$  derived from the phase characteristic of fig. 101.

Fig. 103 gives the step function  $S_{tot} + C_{tot}$  which has been graphically derived from fig. 102.



Fig. 103. Step function S<sub>tot</sub> + C<sub>tot</sub> constructed from the modulation response curves plotted in fig. 102.

The main data of the design have thus been determined. If required, the changes which must be made in the staggered tuning system when the valves are controlled, and what the consequences of controlling the valves will be on the response curve and step function, can be investigated. The change of the step function with increasing modulation depth can be investigated by adding the quadrature component.

The ensure that the frequence response and the step function are not affected by feedback via  $C_{ag}$ , a check of this affect should be made by means of eq. (128a). Setting

$$G_h = 10$$
,  $p_{h^2} = 0.5$  and  $f = 21$  Mc/s,

this equation gives for  $f_s = 17 \times 10^4$  Mc/s:

$$\sin \alpha_h \sin \alpha_{h+1} \geq \frac{100}{0.5} \cdot \frac{21}{17} \cdot 10^{-4} \approx 0.02.$$

The actual value of this product is tabulated below:

sin ah	sin ah+1	product
0.8	1.0	0.8
1.0	0.15	0.15
0.16	0.3	0.05
0.3	0.8	0.24
	sin α <sub>h</sub> 0.8 1.0 0.16 0.3	$\begin{array}{c c} \sin \alpha_h & \sin \alpha_{h+1} \\ \hline 0.8 & 1.0 \\ 1.0 & 0.15 \\ 0.16 & 0.3 \\ 0.3 & 0.8 \end{array}$

## APPENDIX I

## TUNED CIRCUITS AND BAND-PASS FILTERS

In this Section several well-known formulae, used without further explanation in the preceding sections, will be briefly commented upon. This may also be useful in clarifying the meaning of several symbols.

(a) By generalizing and introducing a few approximations the stationary oscillations of single-tuned circuits under various conditions can be expressed by one single formula.



Fig. 104. Diagram of a tuned circuit for expressing E and I.

Assume that a tuned circuit consisting of a capacitance C and an inductance L with a series resistance r and parallel damping gis excited by a current i fed to the top of the circuit, and that a voltage e is induced in the inductance (see fig. 104). Both signals have an angular frequency  $\omega$  (radians per second). The current Iflowing through L and the voltage E across C can now be expressed in simple formulae by setting:

$$\omega_0^2 LC = 1$$
,  $\frac{g}{\omega_0 C} = \delta_C$ ,  $\frac{\gamma}{\omega_0 L} = \delta_L$  and  $\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega} = \beta$ .

Assuming now that  $\omega$  differs relatively little from  $\omega_0$ , then the factor  $\omega_0/\omega$  can be disregarded in all formulae. A further simplification is obtained by assuming, moreover, that  $\delta_C \ll 1$  and  $\delta_L \ll 1$ , so that  $\delta_C$  and  $\delta_L$  no longer occur separately in the formulae  $(\delta_C + \delta_L \text{ does, however, occur and will henceforth be denoted by <math>\delta$ ).

For the further calculation it is therefore immaterial whether the circuit losses are caused by a series resistance or a parallel damping. It will be shown that for the losses thus generalized  $1/\delta = Q$ , where Q is a measure for the selectivity of the circuit.

Setting furthermore:

$$\beta/\delta = x$$
 and  $1 + jx = a$ ,

it may be written:

and

 $E = (\omega_0 Li - je) Q/a,$  $I = (\omega_0 Ce - ji) Q/a.$ 

In these expressions only a depends on the angular frequency  $\omega$  of the signal. The frequency response curve of the tuned circuit is therefore determined by a = 1 + jx.





The absolute value of a, given by

$$|a| = \sqrt{1+x^2},$$

has been plotted in fig. 105, whilst the phase angle  $\varphi_a$ , given by

 $\tan \varphi_a = x$  or  $\varphi_a = \arctan x$ ,

has been plotted in fig. 106.


#### Appendix I

The relation between amplitude and phase is shown by the polar diagram. Fig. 107 gives, by way of example, the admittance diagram of a parallel tuned circuit:

$$Y=\frac{i}{E}=\dot{Y}a,$$

where  $\hat{Y}$  denotes the admittance at resonance.

The curves for  $a = Y/\hat{Y}$  are called the relative admittance curves. When the frequency is changed the locus of the vector representing the complex quantity a is a straight line, which may be provided with a frequency scale. This scale is to all intents and purposes linear, as shown by the expression:



Fig. 107. Relative admittance diagram  $Y = \hat{Y}a$ .

$$x = \beta Q = \left(\frac{\omega}{\omega_0} - \frac{\omega_0}{\omega}\right) Q = \frac{(\omega - \omega_0)(\omega + \omega_0)}{\omega_0 \omega} Q \approx 2 Q \frac{\omega - \omega_0}{\omega_0}$$

The absolute value of a is at a minimum when  $\omega = \omega_0 = 1/\sqrt{LC}$ . A second point of the frequency scale is found by determining one of the frequencies at which the real part of a is equal to its imaginary part. This is the case at:

$$x = \pm 1$$
,  $|a| = \sqrt{2} (= 3 \text{ db})$ ,  $\varphi_a = \pm 45^\circ$ .

Denoting the difference in frequency (in c/s!) by B, then it is

clear that for these points:



Fig. 108. Relative impedance diagram of a single-tuned circuit of which  $Z = \hat{Z}/a$ .  $|x| = |\beta Q| = 1$  or  $2Q \frac{\frac{1}{2}(2\pi B)}{\omega_0} = 1$ ,

so that  $BQ = f_0$  or  $Q = f_0/B$ .

For a circuit with exclusively parallel damping g (hence r = 0), it then follows from  $\delta = \delta_C = g/\omega_0 C = 1/Q$  that:

$$g=rac{\omega_0 CB}{f_0}=2\pi BC$$
,

Fig. 108 shows the impedance diagram of a parallel tuned circuit of which  $\hat{Z} = 1/\hat{Y} = \omega_0 L Q = Q/\omega_0 C$ .

*(b)* Now the properties of band-pass filters (I. F. transformers) consisting of two inductively coupled circuits tuned to the angular frequencies  $\omega_p$  and  $\omega_s$  will be investigated. It will again be assumed that the factors  $\omega_p/\omega$  and  $\omega_s/\omega$  are about unity, and moreover that  $\delta_p \ll r$ and  $\delta_s \ll r$ .

From the formula for single-tuned circuits it follows that:

$$I_{\mathbf{p}} = (\omega_{\mathbf{p}}C_{\mathbf{p}}e_{\mathbf{p}} - \mathbf{j}i) Q_{\mathbf{p}} a_{\mathbf{p}},$$

and

 $I_{\rm s} = \omega_{\rm s} C_{\rm s} e_{\rm s} Q_{\rm s} / a_{\rm s} ,$ 

where

and

 $a_{\rm p} = 1 + j \beta_{\rm p} Q_{\rm p}$ 

 $a_{\rm s} = 1 + \mathrm{j} \beta_{\rm s} Q_{\rm s}$ 

(see fig. 109)



Fig. 109. Band-pass filter (I.F. transformer) consisting of two inductively coupled circuits.

The mutually induced voltages in the circuits are given by:

 $e_{\rm p} = j\omega M I_{\rm s}$  and  $e_{\rm s} = j\omega M I_{\rm p}$ ,

from which it can be derived that:

$$\frac{I_{\rm p}}{i} = \frac{-j \, Q_{\rm p}/a_{\rm p}}{1 + \omega^2 \omega_{\rm p} \omega_{\rm s} C_{\rm p} C_{\rm s} M^2 Q_{\rm p} Q_{\rm s}/a_{\rm p} a_{\rm s}},$$

$$\frac{I_{s}}{i} = \frac{\omega_{p}\omega_{s}C_{s}MQ_{p}Q_{s}}{a_{p}a_{s} + \omega^{2}\omega_{p}\omega_{s}C_{p}C_{s}M^{2}Q_{p}Q_{s}/a_{p}a_{s}}$$

To simplify these expressions, M will be replaced by  $k \sqrt{L_p L_s}$ , whilst  $k \sqrt{Q_p Q_s} = q$ , which gives for  $\omega_p / \omega \approx \omega_s / \omega \approx 1$ :

$$\frac{I_{\rm p}}{i} = \frac{-\mathrm{j}Q_{\rm p}a_{\rm s}}{a_{\rm p}a_{\rm s}+q_{\rm s}},$$

and

$$\frac{I_{\rm s}}{i} = \frac{q\sqrt{Q_{\rm p}Q_{\rm s}}\sqrt{\frac{\omega_{\rm s}C_{\rm s}}{\omega_{\rm p}C_{\rm p}}}}{a_{\rm p}a_{\rm s}+q^2}.$$



From

and

$$E_{\rm p} = \frac{I_{\rm p}}{j\omega C_{\rm p}} = I_{\rm p}j\omega L_{\rm p}$$

 $E_{s} = \frac{I_{s}}{j\omega C_{s}} = I_{s}j\omega L_{s}$ 

it is now possible to derive the well-known formulae:

$$Z_i = \frac{E_p}{i} = \frac{\omega L_p Q_p a_s}{a_p a_s + q^2} = \hat{Z}_p \frac{a_s}{a_p a_s + q^2},$$

and

$$Z_{t} = \frac{E_{s}}{i} = \frac{jq\sqrt{\omega_{p}L_{p}Q_{p}\omega_{s}L_{s}Q_{s}}}{a_{p}a_{s} + q^{3}} = \frac{jq\sqrt{\hat{Z}_{p}\hat{Z}_{s}}}{a_{p}a_{s} + q^{2}}.$$

In analogy with the formula for  $Z_i$ ,

$$Z_o = \hat{Z}_s \frac{a_p}{a_p a_s + q^2} ,$$

whilst, moreover

$$\frac{E_{\rm s}}{E_{\rm p}} = \frac{Z_{\rm t}}{Z_i} = j \frac{q}{a_{\rm s}} \sqrt{\hat{Z}_{\rm s}/\hat{Z}_{\rm p}}.$$

(c) The response curves of a band-pass filter consisting of two coupled tuned circuits are given by the denominator of the formula for  $Z_t$ , viz.:

$$N = a_{\rm p}a_{\rm s} + q^2.$$

This expression may be written as a product of two factors, both containing an imaginary term  $jx = j\beta/\delta$ , whilst the real term is independent of the frequency. These factors may, therefore, be regarded as the admittances of two single-tuned circuits which are not coupled <sup>1</sup>). Hence:

$$N = \alpha a' a'' = \frac{(\delta' + j\beta') (\delta'' + j\beta'')}{\delta_p \delta_s}.$$

Provided the four characteristic quantities  $\delta'$ ,  $\beta'$ ,  $\delta''$  and  $\beta''$  can be determined, the response curve of the band-pass filter can therefore be derived from the so-called *a*-diagram of a single-tuned circuit. For this purpose it is assumed that:

$$\beta = \frac{1}{2} (\beta_{\rm p} + \beta_{\rm s}), \quad \delta = \frac{1}{2} (\delta_{\rm p} + \delta_{\rm s}), \quad m = \frac{1}{2} (\beta_{\rm p} - \beta_{\rm s}), \quad l = \frac{1}{2} (\delta_{\rm p} - \delta_{\rm s}), \text{ and}$$
$$w = \sqrt{\frac{k^2 - l^2 + m^2}{2}}.$$

<sup>&</sup>lt;sup>1</sup>) B. D. H. Tellegen, Coupled Circuits, Philips Research Reports 2, p. 1, 1947 (No. 1).

It is possible to carry out the following calculations:

$$\gamma = w \sqrt{1 + \sqrt{1 + \frac{l^2 m^2}{w^4}}},$$

$$\varepsilon = w \left| \right| - 1 + \sqrt{1 + \frac{l^2 m^2}{w^4}}.$$

Now:

and

 $\beta' = \beta + \gamma, \quad \beta'' = \beta - \gamma, \quad \delta' = \delta \mp \varepsilon \quad \text{and} \quad \delta'' = \delta \pm \varepsilon,$ 

in which the upper sign applies when *lm* is positive.

For the further calculation of N the product of the standardized admittance curves of both fictitious circuits is determined. This product then has to be multiplied by the factor

$$\alpha \,= \frac{\delta' \delta''}{\delta_p \delta_s}\,.$$

When the primary and secondary circuits are tuned to the same frequency,  $\varepsilon$  becomes zero, so that  $\delta' = \delta'' = \frac{1}{2} (\delta_p + \delta_s)$ . Both fictitious circuits then have the same value of Q, which results in the response curve becoming symmetrical. This curve is then identical to that of two circuits connected in cascade having the same value of Q and an equally large but opposed detuning  $\Delta f = \pm \frac{1}{2} f \sqrt{k^2 - l^2}$  with respect to the resonant frequency. From the above formulae it follows that this detuning is determined by  $\delta_p$ ,  $\delta_s$  and k. It is clear that at  $\delta_p = 0$ ,  $\delta_s$  and k can be so chosen that the detunings and dampings of the fictitious circuits correspond to the values required for flat staggering. It is on this principle that the stagger damping system is based by making  $\delta' = \frac{1}{2} \delta_s = (\sin \alpha_h)/Q$ , and k = 1/Q, which gives:

$$\Delta f = \pm \frac{f}{2Q_r} \cdot \sqrt{1 - \sin^2 \alpha_h} = \pm \frac{1}{2} B_r \cos \alpha_h \cdot$$

(d) The case where the primary and secondary circuits are tuned to the same frequency will now be investigated. Assuming that

$$Q_s/Q_p = r$$
 and  $x = \beta \sqrt{Q_p Q_s}$ ,

then:

$$a_{\rm p} = 1 + \frac{{\rm j}x}{\sqrt{r}}$$
 and  $a_{\rm s} = 1 + {\rm j}x\sqrt{r}$ .

This gives:

$$Z_{t} = \frac{jq\sqrt{\hat{Z}_{p}\hat{Z}_{s}}}{1+q^{2}+jx\left(\sqrt{r}+\frac{1}{\sqrt{r}}\right)-x^{2}}.$$

(The expression  $\sqrt{r} + \frac{1}{\sqrt{r}}$  may also be written:  $\sqrt{2 + \frac{1}{r} + r}$ .)

Eq. (61) can now be deduced directly by setting:

$$\hat{Z}_{p} = R_{p}$$
 and  $\hat{Z}_{s} = R_{s}$ .

It is moreover seen that

$$Z_{i} = \frac{\hat{Z}_{p}}{1 + \frac{jx}{\sqrt{r}} + \frac{q^{2}}{1 + jx\sqrt{r}}}$$
$$Z_{o} = \frac{\hat{Z}_{s}}{1 + jx\sqrt{r} + \frac{q^{2}}{1 + \frac{jx}{\sqrt{r}}}},$$

At resonance

and

$$Z_i = \frac{\hat{Z}_p}{1+q^2}, \quad \hat{Z}_o = \frac{\hat{Z}_s}{1+q^2} \text{ and } \hat{Z}_t = \frac{q}{1+q^2}\sqrt{\hat{Z}_p\hat{Z}_s} = q\sqrt{\hat{Z}_i\hat{Z}_o}.$$

The above considerations yield no practical results when, for example,  $Q_p$  is infinitely large. In that case:

$$Z_{t} = \frac{j k Q_{p} Q_{s} \sqrt{\omega^{2} L_{p} L_{s}}}{a_{p} a_{s} + k^{2} Q_{p} Q_{s}} = \frac{j k/\omega \sqrt{C_{p} C_{s}}}{k^{2} + j \beta/Q_{s} + (j \beta)^{2}}$$

so that

$$\hat{Y}_t = \frac{1}{\hat{Z}_t} = -j\omega k \sqrt{C_p C_s}$$

whilst

$$Z_i = \frac{\hat{Z}_s \frac{C_s}{C_p}}{jx_s + \frac{q_s^2}{a_s}}, \text{ so that } \hat{Z}_i = \frac{\hat{Z}_s}{q_s} \cdot \frac{C_s}{C_p},$$

where  $q_s = kQ_s$ . Moreover:

$$Z_o = \frac{\hat{Z}_s}{a_s + \frac{q_s^2}{jx_s}}, \text{ so that } \hat{Z}_o = 0.$$

(e) The following comments will again be confined to band-pass filters the primary and secondary of which are tuned to the same frequency (tuned I. F. transformers).

The polar diagram of

$$y = \frac{q \sqrt{\hat{Z}_{p} \hat{Z}_{s}}}{Z_{t}} = j (1 + q^{2}) \frac{Y_{t}}{\hat{Y}_{t}}$$

Appendix I

then passes through the point  $j(1 + q^2)$ , i.e. the top of a parabola which can be constructed by plotting on the abscissa the real component of N and on the ordinate its imaginary component (fig. 110). From eq. (61) it may then be written:

$$Y = 1 + q^{2} - x^{2}$$

$$X = x \sqrt{2 + r + \frac{1}{r}}$$
(a)

The response curve is flattest when the length of the vector having the parabola as locus is as constant as possible at small values of x. The calculation of the values of the parameters q



Fig. 110. Polar diagram of y for a band-pass filter.

and r at which this will be the case will be based on the equation of a circle given by  $X^2 + Y^2 = R^2$  with centre O and coinciding with the parabola for small values of X.

Since the circle must run through the top of the parabola,  $R = 1 + q^2$ , so that

$$X^2 + Y^2 = (1 + q^2)^2$$
. (b)

To determine the point of intersection of this circle with the parabola, the values of X and Y which satisfy eq. (a) are substituted in eq. (b), thus giving:

$$(1+q^2-x^2)^2+\left(x\sqrt{2+\frac{1}{r}+r}\right)^2=(1+q^2)^2,$$

$$x^{4} - 2(1+q^{2})x^{2} + \left(2+r+\frac{1}{r}\right)x^{2} = 0.$$

This equation is satisfied by two points x = 0. After having divided the equation by  $x^2$ , so that these points are eliminated, two points of intersection remain, which are determined by

$$x^2 - 2(1 + q^2) + 2 + r + \frac{1}{r} = 0.$$

In order to ensure that the parabola approximates as near as possible to the circle at x = 0, these two points of intersection will

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also be made to lie at x = 0. The condition to be satisfied for this purpose is

$$q = \sqrt{\frac{r+\frac{1}{r}}{2}}.$$

This is the condition for transitional coupling given by eq. (64).

(f) To determine the feedback via the anode-to-grid capacitance  $C_{ag}$  in amplifiers with band-pass filters, it is important to know the polar diagrams of  $Z_i$  and  $Z_o$  (or  $Y_i$  and  $Y_o$ ) of a band-pass filter. Now

$$Y_i = \frac{1}{R_p} \left( a_p + \frac{q^2}{a_s} \right),$$

or

$$Y_i = Y_p + \frac{q^2}{R_p} \cdot \frac{Z_s}{R_s}$$

From these formulae it is seen that the construction of the polar diagram of  $Y_i$  is analogous to that of the admittance of a grid circuit in the case of feedback from anode to grid. In fact, it is necessary to add to the  $Y_p$  vector a Z/R vector the extremity of which is situated on a circle moving along the  $Y_p$  line and having a diameter  $q^2/R_p$ . This construction is given in fig. 111.



Fig. 111. Construction of the polar diagram of  $Y_i$ . When the scale of this diagram is multiplied by  $rR_p$ , the shape of the curves depends only on  $kQ_s$  and the location of the origin only on r.

The  $Y_i$  diagrams obtained at various values of q and r are given in figs 112a and b.



When the  $Z_i$  diagram is derived from the  $Y_i$  diagram a curve is obtained which resembles a cardioid at normal values of q.

If  $Q_p$  is made infinitely large,  $Y_i$  and  $Y_o$  can be expressed in  $x_s = \beta Q_s$  and  $q_s = kQ_s$ , viz.:

$$Y_i = \hat{Y}_s \frac{C_1}{C_2} \left( j x_s + \frac{q_s^3}{1 + j x_s} \right),$$

and

$$Y_o = \hat{Y}_s \left( 1 + j x_s + \frac{q_s^2}{j x_s} \right).$$

The latter locus coincides with the polar diagram of  $Y_s$ , but when  $x_s$  is varied from  $-\infty$  to  $+\infty$  this line is traversed twice. The minimum values of  $Y_o$  are then situated at  $x_s = \pm q_s$ .

(g) An interstage circuit provided with a trap may be considered as a double tuned band-pass filter.

When both circuits are tuned to the same frequency ( $Z_i$  coupling) the response curve can be determined from the  $Z_i$  diagrams for band-pass filters, but when the tunings are different it is preferable to use the following method, particularly when several circuits of a staggered system are provided with a trap.

First the response curve of the primary circuit without trap is determined. The effect of adding the trap can then be expressed by the attenuation factor  $a_t$  of the trap, that is:

$$a_{t}=\frac{a_{p}a_{s}+q^{2}}{a_{p}a_{s}}.$$

Setting

$$\partial_{s}Q_{p} = x_{t}$$
,  $\frac{Q_{s}}{Q_{p}} = r$  and  $\beta'Q_{p} = s$ ,

where  $\beta' = \omega_p/\omega_s - \omega_s/\omega_p$ , then, to a good approximation:

$$\beta_p Q_p = x_t - s$$
 and  $\beta_s Q_s = x_t r$ ,

so that

$$|a_t| = \sqrt{\frac{\left(1 + \frac{q^2}{1 + r^2 x_t^2}\right)^2 + \left(x_t - s - \frac{q^2 r x_t}{1 + r^2 x_t^2}\right)^2}{1 + (x_t - s)^2}}$$

The absorption curve of the trap can be graphically determined from the response curves of four circuits by means of the expression

$$a_t = \alpha \frac{a'a''}{a_p a_s},$$

in agreement with the method outlined in Appendix Ic.

The diagrams for  $|a_t|$ , at various values of r and s, have been plotted in figs 113a - l. In each of these diagrams the variation of the coupling is such that given, fixed values of the maximum suppression  $|\hat{a}_t|$  are obtained. The  $x_t$  scale is related to the  $x_p$  scale by the following rule:  $x_t = s$  at the resonant frequency of the primary circuit; the 3 db points of this circuit are located at  $x_t = s \pm 1$ .

Figs 113 *m* and *n* have been graphically derived from the response curves of two circuits according to the expression a'' = a'/a, where *a'* applies to the corrected circuit and *a* to the original circuit. These curves are used for determining the small corrections of the staggering system.







-3 -2 -1 X+

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Fig. 113m. Modification a" of the response curve due to the detuning of one of the circuits.

n. Modification a' of the response curve due to a change of the quality factor of one of the circuits.

### APPENDIX II

To prove the validity of eq. (29):

 $\prod_{h=1}^{h=s} (1 + x^2 + 2x \cos \alpha_h) = 1 + x^{2s},$ 

the term between brackets will be transformed by writing  $\cos^2 \alpha_h + \sin^2 \alpha_h$  for 1, which gives:

 $x^2 + 2x\cos\alpha_h + 1 = x^2 + 2x\cos\alpha_h + \cos^2\alpha_h + \sin^2\alpha_h = (x + \cos\alpha_h)^2 + \sin^2\alpha_h,$ 

which may factorised as follows:

 $(x + \cos \alpha_h + j \sin \alpha_h) (x + \cos \alpha_h - j \sin \alpha_h).$ 

For the sake of simplicity the quantities  $\cos \alpha_h + j \sin \alpha_h$  and  $\cos \alpha_h - j \sin \alpha_h$  will be denoted  $x_h$  and  $x_h^*$  respectively.  $x_h$  and  $x_h^*$  can be represented as complex quantities on a circle with radius r = 1, see fig. 114. If the flat staggered tuning system is adhered to (see p. 18), all points  $x_h$  and  $x_h^*$  are evenly distributed over the whole circle.



Fig. 114. Diagram representing the quantities  $x_h$  and  $x_h^*$ .

As shown by fig. 114,

$$\prod_{h=1}^{h=s} (x + x_h) \ (x + x_h^*)$$

1.

may also be written as:

$$\prod_{h=1}^{h=2s} (x+x_h).$$

This product can be worked out, giving:

$$\prod_{h=1}^{h=2s} (x + x_h) = \sum_{h=0}^{2s} E_h x^{2s-h},$$

where  $E_0 = 1$ ,

 $E_1 = x_1 + x_2 + \dots x^{2^s}$ , and

 $E_2 = x_1 x_2 + x_1 x_3 + \ldots x_{2s-1} x_{2s}$ , etc.

Summarizing,  $E_n$  always contains all possible products of *n* terms  $x_h$ .

All coefficients of the power series from  $E_1$  to  $E_{2p-1}$  are now obviously zero, since each product, say  $x_p \cdot x_q \cdot \ldots x_r$ , has a "twin" with opposite sign.

The last term  $x_1 \cdot x_2 \cdot \ldots x_{2^{5}}$ , on the other hand, is equal to unity, since for each factor of this product there is again a "twin", which is now conjugate complex, and as the product of each pair of conjugate complexes is unity, this is also the case with the entire term.

Concluding, only the first and last terms of the whole power series

$$\sum_{h=0}^{2s} E_h x^{2s-h}$$

remain, which gives:

$$\prod_{h=1}^{h=2s} (x + x_h) = x^{2s} + 1.$$

## APPENDIX III

 (a) A general method for determining the step function from the amplitude response curve is based on the Fourier analysis of the signal. According to the integral theorem of Fourier, a function y(t) may, under certain conditions, be described by:

$$\mathbf{y}(t) = \int_{-\infty}^{+\infty} \mathbf{c} \mathbf{j} \boldsymbol{\omega} t \, \mathbf{Y}(\boldsymbol{\omega}) \, \mathbf{d} \boldsymbol{\omega} , \qquad (c)$$

where  $e^{j\omega^t}$  stand for  $\cos \omega t + j \sin \omega t$ .  $Y(\omega)$  is termed the spectral amplitude of the function y(t), and is given by:

$$Y(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{-j\omega t} y(t) dt. \qquad (d)$$

Applied to the function H(t) of eq. (33), this gives:

$$H(t) = \frac{1}{2} + \frac{1}{\pi} \int_{0}^{\infty} \frac{\sin \omega t}{\omega} d\omega . \qquad (e)$$

The spectral amplitude of this function is, therefore,  $1/2\pi j\omega$ .

For the function  $H(t-\tau)$ , representing a unit step at  $t = \tau$ , the spectral amplitude is given by  $e^{-j\omega\tau}/2\pi j\omega$ .

The Fourier components of the input signal applied thus having been determined, it is possible to derive from the amplitude response curve the corresponding Fourier components of the output signal, after which the step function can be determined from the latter components.

This principle will now be applied to the envelope of the H.F. signal. The step-like variation of the signal amplitude is analysed into sinusoidal modulation components:

$$E_{i} = E_{b} + E_{s} \left( \frac{1}{2} + \frac{1}{\pi} \int_{0}^{\infty} \frac{\sin \omega t}{\omega} d\omega \right).$$
 (f)

After the amplitude and phase of these components have been changed in the amplifier, the following equation applies:

$$\frac{E_o}{G} = E_b + E_s \left[ \frac{1}{2} + \frac{1}{\pi} \int_0^{\infty} \frac{A(\omega)}{\omega} \sin \omega \left\{ t - D(\omega) \right\} d\omega \right].$$
(g)

A( $\omega$ ) and D( $\omega$ ) are determined by the complex modulation response curve M( $\omega$ ). Once M( $\omega$ ) is known,  $E_o/G$ , i.e. the step

function, can be determined, and, conversely,  $M(\omega)$  can be derived from the step function.

The value of  $M(\omega)$  corresponding to the frequency  $\omega$  can be found by considering that the gain and the modulation response curve  $M(\omega)$  convert the Fourier component with angular frequency  $\omega$  of the input signal into a component of  $E_o$  of the same frequency. Hence:

$$E_i(\omega) \cdot M(\omega) \cdot G = E_0(\omega)$$
 (h)

(b) The step function without overshoot depicted in fig. 115 will be taken as a starting point.



Fig. 115. Idealized step function without overshoot.

The step function can be analysed in the following way:

$$E_o(t) = E_{\text{const}} + 0.8 E_s \frac{t}{-} \{1 - H(t-1.25\tau)\} + 0.8E_s H(t-\tau).$$

The corresponding amplitude response curve will now be derived without considering whether this can indeed be realized physically. The gain is assumed to be unity and the delay  $D(\omega)$  is taken to be zero and  $A(\omega)$  is then calculated. Disregarding the frequency zero, the spectral amplitude of  $E_i$  is given by:

$$E_i(\omega) = \frac{E_s}{2\pi j\omega}.$$

Moreover, from eq. (d):

$$E_o(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} E_o(t) \operatorname{e}^{-j\omega t} \mathrm{d}t = \frac{0.8E_s}{2\pi} \int_{0}^{1.25\tau} \frac{t}{\tau} \operatorname{e}^{-j\omega t} \mathrm{d}t + 0.8E_s \frac{\operatorname{e}^{-j\omega \tau/0.8}}{2\pi j\omega}$$

Hence:

$$E_o(\omega) = \frac{0.8 E_s}{2\pi (j\omega)^2} \cdot \frac{1 - e^{-j\omega \tau/0.8}}{\tau}$$

From eq. (h):

$$M(\omega) = \frac{1 - e^{-j\omega\tau/0.8}}{1.25 j\omega\tau} \quad \text{and} \quad A(\omega) = |M(\omega)| = \frac{\sin 1.25 \pi / \tau}{1.25 \pi / \tau}.$$

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For  $\omega = 0$ ,  $A(\omega) = 1$  (see fig. 116). The 3 db point is situated at  $1.25 \pi f \tau = 1.39$ . Assuming that the H. F. amplitude response curve is symmetrical, the distance between the 3 db points is  $1.25 \pi B\tau = 2.78$ , from which it follows that:



Fig. 116. Amplitude response curve corresponding to the step function depicted in fig. 115.

(c) It will now be shown what form the step function will assume when the (symmetrical) amplitude response curve is as shown in fig. 117, all modulation components up to the frequency B/2 being passed unattenuated and the phase angle being proportional to the frequency.



Fig. 117. Symmetrical amplitude response curve with a constant delay D.





Fig. 118 shows the corresponding modulation response curve.  $E_o$  can now be calculated from  $E_i$  by means of eq. (g). It then follows from fig. 118 that:

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$$E_o = E_b + E_s \left\{ \frac{1}{2} + \frac{1}{\pi} \int_{0}^{\pi} \frac{B \sin \omega (t - D) d\omega}{\omega} \right\} = E_b + E_s \left( \frac{1}{2} + \frac{1}{\pi} \operatorname{Si} \pi Bt' \right).$$

By means of a table for  $Si(x)^{1}$  it is now possible to plot the envelope of the output signal (fig. 119).



Fig. 119. Envelope of the output signal corresponding to the modulation response curve of fig. 118.

One point situated on the practically straight part of the flank is:

Si 
$$\pi Bt' = \pm \frac{\pi}{2}$$
 at  $|\pi Bt'| = 1.925$ .

Linear interpolation then gives:

$$B\tau = \frac{0.8 \times 2 \times 1.925}{\pi} = 0.97.$$

The maximum of Si(x) is 1.85. Hence:

$$\delta = \frac{1.85}{\pi} - 0.5 = 9 \%.$$

(d) The modulation response curve of fig. 120a will now be considered. This curve descends linearly between f = 0 and  $f_1$ , whilst its phase shift is zero.

This response curve may be considered as a superposition of the flat response curve discussed in the previous section (fig. 120b) upon a response curve which ascends linearly (fig. 120c), i.e.:

$$A = A_1 - A_2.$$

<sup>1</sup>) See Jahnke-Emde, Tables of Higher Functions, 4th edition 1948, B. G. Teubner, Leipzig.





Fig. 120. (a) Linearly descending response curve which may be considered as the superposition of a flat reponse curve (b) upon a linearly ascending response curve (c).

As shown in Appendix IIIc, the contribution of  $A_1$  towards  $E_0$  is:

$$E_{o_1} = E_b + E_s \left\{ \frac{1}{2} + \frac{1}{\pi} \operatorname{Si} (2\pi / t') \right\},$$

whilst the contribution of  $A_2$  towards  $E_o$  is:

$$E_{o_2} = \frac{E_s}{\pi} \int_{0}^{2\pi f_1} \frac{\sin \omega t'}{2\pi f_1} d\omega = \frac{E_s}{\pi} \cdot \frac{1 - \cos 2\pi f_1 t'}{2\pi f_1 t'},$$

yielding:

$$E_{o} = E_{o_{1}} + E_{o_{2}} = E_{b} + E_{s} \left[ \frac{1}{2} + \frac{1}{\pi} \left\{ \operatorname{Si} \left( 2\pi f_{1} t' \right) - \frac{1 - \cos 2\pi f_{1} t'}{2\pi f_{1} t'} \right\} \right]$$

The term between braces will be denoted by  $S(f't')_0$ . The term  $S(x)_0$  can be developed into the following series:

Si 
$$(2\pi x)$$
 =  $2\pi x - \frac{(2\pi x)^3}{3!3} + \frac{(2\pi x)^5}{5!5} \dots$   
 $\frac{1 - \cos 2\pi x}{2\pi x} = \frac{2\pi x}{2!} - \frac{(2\pi x)^3}{4!} + \frac{(2\pi x)^6}{6!} \dots$   
f  $(x)$  =  $\frac{2\pi x}{2!1} - \frac{(2\pi x)^3}{4!3} + \frac{(2\pi x)^5}{6!5} \dots$ 

Hence:

$$S(x)_{0} = \frac{1}{2} + \frac{f(x)}{\pi} = \frac{1}{2} + \frac{1}{\pi} \left\{ \frac{2\pi x}{2!1} - \frac{(2\pi x)^{3}}{4!3} + \frac{(2\pi x)^{5}}{6!5} \cdots \right\}.$$

From the known property that for large values of x, the sine integral Si $(x) \approx \pi/2 - \frac{1}{x} \cos x$ , it follows that in this range:

$$\mathrm{S}(x)_{\mathrm{o}}\approx 1-\frac{1}{2\pi^{2}x}$$

(e) The modulation response curves dealt with in the preceding examples are very rough approximations of practical response curves. A further approximation is obtained by a modulation response curve which is partly flat and descends linearly beyond a given frequency (fig. 121).



Fig. 121. Modulation response curve consisting of a flat and a linearly descending part (fully-drawn lines).

This response may be considered as being composed of one response with a linearly descending part and one with a linearly ascending part, as dealt with in the preceding section (broken lines in fig. 121).

It is clear that now:

$$S(f't') = \frac{f'}{f'-f''} S(f't')_{0} - \frac{f''}{f'-f''} S(f''t')_{0},$$

by which S (f't') is determined for any value of  $f_1''/f_1'$ .

In this way it is possible for any modulation response curve approximated by straight line segments (cf. fig. 122) to be analysed



Fig. 122. Modulation response curve approximated by straight line segments and subdivided into trapezoidal parts.

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into trapezoidal parts similar to the full-line modulation response curve in fig. 121.

It is on this principle that the method of analysis outlined in Section 3.4 is based.

(f) The modulation response curve will again be taken to be flat as far as  $f_1$  and then suddenly dropping to zero, as was the case in Appendix IIIc, but it will now be assumed that all sinusoidal modulation compents are shifted 90° in phase (imaginary response).

The output step function is then:

$$\frac{E_{\rm B}}{\pi}\int^{2\pi f_{\rm I}}_{\omega}\frac{\cos\omega t'}{\omega}\,\mathrm{d}\omega=\frac{E_{\rm B}}{\pi}\,\mathrm{Ci}\,(2\pi\,f_{\rm I}t')\,.$$

By giving the response curve a linearly descending and a linearly ascending part according to fig. 120a, an output step function is obtained which can be calculated to be:

$$E_{8} C(f't')_{o} = \frac{E_{s}}{\pi} \left\{ Ci \left( 2\pi f't' \right) - \frac{\sin \left( 2\pi f't' \right)}{2\pi f't'} \right\}.$$

The form  $C(x)_0$  can again be transformed into a series:

Ci (2π <i>x</i> )	$=$ const. $+ \log_e 2\pi$	t <b>x</b> —	$\frac{(2\pi x)^2}{2!2}$	+	$\frac{(2\pi x)^4}{4!4}$	$-\frac{(2\pi x)^6}{6!6}$	
$\frac{\sin 2\pi x}{x}$	= 1	-	$\frac{(2\pi x)^2}{3!}$	+	$\frac{(2\pi x)^4}{5!}$	$-\frac{(2\pi x)^6}{7!}$	
f (x)	$= \text{const.} + \log_0 x$	-	$\frac{(2\pi x)^2}{3!2}$	+	$\frac{(2\pi x)^4}{5!4}$	$-\frac{(2\pi x)^6}{7!6}$	

Hence:

$$C(x)_{0} = \frac{1}{\pi} \left\{ \text{const.} + \log_{c} x - \frac{(2\pi x)^{2}}{3!2} + \frac{(2\pi x)^{4}}{5!4} - \frac{(2\pi x)^{6}}{7!6} \cdots \right\}.$$

Since, according to the cosine integral tables,  $Ci(x) \approx \sin x/x$  at large values of x, in this range  $C(x)_0 \approx 0$ . At very small values of x,  $C(x)_0$  and  $\log_e x$  approach  $-\infty$ .

When C(ft') diagrams are plotted with a logarithmic time scale they approximate to parallel straight lines at small values of x. In fact, for a trapezoidal component (see fig. 122) of the imaginary response curve:

$$C(f't') = \frac{f'}{f' - f''} C(f't')_{0} - \frac{f''}{f' - f''} C(f''t')_{0} =$$
  
= C(f't')\_{0} + \frac{f''}{f' - f''} \{C(f't')\_{0} - C(f''t')\_{0}\}.

For small values of t' the second term must assume a finite value, because for small values of f't' the lines  $C(f't')_{\circ}$  and  $C(f''t')_{\circ}$  run parallel. The proof that the output step function has a finite value when the (imaginary) response is zero for f=0 and  $f=\infty$ , is based on this property.

For this purpose a step function of the form:

$$h_1 C (f_1't') + h_2 C (f_2't') + h_3 C (f_3't') \dots$$

will be investigated. This step function may be written as:

$$h_1 C (f_1't') + + h_2 C (f_1't') + h_2 \{ C (f_2't') - C (f_1't') \} + + h_3 C (f_1't') + h_3 \{ C (f_3't') - C (f_1't') \}.$$

The sum of the left-hand terms of each line is zero because  $h_1 + h_2 + h_3 = 0$ . Since the lines  $C(f_1't')$ ,  $C(f_2't')$ ,  $C(f_3't')$ , etc. run parallel for small values of f't', the forms between the large brackets must assume a finite value for small values of t'. The resultant thus also has a finite value.

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In order to calculate the noise figure of the circuit of fig. 31,  $R_c$  and  $R_\tau$  are replaced by  $R_1$ , having a temperature  $\alpha_1 T$ . The circuit is then split up into four elementary four-terminal networks (fig. 123), the available power gains and noise figures of which can easily be determined. The overall noise figure can be calculated from these data by using eq. (90):

1						_
	$(N-1): \prod_{k=0}^{h-1} (G_p)_k$	rβs∦r	$\frac{R_{\rm eq}}{R_{\rm s}} \frac{-R_{\rm p}}{R_{\rm s}} (1 + R_{\rm sg1})^3$	0	$\frac{R_{\rm p}}{R_{\rm s}}(1+R_{\rm sg_1}+R_{\rm sg_1})^4$	
	N - 1	α1Reg1	$\frac{R_{\rm eq}-R_{\rm p}}{R_{\rm s}}(1+R_{\rm eg_1})$	O	$\frac{R_{\rm P}}{R_{\rm S}}(1+R_{\rm s}g_1+R_{\rm s}g_4)$	
	$\prod_{k=0}^{h-1} (G_p)_k.$	1	$\frac{1}{1+R_8g_1}$	$\frac{1}{1+R_{8g_1}}$	$\frac{1}{1+R_{\rm eg}} + \frac{1}{R_{\rm eg}}$	
	$(G_p)_h$	$rac{1}{1+R_{sg_1}}$	1	$\frac{1+R_{\mathrm{s}}g_{\mathrm{1}}}{1+R_{\mathrm{s}}g_{\mathrm{1}}+R_{\mathrm{s}}g_{\mathrm{t}}}$	1	
	Routput	$rac{R_{s}}{1+R_{s}g_{1}}$	$\frac{R_{\rm s}}{1+R_{\rm s}g_1}$	$\frac{R_{\rm s}}{1+R_{\rm s}g_{\rm 1}+R_{\rm s}g_{\rm t}}$	$\frac{R_{\rm s}}{1+R_{\rm s}g_1+R_{\rm s}g_{\rm t}}$	
	Rinput	$R_6$	$\frac{R_s}{\Gamma+R_sg_1}$	$\frac{R_{\rm e}}{1+R_{\rm s}g_1}$	$\frac{R_{\rm s}}{1+R_{\rm s}g_1+R_{\rm s}g_{\rm t}}$	
		I	63	69	4	

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Fig. 123. Diagram in which the circuit of fig. 31 is split up into four elementary four-terminal networks.  $R_1 = 1/g_1$  and  $R_t = 1/g_t$ .

From the last column of the above table it can be seen that

$$N = 1 + \alpha_1 R_s g_1 + \frac{R_{cq}}{R_s} (1 + R_s g_1)^2 - \frac{R_p}{R_s} (1 + R_s g_1)^2 + \frac{R_p}{R_s} (1 + R_s g_1 + R_s g_1)^2.$$

By putting  $g_1 = g - g_t$ , this gives:

$$N = 1 + 2 R_{eq} (g - g_t) + 2 R_{pgt} + \frac{R_{eq}}{R_s} + R_s (g - g_t) F,$$

where

$$F = \alpha_1 + R_{eq} (g - g_t) - R_p (g - g_t) + \frac{R_p g^2}{g - g_t} =$$
$$= \alpha_1 + R_{eq} (g - g_t) + 2 R_p g_t + \frac{R_p g_t^2}{g - g_t},$$

in which

$$\alpha_1 = \frac{g_c + \alpha_{\tau}g_{\tau}}{g_c + g_{\tau}}$$
 and  $g = g_t + g_{\tau} + g_e$ .

In order to expres these formulae as far as possible in the product  $R_{eq}g_i$  the following substitutions are made:

$$g_c = K_1 g_i, \qquad g_t = (1 - K_2) g_i,$$
  
$$g_r = K_2 g_i, \qquad R_p = K_3 R_{eq},$$

which gives:

$$N = 1 + 2R_{eqgi} (K_1 + K_2) + 2R_{eqgi}K_3 (1 - K_2) + \frac{R_{eq}}{R_s} + R_{eqgi} (K_1 + K_2) F \cdot \frac{R_s}{R_{eq}}$$
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$$F = \frac{K_1 + a_{\tau}K_3}{K_1 + K_2} + R_{eq}g_i (K_1 + K_2) + 2R_{eq}g_i K_3 (1 - K_2) + R_{eq}g_i \cdot \frac{K_3 (1 - K_3)^3}{K_1 + K_2}$$

Minimum noise is experienced when:

$$R_{\rm s}g_i = \sqrt{\frac{R_{\rm cq}g_i}{K_1 + a_{\rm t}K_2 + (K_1 + K_2)^2 R_{\rm cq}g_i + (I - K_2) (2K_1 + K_2 + 1) K_3 R_{\rm eq}g_i}}$$

giving a minimum noise figure:

$$N_{\rm opt} = 1 + 2R_{\rm eq}g_i(K_1 + K_2) + 2R_{\rm eq}g_iK_3(1 - K_2) +$$

$$+2\left|\sqrt{R_{eq}g_{i}(K_{1}+K_{2})\left\{\frac{K_{1}+a_{7}K_{2}}{K_{1}+K_{2}}+R_{eq}g_{i}(K_{1}+K_{2})+\frac{2R_{eq}g_{i}K_{3}(1-K_{2})(2K_{1}+K_{2}+1)}{K_{1}+K_{2}}\right\}}\right\}$$

At correct matching  $R_s g_i$   $(K_1 + 1) = 1$ , giving a practical noise factor:

$$\begin{split} N_{\text{pract}} &= 1 + R_{\text{eqgi}} \left( K_1 + K_2 \right) \left( 2 + \frac{K_1 + 1}{K_1 + K_2} + \frac{K_1 + K_2}{K_1 + 1} \right) + \\ &+ R_{\text{eqgi}} K_3 \left( 1 - K_2 \right) \left( 2 + \frac{2K_1 + K_2 + 1}{K_1 + 1} \right) + \frac{K_1 + a_7 K_2}{K_1 + 1}. \end{split}$$

It should be noted that in these equations  $K_1$  is much smaller than unity for frequencies above  $f_0$ , while, according to eq. (99),  $0 < K_2 < 1$ and  $1/K_3 \approx 1 + S/8I_{g2}$ .

The various special cases dealt with in Section 5.4 and many others can be calculated from the above expressions by substituting the required values of  $K_1$ ,  $K_2$  and  $K_3$  and putting  $R_{eq}g_i = (f/f_n)^2$ .

165

and

### APPENDIX V

Eqs (115), (116) and (117) may be derived as follows. The output voltage  $V_o$  is first expressed in  $V_i$  and  $V_k$  to eliminate  $V_o$  from  $V_{ag}$  and  $V_k$ :

$$V_{0} = -Z_{1} (S_{a}V_{g} + Y_{3}V_{ag} + Y_{5}V_{a}) = Z_{1}S_{a}V_{k} + Z_{1}Y_{5}V_{k} + Z_{1}Y_{3}V_{i} - Z_{1}S_{a}V_{i} - Z_{1}Y_{3}V_{0} - Z_{1}Y_{5}V_{0},$$

or

$$V_{o} = V_{k} \cdot \frac{S_{a} + Y_{5}}{Y_{1} + Y_{3} + Y_{5}} - V_{i} \cdot \frac{S_{a} - Y_{3}}{Y_{1} + Y_{3} + Y_{5}}$$
(j)

 $V_g$  can then be expressed in  $V_i$ :

$$I_{6} = Y_{4}V_{g} + Y_{5}V_{a} + S_{k}V_{g} = V_{i}\left\{Y_{4} + S_{k} - \frac{Y_{5}(S_{a} - Y_{3})}{Y_{5} + Y_{3} + Y_{5}}\right\} - V_{k}\left\{Y_{4} + Y_{5} + S_{k} - \frac{Y_{5}(S_{a} + Y_{5})}{Y_{1} + Y_{3} + Y_{5}}\right\}.$$

and since

$$V_{k} = I_{6}Z_{6} = V_{i}Z_{6}\left\{Y_{4} + S_{k} - \rho(S_{a} - Y_{3})\right\} - V_{k}Z_{6}\left\{Y_{4} + Y_{5} + S_{k} - \frac{Y_{5}(S_{a} + Y_{5})}{Y_{1} + Y_{3} + Y_{5}}\right\}, (k)$$

this gives:

$$V_g = V_i \cdot \frac{Y_6 + Y_7 - \rho Y_3}{Y_6 + Y_4 + Y_7 + S_k - \rho S_a}, \qquad (1)$$

Putting  $I_a = S_a V_g = S' V_i$ , the factor  $F_s = S'/S_a$  becomes:

$$F_{S} = \frac{V_{g}}{V_{i}} = \frac{Y_{6} + Y_{7} - \rho Y_{3}}{Y_{6} + Y_{4} + Y_{7} + S_{k} - \rho S_{a}},$$
(115)

by which the solution of S' is given.

Eq. (k) can be simplified to:

$$V_{k} = V_{i} \cdot \frac{Y_{4} + S_{k} - \rho(S_{a} - Y_{3})}{Y_{6} + Y_{4} + Y_{5} - \rho(S_{a} + Y_{5}) + S_{k}}$$
(m)

By substituting eq. (m) in eq. (j),  $V_o$  can be expressed in  $V_i$ , viz.:

$$V_{o} = V_{i} \left\{ \frac{S_{a} + Y_{5}}{Y_{1} + Y_{3} + Y_{6}} \cdot \frac{Y_{4} + S_{k} - \rho(S_{a} - Y_{3})}{Y_{6} + Y_{4} + Y_{5} - \rho(S_{a} + Y_{5}) + S_{k}} - \frac{S_{a} - Y_{3}}{Y_{1} + Y_{3} + Y_{5}} \right\}.$$

Hence

$$V_{ag} = V_{o} - V_{i} = -\frac{V_{i}}{Y_{1} + Y_{3} + Y_{5}} \cdot \left[ Y_{1} + Y_{5} + S_{a} - \frac{(S_{a} + Y_{5}) \{Y_{4} + S_{k} - \rho(S_{a} - Y_{3})\}}{Y_{6} + Y_{4} + Y_{5} - \rho(S_{a} + Y_{5}) + S_{k}} \right]$$

The form between square brackets may be written:

$$Y_{1} + (S_{a} + Y_{5}) \cdot \frac{\left\{Y_{6} + Y_{4} + Y_{5} - \rho(S_{a} + Y_{5}) + S_{k}\right\} - \left\{Y_{4} + S_{k} - \rho(S_{a} - Y_{3})\right\}}{Y_{6} + Y_{4} + Y_{5} - \rho(S_{a} + Y_{5}) + S_{k}} =$$
  
=  $Y_{1} + (S_{a} + Y_{5}) \cdot \frac{Y_{6} + Y_{5}(1 - \rho) - \rho Y_{3}}{Y_{6} + Y_{4} + Y_{5}(1 - \rho) - \rho S_{a} + S_{k}} =$   
=  $Y_{1} + (S_{a} + Y_{5}) \cdot \frac{Y_{6} + Y_{7} - \rho Y_{3}}{Y_{6} + Y_{7} + S_{k} - \rho S_{a}}$ 

From eq. (115) it can then be written:

$$V_{ag} = -V_i \cdot \frac{Y_1 + F_S(S_d + Y_5)}{Y_1 + Y_3 + Y_6} = -V_i \cdot \frac{S' + Y_1 + F_S Y_5}{Y_1 + Y_3 + Y_6}.$$
 (n)

Substitution of eq. (n) in the expression:

$$Y_i' = \frac{V_i}{I_i},$$

where  $I_i = I_2 + I_4 - I_3$  and  $I_3 = Y_3 V_{ag}$ , gives:

$$Y_{i}' = Y_{2} + Y_{4}F_{5} + Y_{3} \cdot \frac{S' + Y_{1} + F_{5}Y_{5}}{Y_{1} + Y_{3} + Y_{5}}, \qquad (116)$$

while substitution of eq. (n) in the expression:

 $V_{ag} = V_o - V_i$ 

gives:

$$-\frac{V_o}{V_i} = \frac{S' - Y_3 - (1 - F_S)Y_s}{Y_1 + Y_3 + Y_s}.$$
 (0)

Substitution of eq. (o) in the expression:

$$Y_o' = -S' \cdot \frac{V_i}{V_o}$$

finally gives:

$$Y_{o'} = \frac{Y_1 + Y_3 + Y_5}{1 - \frac{Y_3}{S'} - \frac{Y_5}{S'} \cdot (1 - Fs)}$$
(117)

# TABLE 1

# Summary of the characteristic figures

	EF 42	UF 42	EF 91	EF 80
W.	2.1	2.1	1.9	1.9
V	250	170	250	170
Va	250	170	250	170
	10	10	10	10
I.	2.4	2.8	2.5	2.5
Va	-2	2	2	-2
S	9	8	7.6	7.4
-				
	. 0.000	10.000	< 0.009 *)	< 0.007
Cag <sub>1</sub>	< 0.005	< 0.006	< 0.005 *)	< 0.007
Ci (cold capacitance)	9.4	8.6	7.0	1.0
Co	4.3	4.3	2.0	3.3
gi (at 100 Mc/s)	850	1000	550	400
$R_i \ (= \ 10^{3}/g_i)$	1.2	1.0	1.8	2.0
Req	0.84	1.1	1.2	1.0
$(GB) = \frac{S}{2\pi(C_i + C_o)}$	100	100	130	110
$F_x(GB) = \frac{S}{2\pi(C_i + C_o + 6)}$ (for $C_{\pi i} = C_{\pi o} = 3 \text{ pF}$ )	75	65	80	70
$(GB)'' = \frac{S}{4\pi\sqrt{C_iC_o}}$	110	100	160	120
$F_{x''}(GB)'' = \frac{S}{4\pi\sqrt{(C_{i}+3)(C+3)}}$ (for $C_{xi} = C_{xo} = 3 \text{ pF}$ )	75	70	90	70
$(GB)' = \frac{32.5}{\sqrt{C_i}}$	10	11	12	12
$F_{x'}(GB)'=\frac{32.5}{\sqrt{C_i+3}}$	9	10	10	10
(for $C_{xi} = C_{xo} = 3 \text{ pF}$ )				
$f_1(SR_i=1)$	320	280	370	430
$f_{1}'(300 g_{i} = 10^{6} \mu A/V)$	190	180	240	290
$f_s = \frac{S}{2\pi C_{ag}} >$	24	21	15	17
$f_n \left( R_{eq} g_i = 10^3 \right)$	120	100	120	160

168 \*) with screening.

various valves

EFP 60	EF 50	6AK5	6CB6	6AU6	
$\begin{array}{c} 2.3 \\ 250 \\ 150/250 \\ 20 \\ 1.51 \\ -2.0 \\ 25 \end{array}$	$   \begin{array}{r}     1.9 \\     250 \\     250 \\     10 \\     3 \\    2.0 \\     6.5   \end{array} $	$ \begin{array}{r} 1.1 \\ 180 \\ 120 \\ 7.7 \\ 2.4 \\ -2.0 \\ 5.1 \\ \end{array} $	$     1.9 \\     200 \\     150 \\     9.5 \\     2.8 \\    2.2 \\     6.2   $	$ \begin{array}{r} 1.9\\ 250\\ 150\\ 10.8\\ 4.3\\ -1.0\\ 5.2\\ \end{array} $	W V W mA MA V mA/V
0.004 9.2 6 650 1.5 5.7	< 0.007 8.3 5.2 1000 1.0 1.4	0.02*) 4.0 2.8 125 8.0 1.9	< 0.020 6.3 1.9 460 2.2 1.6	0.0035 5.5 580 1.7 2.7	pF pF pF μA/V kΩ kΩ
260 190	75 55	120 65	120 70	80 50	Mc/s Mc/s
270	80	- 120	140	80	Mc/s
10	11	16	13	14	(Mc/s) <sup>1/2</sup>
9	10	12	11	11	(Mc/s) <sup>1/2</sup>
620	250	640	350	300	Mc/s
220	180 <sub> </sub>	510	270	240	Mc/s
100	15	4	7	24	104 Mc/s
50	85	200	120	80	Mc/s

# TABLE 2

Bandwidth factor  $F_B$  for several identica

Type of staggered group	Diagram of staggered group
one single circuit	<u>.</u>
flat staggered pair	
flat staggered triplet	
flat <sup>.</sup> staggered quadruple	
flat staggered quintuple	
flat staggered sextuple	
flat staggered septuple	

# groups in cascade at staggered tuning

	Number of identical groups								
Sin a	1	2	3	4	5	6	7	8	9
1.00	1.00	0.64	0.51	0.44	0.39	0.35	0.32	0.30	0.28
0.71	1.00	0.80	0.71	0.65	0.62	0.59			
0.50	1.00	0.86	0.80	0.76	0.73				
0.38	1.00	0.90	0.84	0.81					
0.31	1.00	0.92	0.87						
0.26	1.00	0.93	0.90						
0.195	1.00	0.95							
# TABLE 3

Unit function for several identical groups connected in cascade (double side-band systems).

Type of coupling network	t/s	δ in %	Br·T	$B_{\rm tot}\cdot \tau$
Synchronous circuits	22	0	$0.7/F_B$	0.7
Flat staggered pairs	1 2	4.3 6.25	0.69 0.90	0.69 0.72
Synchronous band-pass filters	3	7.7	1.05	0.75
Fed-back pairs	4 6	8.4 10.0	1.15 1.34	0.76 0.79
Flat staggered triplets	1 2 4	8.15 11.2 14.2	0.73 0.93 1.14	0.73 0.80 0.78
Staggered quadruple } Staggered band-pass filter pair	1	10.9	0.78	0.78
Staggered quintuple	1	12.8	0.82	0.82
Staggered sextuple Staggered band-pass filter triplet	1	14.3	0.85	0.85
Staggered septuple	1	15.4	0.89	0.89

t = number of indentical stages  $B_r =$  bandwidth of the reference circuit

s = number of mutually detuned circuits  $B_{tot} =$  total bandwidth

 $\delta = \text{overshoot}$ 

 $\tau = rise time$ 

## TABLE 4

Bandwidth factor  $F_B''$  for several identical groups in cascade at staggered damping, the bandpass filters being damped at one side only.

Number of identical groups	1	2	3	4	5	6	7
Identical band-pass filters Staggered band-filter pairs Staggered band-pass filter triplets	1 1 1	0.80 0.90 0.93	0.71 0.84 0.90	0.65 0.81 0.87	0.62 0.78 0.85	0.59 0.77	0.56 0.75

In order to find  $F_{B''}$ , the above numbers must be multiplied by a factor  $F_{B_1}$ " according to fig. 48.

# LIST OF SYMBOLS

A	amplitude response of an I.F. amplifier (fig. 19); amplitude of a signal	C(f'i')	step function corresponding to a given modulation response curve (p. 161)
Ao	carrier amplitude	D	delay of a signal in an amplifier
Am	amplitude of envelope modula-	d	diameter of dipole (fig. 6)
а	relative admittance of a parallel tuned circuit	Eb	initial magnitude of a carrier modulated by a unit step
В	bandwidth (between 3 db points)	E <sub>n</sub>	noise voltage
B'	bandwidth of the aerial circuit	$E_n^2$	square of the r.m.s. value of $E_n$
Bh	(p. 10) bandwidth of circuit number h	Es	change in magnitude of a carrier modulated by a unit step
$B_n$	noise bandwidth (p. 61)	E,	signal voltage
B <sub>r</sub>	bandwidth of the reference cir- cuit (p. 18)	e	base of natural logarithm (2.71828)
Bo	maximum bandwidth obtain- able with a given value at a gain $G_0$ (fig. 4)	e	induced voltage; charge of the electron
b <sub>a</sub> C <sub>c</sub>	imaginary part of $Y_6$ capacitance of a tuned circuit	F <sub>a</sub>	factor expressing the anode cur- rent fluctuations due to emis- sion and partition noise (p. 68)
C <sub>g1</sub>	capacitance of control grid to earth	FB	factor expressing the loss of bandwidth due to selective stages being connected in cas-
$C_{g1k}$	capacitance of control grid to cathode		cade (p. 15)
C <sub>g1g2</sub>	capacitance of control grid to screen grid	F <sub>B</sub> "	bandwidth in the case of band- pass filter coupling (p. 55).
Ch	total capacitance of tuned circuit number $h$	$F_{B_1}$ "	factor of $F_B''$ depending on r (p. 55)
$C_i$	input capacitance of a valve	$F_{1}$	space charge attenuation factor
$C_i'$	input capacitance of a valve	- *	of emission noise (p. 67)
С,	output capacitance of a valve $(p, 2)$	$F_p$	partition noise factor in analogy with $F_k$ (p. 68)
Cp	capacitance of a band-pass filter primary (fig. 47)	Fr	factor expressing the loss of bandwidth due to a valve being controlled (p. 124)
C,	capacitance of the reference cir- cuit	F <sub>s</sub>	factor expressing the loss of gain due to $S_{eff}$ being smaller than
Cs	capacitance of a band-pass filter secondary (fig. 47)	F	S (p. 8) factor expressing the loss of
C <sub>x</sub>	stray capacitance (p. 7)		bandwidth due to stray capac- itance (p. 8)
Ci(x)	integral cosine of $x: \int_{0}^{x} \frac{\cos t}{t} \cdot dt$	F <sub>z</sub> '	factor expressing the loss of bandwidth of the aerial circuit due to stray capacitance (p. 11)

List of Symbols

F <sub>x</sub> "	factor expressing the loss of bandwidth due to stray capac- itance in the case of band-pass	(GB)'	$(= G'\sqrt{B'})$ gain bandwidth fig- ure of an aerial circuit follow- ed by a given valve (p. 11)
	filter coupling (p. 54)	(GB)"	gain bandwidth figure of a valve
1. 1"	characteristic frequencies in the	-	(p. 54)
, , ,	linear approximations of a response curve (fig. 20)	g ga	(=1/R) conductance of damping anode conductance of a valve, excluding $1/r_{e}$ (p. 2)
<i>f</i> <sub>1</sub>	gain reference frequency (fig. 3)	g.	total conductance of a tuned
11'	gain reference frequency for the aerial circuit (p. 11)		circuit, excluding the valve damping
1 <sub>01k</sub>	frequency at which $L_k$ is in resonance with $C_{g1k}$	8v1	conductance of control grid to earth, excluding feedback
1	frequency at which $L_k$ is in resonance with the cathode-to-		damping and transit time damping
1 k'	frequency at which $L_k$ is in	gn	total damping of tuned circuit number $h$
	capacitor	g i	input conductance of a valve
1 m	frequency of the modulation	gi	input conductance of a valve
1 n	noise reference frequency of a valve (p. 73)	g.	$(= g_a + 1/r_a)$ output conduct-
1.	stability reference frequency of		ance of a valve (p. 2)
4.1	a valve (p. 97)	gr	conductance of the reference circuit (p. 18)
/s	valve (fig. 89)	g.	feedback damping in a valve
10	maximum frequency at which a	80	real part of $Y_{\mathfrak{g}}$
	given gain $G_0$ can be obtained with a given valve (fig. 3); fre-	gt	transit time input damping of a valve
	quency of carrier	H	electric field strength
to	maximum frequency for the	H(t)	unit step at the instant t
	gain $G_0$ can be obtained with	$H_8(t')$	step function
	a given valve (fig. 5)	h	magnitude of a component in
G	$(= V_o/V_i)$ voltage gain (p. 1)		response curve (p. 32)
G'	gain of the aerial circuit (p. 10)	I	current or current variation
Gh	voltage gain of stage number $h$	Ia	anode current of a valve
Gmax	maximum obtainable or per- missible voltage gain	I.	anode current fluctuation due to emission noise (p. 67)
Go	maximum gain obtainable with	$I_{g}$	control grid current
	a given value at a bandwidth $B_{\alpha}$ (fig. 3)	$I_{g2}$	screen grid current
G.	available power gain (p. 60)	I <sub>k</sub>	cathode current
$(G_n)_{\epsilon}$	power gain at the frequency f	1 p	current fluctuation due to par-
$(G_n)_{\bullet}$	power gain at the signal fre-	i	square root of minus one
( p/8	quency	K,	ratio of circuit damping to valve
Gtot	total voltage gain of an amplifier	-	input damping
(GB)	gain bandwidth figure of a valve (p. 3)	$K_2$	fraction of total input damping due to transit time effects

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## List of Symbols

K <sub>3</sub>	fraction of total noise due to partition noise (p. 69)	P <sub>si</sub>
k	$(= M/\sqrt{L_pL_s})$ coupling coefficient of two coils; Boltz-	P <sub>so</sub>
	mann's constant (= $1.37 \times 10^{-23} I/9K$ )	p <sup>2</sup>
r	inductance	Ph
L <sub>c</sub>	inductance of an interstage cir- cuit (p. 2)	Pr <sup>2</sup>
$L_k$	inductance of the cathode lead	•
Lp	inductance of a band-pass filter primary, including M	Q
Ls	inductance of a band-pass filter secondary, including M	Qn
1	length of dipole (fig. 6)	0.
М	mutual inductance of a band-	Q <sub>s</sub>
	pass filter (fig. 47); modu- lation response of an I.F. amplifier (fig. 19)	9
Mreal	real component of the modula-	R
	tion response (p. 34)	R <sub>a</sub>
$M_{imag}$	imaginary component of the modulation response (p. 35)	Ray
M <sub>q</sub> real	real component of the modula- tion response for the quadrature	Rant
$M_{\mathfrak{q} \ \mathfrak{imag}}$	imaginary component of the	R <sub>e</sub>
	quadrature component of the carrier (p. 40)	R <sub>d</sub>
N	noise factor (p. 59)	$R_{g}$
$N_{n}$	actual noise factor with a signal	$R_k$
	source of which $T_s \neq 288$ °K	R <sub>i</sub>
Npract	noise factor at correct matching	D
N <sub>st</sub>	noise factor with a signal source of which $T_s = 288$ °K	R <sub>p</sub>
n	number of stages of an amplifier	
Р	available power of a signal source	R <sub>8</sub>
$P_n$	noise power	
P <sub>ni</sub>	noise power at the input of an amplifier	R <sub>s</sub>
Pno	noise power at the output of an amplifier	R <sub>t</sub>
P <sub>nr</sub>	noise power originating from a "noise resistance" (p. 62)	$R_{\tau}$
$P_{s}$	signal power	

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signal power at the input of an amplifier
signal power at the output of an amplifier
feedback parameter (pp. 87, 90)
value of $p^2$ for stage number $h$
value of $p^2$ for a stage the anode and grid circuits of which are identical to the reference cir- cuit (p. 91)
quality factor of a tuned circuit (p. 134); in a bandpass filter $Q = \sqrt{Q_p Q_s}$
quality factor of tuned circuit number $h$
Q of a band-pass filter primary
Q of a band-pass filter secondary
(= kQ) relative coupling coefficient of a band-pass filter
anode load resistance of a value
excluding $R_e$ (p. 2)
feedback resistance between anode and grid
radiation resistance of an aerial (p. 9)
parallel resistance of a tuned circuit (p. 2)
resistance equivalent to loading by a detector
grid leak resistance
cathode resistance
load resistance of a diode detec- tor (p. 13)
noise resistance (p. 63)
total parallel resistance of a band-pass filter primary, in- cluding valve damping (fig. 47)
total secondary resistance of a band-pass filter secondary, in-
cluding valve damping (ng. 47)
source
input resistance of a valve due
to feedback damping
$(= 1/g\tau)$ input resistance of a valve due to transit time damping

r	$(=Q_s/Q_p)$ ratio of the secondary to the primary quality factor	V <sub>o</sub>	output voltage
	of a band-pass filter (p. 53)	V rad	e.m.i. in the aerial (p. 9)
ra	$(= \mu/S)$ internal resistance of a valve (p. 2)	w	circuit (fig. 6)
S	mutual conductance of a valve (static value)	x	$(= \beta Q = \Delta \omega / \pi B)$ relative detun- ing expressed in half the band- width
Sa	$(\mathcal{I}_a/\mathcal{J}_g)$ anode transconduct- ance	x <sub>h</sub>	value of $x$ for circuit number $h$
5'	effective transconductance of a stage having feedback (p. 85); transconductance for the effec-	Ŷ	admittance value of Y at the resonant fre- quency
	tive control voltage (p. 67)	Yh	admittance of circuit number $h$
Seff	mutual conductance of a valve (dynamic value)	Y <sub>h</sub> '	apparent value of $Y_h$ due to feedback effects
Sk	$(\mathcal{H}_k/\mathcal{H}_g)$ cathode transconduct-	Y <sub>i</sub>	input admittance
	ance	$Y_i'$	input admittance of a stage with feedback (p. 85)
Si(x)	integral sine of $x: \int \frac{\sin t}{\sin t} dt$	Yo	output admittance
	0	Y.,'	output admittance of a stage with feedback (p. 85)
S(f't')	step function corresponding to	Yt	transfer admittance
	a given modulation response curve (p. 33)	$Y_{h,h+1}$	transfer admittance of the inter- stage circuit between stages $h$
Smax	of a valve		and $h + I$
s	number of staggered circuits in	Y <sub>1</sub>	admittance between anode and earth (fig. 72)
	a hat staggered group (p. 17); (= $\beta'Q_p$ ) relative detuning of a	$Y_2$	admittance between grid and earth (fig. 72)
	ary circuit expressed in half	$Y_3$	admittance between anode and grid (fig. 72)
т	(p. 144)	Y <sub>4</sub>	admittance between grid and cathode (fig. 72)
1 ~	value 288 °K (p. 60)	$Y_5$	admittance between anode and cathode (fig. 72)
18	source (p. 60)	Y <sub>6</sub>	admittance between cathode and earth (fig. 72)
t	in an amplifier	Y,	auxiliary quantity (p. 85)
ť	(= t + D) time corrected for	Z	impedance
<i>V</i> .	delay in an amplifier anode voltage	Z	value of Z at the resonant fre- quency
Vag	voltage between anode and control grid	Zant	characteristic impedance of an aerial (p. 9)
Vant	output voltage of an aerial (p. 9)	Zh	grid circuit impedance of stage number $h$
Ve	control grid voltage	$Z_{k}$	cathode impedance
Vk	cathode voltage	Z,	input impedance
V	input voltage	Z,	output impedance

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Ζ,	impedance of the reference cir- cuit of a staggering system		with respect to the reference circuit (fig. 8)
$Z_t$ $Z_1, Z_2 \dots$	transfer impedance inverse values of $Y_1, Y_2$ (fig. 72)	Δω	detuning of a signal with respect to the resonant frequency of a circuit (radn./sec)
α	characteristic angle in stagger- ing system (p. 18); ratio of noise temperature to standard temperature of 288 °K (p. 61)	δ η <sub>D</sub> Θ	overshoot of a step function (fig. 13); loss angle (p. 134) detector efficiency delay angle (p. 31)
α <sub>h</sub> ατ	value of $\alpha$ for circuit number $h$ noise temperature attributed to $R_{\tau}$ (p. 70)	λ π	wavelength ratio of circumference to dia- meter of circle (3.14159)
β	$(= \omega/\omega_0 - \omega_0/\omega \approx 2\Delta\omega/\omega)$ relative detuning	ρ τ τ <sub>kg</sub>	rise time of a step function electron transit time from cath-
$\Delta C_i$	increase of input capacitance due to space charge	ლი ლი	ode to grid angular frequency (radn./sec) resonant frequency of a tuned circuit (radn /sec)
$\beta \\ \beta_h \\ \Delta C_i \\ \Delta /_h $	$(= \omega/\omega_0 - \omega_0/\omega \approx 2\Delta\omega/\omega)$ rela- tive detuning value of $\beta$ for circuit number $h$ increase of input capacitance due to space charge detuning of circuit number $h$	ρ τ τ <sub>kg</sub> ω ω	auxiliary quantity (p. 85) rise time of a step function electron transit time from cat ode to grid angular frequency (radn./sec) resonant frequency of a tune circuit (radn./sec)

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	and H. van Suchtelen
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Book VIIIB "Television Receiver Design" 2, by P. A. Neeteson Books IIIB, IIIC and VI are in preparation.

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- 2. "Electronic Valves for L.F. Amplification" by E. Rodenhuis
- 3. "The Odes and Trons Family" by J. Haantjes
- 4. "Application of small Transmitting Valves for High Frequency and Transmission" by H. H. Mulder, D. Zaayer and P. J. Zijlstra
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